



Certification Page Regular and Emergency Rules

Revised June 2013

Emergency Rules (After completing all of Sections 1 and 2, proceed to Section 5 below)

Regular Rules

1. General Information			
a. Agency/Board Name A&I/Wyoming Pari-Mutuel Commission			
b. Agency/Board Address 951 Werner Court, Suite 335	c. City Casper	d. Zip Code Wy	
e. Name of Contact Person Charles E. Moore	f. Contact Telephone Number 307-265-4015		
g. Contact Email Address charles.moore@wyo.gov	h. Adoption Date 10/04/13		
i. Program General Agency, Board or Commission Rules			
2. Rule Type and Information: For each chapter listed, indicate if the rule is New, Amended, or Repealed.			
If "New," provide the Enrolled Act numbers and years enacted: Enrolled Act #46 House 2013 & Enrolled Act #3 House 2012			
c. Provide the Chapter Number, Short Title, and Rule Type of Each Chapter being Created/Amended/Repealed <i>(Please use the Additional Rule Information form for more than 10 chapters, and attach it to this certification)</i>			
Chapter Number: Chapter 1	Short Title: Definitions	<input checked="" type="checkbox"/> New	<input checked="" type="checkbox"/> Amended <input type="checkbox"/> Repealed
Chapter Number: Chapter 5	Short Title: Officials	<input type="checkbox"/> New	<input checked="" type="checkbox"/> Amended <input type="checkbox"/> Repealed
Chapter Number: Chapter 6	Short Title: Permittee	<input checked="" type="checkbox"/> New	<input checked="" type="checkbox"/> Amended <input type="checkbox"/> Repealed
Chapter Number: Chapter 7	Short Title: Licensing/Licensees	<input checked="" type="checkbox"/> New	<input checked="" type="checkbox"/> Amended <input type="checkbox"/> Repealed
Chapter Number: Chapter 9	Short Title: Rules of Pari-Mutuel Betting	<input checked="" type="checkbox"/> New	<input checked="" type="checkbox"/> Amended <input type="checkbox"/> Repealed
Chapter Number: Chapter 10	Short Title: Simulcasting	<input checked="" type="checkbox"/> New	<input checked="" type="checkbox"/> Amended <input type="checkbox"/> Repealed
Chapter Number:	Short Title:	<input type="checkbox"/> New	<input type="checkbox"/> Amended <input type="checkbox"/> Repealed
Chapter Number:	Short Title:	<input type="checkbox"/> New	<input type="checkbox"/> Amended <input type="checkbox"/> Repealed
Chapter Number:	Short Title:	<input type="checkbox"/> New	<input type="checkbox"/> Amended <input type="checkbox"/> Repealed
Chapter Number:	Short Title:	<input type="checkbox"/> New	<input type="checkbox"/> Amended <input type="checkbox"/> Repealed
d. <input checked="" type="checkbox"/> The Statement of Reasons is attached to this certification.			
e. If applicable, describe the emergency which requires promulgation of these rules without providing notice or an opportunity for a public hearing:			

3. State Government Notice of Intended Rulemaking

a. Date on which the Notice of Intent containing all of the information required by W.S. 16-3-103(a) was filed with the **Secretary of State**: 8/09/13

b. Date on which the Notice of Intent and proposed rules in strike and underscore format and a clean copy were provided to the **Legislative Service Office**: 8/09/13

c. Date on which the Notice of Intent and proposed rules in strike and underscore format and a clean copy were provided to the **Attorney General**: 8/09/13

4. Public Notice of Intended Rulemaking

a. Notice was mailed 45 days in advance to all persons who made a timely request for advance notice. Yes No N/A

b. A public hearing was held on the proposed rules. Yes No

If "Yes:"	Date:	Time:	City:	Location:
	10/4/13	9:00am	Casper Wy	WPMC Offices
	7/10/13	10:00am	Casper Wy	WPMC Offices
	5/10/13	10:00am	Casper Wy	WPMC Offices

5. Final Filing of Rules

a. Date on which the Certification Page with original signatures and final rules were sent to the **Attorney General's Office for the Governor's signature**: 10/09/13

b. Date on which final rules were sent to the **Legislative Service Office**: 10/09/13

c. Date on which a PDF of the final rules was electronically sent to the **Secretary of State**: 10/09/13

6. Agency/Board Certification

The undersigned certifies that the foregoing information is correct.

Signature of Authorized Individual
(Blue ink as per Rules on Rules, Section 7) 

Printed Name of Signatory: Charles E. Moore

Signatory Title: Executive Director

Date of Signature: 10/09/13

7. Governor's Certification

I have reviewed these rules and determined that they:

1. Are within the scope of the statutory authority delegated to the adopting agency;
2. Appear to be within the scope of the legislative purpose of the statutory authority; and, if emergency rules,
3. Are necessary and that I concur in the finding that they are an emergency.

Therefore, I approve the same.

Governor's Signature

Date of Signature

Attorney General: 1. Statement of Reasons; 2. Original Certification Page; 3. Summary of Comments (regular rules); 4. Hard copy of rules: clean and strike/underscore; and 5. Memo to Governor documenting emergency (for emergency rules only).

LSO: 1. Statement of Reasons; 2. Copy of Certification Page; 3. Summary of Comments (regular rules); 4. Hard copy of rules: clean and strike/underscore; 5. Electronic copy of rules: clean and strike/underscore; and 6. Memo to Governor documenting emergency (for emergency rules only).

SOS: 1. PDF of clean copy of rules; and 2. Hard copy of Certification Page as delivered by the AG.



Governor
Matthew H. Mead

Wyoming Pari-Mutuel Commission

Energy II Building, 951 Werner Court, Suite 335
Casper, WY 82601
Phone: (307) 265-4015 Fax: (307) 265-4279

Executive Director
Charles E. Moore

COMMISSIONERS

Mantha Phillips Bill Dobbs Patti Bergstrom Jacqueline Crow
Danny Glick Robert Atherton Joe Tully

STATEMENT OF PRINCIPAL REASONS

Pursuant to W.S. § 11-25-104(e), the Wyoming Pari-Mutuel Commission (“Commission”) proposes new rules to reflect changes in W.S. § 11-25-102(a)(v) created by Enrolled Act #46 House 2013, which became effective July 1, 2013 & Enrolled Act #6 House 2012. The Commission proposes new rules and amended rules in the following: Chapter 1 Definitions (c), (s), (z), (aa), (oo), (qq), (ss), (vv)(x), (ww), (zz), (fff), (jjj), and (kkk); Chapter 5 Officials, Section 8(a). Chapter 6 Permittee Section 1(a), (f), (g), (j)(i), (l), (n), (o), (p), (s). Section 2(b). Section 3(a), (b) delete, (c), (d) delete, (e). Section 4 delete. Chapter 7 Licensing/Licensees Section 1(a)(iii), (b)(i), (ii) & (iv), (m). Section 4 (i), (j). Chapter 9 Rules for Pari-Mutuel Betting Section 1(a), (b)(i) & (ii), (c)(i)(A) & (ii), (d)(i)-(ii), (v) & (vi), (e), (f)(i) & (ii), (g)(ii) & (iii), (h), (m)(vii) & (viii), (n)(i) & (ii), (o)(ii), (p)(i)(E) & (ii), (r), (s). Section 3 (b)(v) & (vi), (c)(i), (iii) & (iv), (d)(i) & (ii). Section 4(a)(vi), (g)(i)(xi) & (xiii), (n)(xxi), (o)(xxi), (p)(xxi). Section 5(a) & (b). Chapter 10, Simulcasting Section 1 (j), (l), (m), (o), (p), (r), (u). Section 2(a). Section 3(a)(i), (b)(v) & (vii)(A)-(C), (c)(i)(A)-(C) & (iii)(A)-(B) & (vii), (f). Section 6 (j) & (k). Section 8(a). Section 9(a)-(h).

These new/amended and deleted rules address legislative intent and provide clarity. Further, the new license categories and licensee categorization are necessary to avoid confusion within the rules. There are fee increases as a result of the realignment and corrections. Other changes, such as deleting outdated language, and adding new verbiage to clarify and avoid confusion and conflict, have been made.

Chapter 1 DEFINITIONS

- (c) Created by Enrolled Act #46 House 2013
- (s) Language clarification
- (z) Created by Enrolled Act #46 House 2013
- (aa) Created by Enrolled Act #46 House 2013
- (oo) Created by Enrolled Act #46 House 2013
- (qq) Language clarification
- (ss) Language clarification
- (vv)(x) Language clarification
- (ww) Language clarification
- (zz) Language clarification
- (fff) Created by Enrolled Act #46 House 2013
- (jjj) Language clarification
- (kkk) Language clarification

Chapter 5 **OFFICIALS**

Section 8 **Mutuel Manger**

(a) Created by Enrolled Act #46 House 2013

Chapter 6 **PERMITTEE**

Section 1 **Permittee Requirements**, created by Enrolled Act #46 House 2013

(a) Created by Enrolled Act #6 House 2012

(f) Language clarification

(g) Language clarification

(j) Created by Enrolled Act #46 House 2013

(j)(i) Language clarification

(k) Language correction

(l) Deletion of language

(n) Language clarification

(o) Language clarification

(p) Language clarification

(s) Language correction

Section 2 **Rules of Sanitation**

(b) Language clarification and deletion of language

Section 3 **Telephone and Telegraph**

(a) Language deletion and clarification

(b) Language deletion

(c) Language deletion and clarification

(d) Language deletion

(e) Language deletion and clarification

Section 4 **Admissions**

Section deleted

Chapter 7 **LICENSING/LICENSEES**

Section 1 **Licensing Generally**

(a)(iii) Language correction

(b)(i)(ii) & (iv) Addition of new license categories & fees, providing clarity, created by Enrolled Act #46 House 2013

(m)(i) & (ii) providing safety to participants.

Section 4 **Jockeys**

(i) & (j) safety, providing clarity

Chapter 9 **RULES OF PARI-MUTUEL BETTING**

Section 1 **Mutuels-General Provision**

(a)-(h) Language correction

(m) Language correction

(m)(vii) & (viii) language change aligns with industry standards

(n)-(s) Language correction

Section 2 **Advance Deposit Wagering**

(c)(iv) Language correction

Section 3 **Interstate Common Pool Wagering**

(b)(v) & (vi) Language correction

(c)(i)(iii) & (iv) Language correction

(d)(i) & (ii) Language correction

Section 4 **Calculation of Payoffs and Distribution of Pools**, created by Enrolled Act #46 House 2013

(a)(vi) Language correction

(g)(i)(xi) & (xiii) Language correction

(n)(xxi) Language correction

(o)(xxi) Language correction

(p)(xxi) Language correction

Section 5 **Wagering on Historic Horse Racing**

(a) & (b) Created by Enrolled Act #46 House 2013, due to the size of the rules (33 pages, cumbersome) the Commission is referencing the Association of Racing Commissioners International, Inc's (ARCI) model rules. Specifically, the ARCI model rules on "Instant Racing Pools, "Version 5.4, ARCI-004-155 Proprietary Wagers, Section A(1)-(7), Instant Racing Pools.

Chapter 10 **SIMULCASTING**

Section 1 **Definitions**

(j), (l), (m), (o), (p) & (r) Created by Enrolled Act #46 House 2013

(u) Language correction

Section 2 **General**

(a) Created by Enrolled Act #46 House 2013

Section 3 **Requirements for Simulcasting Facilities**

(a) Created by Enrolled Act #46 House 2013

(a)(i) Language clarification

(b)(v) Language clarification

(b)(vii) Created by Enrolled Act #46 House 2013 the addition of this language fulfils the intent of the legislation to enhance live flat track horse racing in Wyoming and offers consistent operation of pari-mutuel events.

(c)(i)(A)-(C) Created by Enrolled Act #46 House 2013

(c)(iii)(A)-(B) Created by Enrolled Act #46 House 2013

(c)(vii) Created by Enrolled Act #46 House 2013

(f) Created by Enrolled Act #46 House 2013

Section 6 **Duties of Simulcast Operator**

(j) Language clarification and correction

(k) Language correction

Section 8 **Out-of State Simulcast, Account Wagering, and Advance Deposit Wagering Facilities**

(a) Language consistency

Section 9 **Historic Horse Racing**

(a)-(h) Created by Enrolled Act #46 House 2013 giving direction, clarity and defining the permittees fees.



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Wyoming Pari-Mutuel Commission

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Phone: (307) 265-4015 Fax: (307) 265-4279

Executive Director
Charles E. Moore

MEMORANDUM

October 9, 2013

COMMENT SUMMARY

WYOMING PARI-MUTUEL COMMISSION RULES OF PARI-MUTUEL EVENTS

Chapter 1, Definitions
Chapter 5, Officials
Chapter 6, Permittee
Chapter 7, Licensing
Chapter 9, Rules of Pari-Mutuel Betting
Chapter 10, Simulcasting

Following is a summary of comments:

No public comments were submitted to, or received by, the Wyoming Pari-Mutuel Commission during the public comment period (August 12, 2013 through September 26, 2013).

CHAPTER 1

DEFINITIONS

In applying the Rules and all amendments the following definitions, constructions and interpretations shall apply, except where otherwise indicated in the Rules:

(a) AGE of a horse is designated as beginning on the first of January in the year in which it is foaled.

(b) ARREARS include all monies due for entrance forfeits, fees (including jockey fees), forfeitures, subscriptions, stake and also any default in money incident to the Rules.

(c) ARCI means the Association of Racing Commissioners International Inc.

(d) AUTHORIZED AGENT is a person appointed by a written instrument signed and acknowledged before a notary public, by the owner and filed with the Commission in accordance with the Rules.

(e) BOOKMAKER is a person in the business of taking bets on pari-mutuel events other than through the totalisator equipment approved by the Commission.

(f) BREAKAGE is the odd cents on any sum otherwise distributable in a pari-mutuel pool. Also the difference between the amount contributed to the pari-mutuel pool and the total of the commissions (takeouts) and the sums redistributed to the contributors.

(g) BRED - A horse is "bred" at the place of its birth.

(h) BREEDER of a horse is the owner of its dam at the time of foaling.

(i) BREED AWARD means monies collected pursuant to W.S. § 11-25-105(j) and distributed by the Commission to promote the improved breeding and development of the horse industry in Wyoming. Breeder awards may include purse enhancement of Wyoming Bred races.

(j) CALENDAR DAY is twenty-four hours ending at midnight.

(k) CONCESSION OPERATOR means a person or entity performing a service or selling any articles under a license issued by the Commission.

(l) COMMISSION shall mean the Wyoming Pari-Mutuel Commission.

(m) DECLARATION shall mean the act of withdrawing an entered horse from a race before the closing of overnight entries.

(n) DIRECTLY INVOLVED pertains to live racing or pari-mutuel events and means a person who, due to his or her employment or status, has access to restricted areas or animals housed on permitted event grounds for racing or use in pari-mutuel events.

(o) DRUG means any narcotic, sedative, anesthetic, analgesic, drug or medication of any kind intended for the use in any manner for the treatment or prevention of a condition which could affect the racing condition or performance of an animal. Also included is any substance which, can affect or interfere with the true and accurate testing and analysis of blood, urine, saliva, or other samples taken from animals.

(p) ENGAGEMENT is the obligation of a Jockey or a horse to participate in a race.

(i) ENGAGEMENT BOOK is a record of engagements kept by a Jockey Agent.

(q) ENTRY MEANS;

(i) A horse eligible and entered in a race.

(ii) COUPLED ENTRY means two or more horses which are entered in a race and are owned in whole or in part by the same owner, or are trained by a trainer who owns any interest in any of the other horses in the race and appear on the program as a single betting interest, (often referred to as "an entry").

(r) EQUIPMENT, as applied to a horse, shall mean whips, spurs, blinkers, tongue straps, muzzles, hoods, nosebands, bits, shadow rolls, martingales, breast plates, bandages, boots, plates and all other paraphernalia which is used on a horse while racing.

(s) EVENT means a pari-mutuel event per W.S. § 11-25-102(a)(iv).

(t) EXOTIC WAGERING shall mean a bet, which is comprised of three or more wagering interests.

(u) FIELD means

(i) The entire group of horses in a race.

(ii) The highest numbered horse within the capacity of the tote and all other horses of a higher number grouped together in wagering.

(v) FORFEIT shall mean money due because of an error, fault, neglect of duty, breach of contract or a penalty.

(w) GROUND means the entire area used by the Permittee to conduct pari-mutuel events including but not limited to the grandstand, parking lot, concession area, and stables.

(x) HANDBOOK is a bookmakers book of bets or a place where bookmaking is carried on.

(y) HORSE includes colt, filly, gelding, mare, and ridgling. For racing purposes and programming a horse shall be designated as follows:

(i) Male: horse, colt, gelding or ridgling. (A horse is an entire male five years old or older.)

(ii)Female: filly or mare. (A filly becomes a mare when five years old.)

(z) HOST FACILITY OWNER is a person that leases or rents its facility located in Wyoming to a Permittee to host pari-mutuel events as approved by the Commission.

(aa) HISTORIC HORSE RACING means a race which has previously occurred and is electronically transmitted for wagering purposes and approved by the Commission.

(bb) IN TODAY HORSE is any horse which, has drawn a position in a race and enters again on the next day that entries are taken.

(cc) JOCKEY is a race rider, whether a licensed jockey, an apprentice or an amateur.

(dd) JOURNEYMAN JOCKEY is a licensed jockey.

(ee) LICENSEE means a person issued a license by the Commission.

(ff) MAIDEN is a horse that has never won a race on the flat in a state or country where racing is supervised by a legalized racing commission or board and where the races are covered by the Racing Form or other similar authorized publication. A maiden which has been disqualified after finishing first is still to be considered a maiden.

(gg) MEETING - Entire period for which a permit to hold pari-mutuel events has been granted to any one Permittee by the Commission.

(hh) MINOR is any person under the age of 18.

(i) BETTING AGE is any person that is 18 years of age or older.

(ii) MONTH is a calendar month.

(jj) MUTUEL DEPARTMENT consists of the equipment and personnel necessary to conduct pari-mutuel wagering and the department is under the supervision of the mutuel manager.

(kk) MUTUEL FIELD (see FIELD).

(ll) NOMINATOR is the person in whose name a horse is entered for a race.

(mm) OFF-TIME is the instant the stall doors of the starting gate open in front of the horses at the start of a race.

(nn) OWNER includes sole owner, part owner or lessee of a horse. An interest in the winnings of a horse does not constitute part ownership.

(oo) PARI-MUTUEL TICKET is a document printed or record produced by a pari-mutuel system device on which is represented a pari-mutuel wager or wagers that have been authorized and accepted for purposes of participation in a pari-mutuel pool.

(pp) PATRON is a member of the public present on the grounds of a Permittee during a meeting for the purpose of wagering or to observe racing.

(qq) PERMITTEE means any person or entity granted a permit by the Commission to conduct pari-mutuel events within the State of Wyoming.

(rr) PLACE in racing shall mean first, second, third or fourth and in that order is called "Win", "Place", "Show", and "Fourth".

(ss) POST POSITION means the pre-assigned position from which a horse will leave the starting gate.

(tt) POST TIME is the time set for the arrival of the horses in a race at the starting point and must be shown a reasonable time prior to the race on a clock device provided for that purpose, prominently displayed and clearly readable from the grandstand.

(uu) RACE is a contest between horses for purse, stakes, premium, wager for money or entrance fees on any permitted course and in the presence of the stewards.

(vv) RACES, TYPES

(i) CLAIMING RACE is a race in which any horse entered may be claimed in conformity with the rules.

(ii) OPTIONAL CLAIMING RACE is a race restricted to horses entered to be claimed for a stated claiming price and to those which have previously started for that claiming price or less. In the case of horses entered to

be claimed in such a race, the race will be considered, for the purpose of these rules, a claiming race.

(iii) HANDICAP is a race in which the weights to be carried by the entered horses are adjusted by a handicapper.

(iv) MATCH is a private sweepstakes between two horses which, are the property of two different owners. If prior to the running of the race either of the horses entered in the match dies or if either owner dies, the match is void. It remains a match even if money or other award is added to the stakes. (Not allowed in Wyoming unless by special permission of the Commission.)

(v) OVERNIGHT RACE is one for which entries close seventy-two (72) hours or less before the time set for the first race of the day on which the race is to be run.

(vi) PRIVATE SWEEPSTAKES is a stakes race in which the participating nominators have engaged their respective entries and which, previous to closing has not been advertised for entries in any manner whatsoever. It remains a private sweepstakes even if money or any other award is added to the stakes.

(vii) PRODUCE RACE is a race in which the produce of horses named or described at the time of entry are contestants.

(viii) PURSE RACE is a race for money or any other prize to which the owners of the horses engaged do not contribute.

(ix) STAKES RACE OR SWEEPSTAKES is a race to which nominators of the engaged entries contribute to a purse to which money or any other award may be added, but no overnight race regardless of its conditions shall be deemed a stakes race.

(x) WALK OVER means a race in which only one horse starts or in which all the starters are owned by the same interest. To claim the purse the horses(s) must start and go the distance of the race.

(xi) WYOMING BRED RACE is a race in which all entries are Wyoming Bred horses.

(ww) RACE DAY means any period of twenty-four (24) hours beginning at midnight and included in the period of a race meeting on which flat track horse racing is held. In the matter of penalties the word "Day" means a "Race Day".

(xx) RECOGNIZED MEETING shall be any meeting wherever held under the sanction of a turf authority having reciprocal relations with the Commission and other turf authorities approved by the Commission for the mutual enforcement of rulings imposed on persons guilty of fraudulent turf practices of any kind.

(yy) RESTRICTED AREA is an enclosed portion of a Permittee's grounds or wagering site with access limited to licensees who require access due to their occupation or participation.

(zz) RESIDENT - A Wyoming resident is one who maintains a fixed, customary habitation (residence) within the borders of the State of Wyoming and to which, whenever he or she is absent, and intends to return.

(aaa) ROPER is a contestant in a pari-mutuel roping event.

(bbb) ROPING EVENT is a professional roping contest permitted by the Commission.

(ccc) RULES shall mean the Rules and Regulations herein prescribed and any amendments or additions.

(ddd) SCRATCH shall mean the act of withdrawing an entered horse from the race after the closing of overnight entries.

(eee) SCRATCH TIME shall mean the time set by the stewards for the closing of applications for permission to withdraw from races of that day.

(fff) SIMULCAST means the sale of pari-mutuel pools electronically transmitted live or historic on interstate or intrastate pari-mutuel events as prescribed by the Commission.

(ggg) STARTER - A horse is a "Starter" for a race when the stall doors of the starting gate open in front of it at the time the Starter dispatches the horses. STARTER is also the name used to designate the official whose duty it is to give the starting signal at the beginning of the race.

(hhh) STEWARDS shall mean the head racing or roping officials, or their duly appointed deputies, charged with the duty of making sure races and roping go-rounds are carried out in conformance with rules of the Commission.

(iii) SUBSCRIPTION shall mean the act of nominating to a stakes race.

(jjj) TRA means Thoroughbred Racing Associations of North America.

(kkk) TRPB means Thoroughbred Racing Protective Bureau.

(lll) UNTRIED HORSE is one whose produce are maidens.

(mmm) WEIGHT FOR AGE is the standard weight assigned by these rules to horses according to their ages.

(nnn) YEAR shall mean a calendar year.

CHAPTER 1

DEFINITIONS

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(c) ARCI means the Association of Racing Commissioners International Inc.

~~(e)~~(d) AUTHORIZED AGENT is a person appointed by a written instrument signed and acknowledged before a notary public, by the owner and filed with the Commission in accordance with the Rules.

~~(d)~~(e) BOOKMAKER is a person in the business of taking bets on pari-mutuel events other than through the totalisator equipment approved by the Commission.

~~(e)~~(f) BREAKAGE is the odd cents on any sum otherwise distributable in a pari-mutuel pool. Also the difference between the amount contributed to the pari-mutuel pool and the total of the commissions (takeouts) and the sums redistributed to the contributors.

~~(f)~~(g) BRED - A horse is "bred" at the place of its birth.

~~(g)~~(h) BREEDER of a horse is the owner of its dam at the time of foaling.

~~(h)~~(i) BREED AWARD means monies collected pursuant to W.S. § 11-25-105(j) and distributed by the Commission to promote the improved breeding and development of the horse industry in Wyoming. Breeder awards may include purse enhancement of Wyoming Bred races.

~~(i)~~(j) CALENDAR DAY is twenty-four hours ending at midnight.

~~(j)~~(k) CONCESSION OPERATOR means a person or entity performing a service or selling any articles under a license issued by the Commission.

~~(k)~~(l) COMMISSION shall mean the Wyoming Pari-Mutuel Commission.

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~~(n)~~(o) DRUG means any narcotic, sedative, anesthetic, analgesic, drug or medication of any kind intended for the use in any manner for the treatment or prevention of a condition which could affect the racing condition or performance of an animal. Also included is any substance which, can affect or interfere with the true and accurate testing and analysis of blood, urine, saliva, or other samples taken from animals.

~~(o)~~(p) ENGAGEMENT is the obligation of a Jockey or a horse to participate in a race.

(i) ENGAGEMENT BOOK is a record of engagements kept by a Jockey Agent.

~~(p)~~(q) ENTRY MEANS;

(i) A horse eligible and entered in a race.

(ii) COUPLED ENTRY means two or more horses which are entered in a race and are owned in whole or in part by the same owner, or are trained by a trainer who owns any interest in any of the other horses in the race and appear on the program as a single betting interest, (often referred to as "an entry").

~~(q)~~(r) EQUIPMENT, as applied to a horse, shall mean whips, spurs, blinkers, tongue straps, muzzles, hoods, nosebands, bits, shadow rolls, martingales, breast plates, bandages, boots, plates and all other paraphernalia which is used on a horse while racing.

~~(r)~~(s) EVENT means a pari-mutuel event per W.S. § 11-25-102-(a)(iv).

~~(s)~~(t) EXOTIC WAGERING shall mean a bet, which is comprised of three or more wagering interests.

~~(t)~~(u) FIELD means

(i) The entire group of horses in a race.

(ii) The highest numbered horse within the capacity of the tote and all other horses of a higher number grouped together in wagering.

~~(u)~~(v) FORFEIT shall mean money due because of an error, fault, neglect of duty, breach of contract or a penalty.

~~(v)~~(w) GROUNDS means the entire area used by the Permittee to conduct pari-mutuel events including but not limited to the grandstand, parking lot, concession area, and stables.

~~(w)~~(x) HANDBOOK is a bookmakers book of bets or a place where bookmaking is carried on.

~~(x)~~(y) HORSE includes colt, filly, gelding, mare, and ridgling. For racing purposes and programming a horse shall be designated as follows:

(i) Male: horse, colt, gelding or ridgling. (A horse is an entire male five years old or older.)

(ii)Female: filly or mare. (A filly becomes a mare when five years old.)

(z) HOST FACILITY OWNER is a person that leases or rents its facility located in Wyoming to a Permittee to host pari-mutuel events as approved by the Commission.

(aa) HISTORIC HORSE RACING means a race which has previously occurred and is electronically transmitted for wagering purposes and approved by the Commission.

~~(y)~~(bb) IN TODAY HORSE is any horse which, has drawn a position in a race and enters again on the next day that entries are taken.

~~(z)~~(cc) JOCKEY is a race rider, whether a licensed jockey, an apprentice or an amateur.

~~(aa)~~(dd) JOURNEYMAN JOCKEY is a licensed jockey.

~~(bb)~~(ee) LICENSEE means a person issued a license by the Commission.

~~(ee)~~(ff) MAIDEN is a horse that has never won a race on the flat in a state or country where racing is supervised by a legalized racing commission or board and where the races are covered by the Racing Form or other similar authorized publication. A maiden which has been disqualified after finishing first is still to be considered a maiden.

~~(dd)~~(gg) MEETING - Entire period for which a permit to hold pari-mutuel events has been granted to any one Permittee by the Commission.

~~(ee)~~(hh) MINOR is any person under the age of 18.

(i) BETTING AGE is any person that is 18 years of age or older.

~~(ff)~~(ii) MONTH is a calendar month.

~~(gg)~~(jj) MUTUEL DEPARTMENT consists of the equipment and personnel necessary to conduct pari-mutuel wagering and the department is under the supervision of the mutuel manager.

~~(hh)~~(kk) MUTUEL FIELD (see FIELD).

~~(ii)~~(ll) NOMINATOR is the person in whose name a horse is entered for a race.

~~(jj)~~(mm) OFF-TIME is the instant the stall doors of the starting gate open in front of the horses at the start of a race.

~~(kk)~~(nn) OWNER includes sole owner, part owner or lessee of a horse. An interest in the winnings of a horse does not constitute part ownership.

~~(oo)~~ PARI-MUTUEL TICKET is a document printed or record produced by a pari-mutuel system device on which is represented a pari-mutuel wager or wagers that have been authorized and accepted for purposes of participation in a pari-mutuel pool.

~~(ll)~~(pp) PATRON is a member of the public present on the grounds of a Permittee during a meeting for the purpose of wagering or to observe racing.

~~(mm)~~(qq) PERMITTEE means Any person or entity granted a permit by the Commission to conduct pari-mutuel events within the State of Wyoming.

~~(nn)~~(rr) PLACE in racing shall mean first, second, third or fourth and in that order is called "Win", "Place", "Show", and "Fourth".

~~(oo)~~(ss) POST POSITION is the pre-assigned position from which a horse will leave the starting gate.

~~(pp)~~(tt) POST TIME is the time set for the arrival of the horses in a race at the starting point and must be shown a reasonable time prior to the race on a clock device provided for that purpose, prominently displayed and clearly readable from the grandstand.

~~(qq)~~(uu) RACE is a contest between horses for purse, stakes, premium, wager for money or entrance fees on any permitted course and in the presence of the stewards.

~~(rr)~~(vv) RACES, TYPES

(i) CLAIMING RACE is a race in which any horse entered may be claimed in conformity with the rules.

(ii) OPTIONAL CLAIMING RACE is a race restricted to horses entered to be claimed for a stated claiming price and to those which have previously started for that claiming price or less. In the case of horses entered to be claimed in such a race, the race will be considered, for the purpose of these rules, a claiming race.

(iii) HANDICAP is a race in which the weights to be carried by the entered horses are adjusted by a handicapper.

(iv) MATCH is a private sweepstakes between two horses which, are the property of two different owners. If prior to the running of the race either of the horses entered in the match dies or if either owner dies, the match is void. It remains a match even if money or other award is added to the stakes. (Not allowed in Wyoming unless by special permission of the Commission.)

(v) OVERNIGHT RACE is one for which entries close seventy-two (72) hours or less before the time set for the first race of the day on which the race is to be run.

(vi) PRIVATE SWEEPSTAKES is a stakes race in which the participating nominators have engaged their respective entries and which, previous to closing has not been advertised for entries in any manner whatsoever. It remains a private sweepstakes even if money or any other award is added to the stakes.

(vii) PRODUCE RACE is a race in which the produce of horses named or described at the time of entry are contestants.

(viii) PURSE RACE is a race for money or any other prize to which the owners of the horses engaged do not contribute.

(ix) STAKES RACE OR SWEEPSTAKES is a race to which nominators of the engaged entries contribute to a purse to which money or any other award may be added, but no overnight race regardless of its conditions shall be deemed a stakes race.

(x) WALK OVER ~~is a race in which there are not two or more horses of separate interests sent postward.~~ means a race in which only one horse starts or in which all the starters are owned by the same interest. To claim the purse the horse(s) must start and go the distance of the race.

(xi) WYOMING BRED RACE is a race in which all entries are Wyoming Bred horses.

~~(ss)~~(ww) RACE DAY means any period of twenty-four (24) hours beginning at midnight and included in the period of a racing meeting on which

flat track horse racing is held. In the matter of penalties the word "Day" means a "Race Day".

~~(tt)~~(xx) RECOGNIZED MEETING shall be any meeting wherever held under the sanction of a turf authority having reciprocal relations with the Commission and other turf authorities approved by the Commission for the mutual enforcement of rulings imposed on persons guilty of fraudulent turf practices of any kind.

~~(uu)~~(yy) RESTRICTED AREA is an enclosed portion of a Permittee's grounds or wagering site with access limited to licensees who require access due to their occupation or participation.

~~(vv)~~(zz) RESIDENT - A Wyoming resident is one who maintains a fixed, customary habitation (residence) within the borders of the State of Wyoming and to which, whenever he or she is absent, ~~he~~and intends to return.

~~(ww)~~(aaa) ROPER is a contestant in a pari-mutuel roping event.

~~(xx)~~(bbb) ROPING EVENT is a professional roping contest permitted by the Commission.

~~(yy)~~(ccc) RULES shall mean the Rules and Regulations herein prescribed and any amendments or additions.

~~(zz)~~(ddd) SCRATCH shall mean the act of withdrawing an entered horse from the race after the closing of overnight entries.

~~(aaa)~~(eee) SCRATCH TIME shall mean the time set by the stewards for the closing of applications for permission to withdraw from races of that day.

~~(bbb)~~(fff) SIMULCAST means the sale of pari-mutuel pools electronically transmitted live or historic on interstate or intrastate ~~televised~~ pari-mutuel events as prescribed by the Commission.

~~(ccc)~~(ggg) STARTER - A horse is a "Starter" for a race when the stall doors of the starting gate open in front of it at the time the Starter dispatches the horses. STARTER is also the name used to designate the official whose duty it is to give the starting signal at the beginning of the race.

~~(ddd)~~(hhh) STEWARDS shall mean the head racing or roping officials, or their duly appointed deputies, charged with the duty of making sure races and roping go-rounds are carried out in conformance with rules of the Commission.

~~(eee)~~(iii) SUBSCRIPTION shall mean the act of nominating to a stakes race.

~~(fff)~~(jjj) TRA means Thoroughbred Racing Associations s of North America.

- | ~~(ggg)~~(kkk) TRPB means Thoroughbred Racing ~~and~~ Protective Bureau.
- | ~~(hhh)~~(lll) UNTRIED HORSE is one whose produce are maidens.
- | ~~(iii)~~(mmm) WEIGHT FOR AGE is the standard weight assigned by these rules to horses according to their ages.
- | ~~(jjj)~~(nnn) YEAR shall mean a calendar year.

CHAPTER 5

OFFICIALS

Section 1. **Officials, Generally.**

(a) At least thirty (30) days prior to the first day of a meeting the Permittee shall submit in writing to the Commission the names of all officials engaged for the pari-mutuel event and no official shall be qualified to act until approved and licensed by the Commission. In the event of incapacitation of any approved official the Permittee may, with the approval of the Commission, appoint a substitute.

(b) Any official or any other person who accepts conditions of employment with a Permittee in this state and is licensed by the Commission, who leaves such employment while a race meeting is in progress without first obtaining permission from the Permittee and the Commission, shall be subject to suspension.

(c) The officials of a race meeting are as follows: three (3) Stewards; three (3) Placing Judges; two (2) or more Patrol Judges; Clerk of the Scales; Starter; Handicappers; Timers; Paddock Judge; Identifier; Veterinarian; Racing Secretary; Mutuel Manager; Custodian of the Jockey Room, and other officials that the Commission may prescribe.

(d) No person shall be considered for approval by the Commission as an official unless such person is a professional racing official with a reputation of good character and ability or has indicated a desire to become a professional racing official and is in possession of sufficient experience and/or has a special aptitude for such a position.

(e) The Stewards and the Official Veterinarian for each meeting shall be selected by the Commission. The Commission may require the Permittee to pay for the salary of not more than two (2) Stewards. All other officials designated shall be appointments by the Permittee, being subject to the approval of the Commission, which reserves the right to demand a change of personnel for what it deems good and sufficient reason, the successor to officials replaced to be subject to the approval of the Commission.

(f) No one interested in the result of a race or permitted event, either because of ownership of any horse entered, or of his sire or dam, or because of bets or otherwise, shall act as an official in respect to that race or permitted event.

(g) No Permittee shall employ or accept the services of any person as an official or in any other capacity who is the owner or trainer of, or who has any interest, financial or otherwise, in horses registered for racing at their meeting or

pari-mutuel event unless special permission has been granted by the Commission.

(h) No official or assistant shall wager money or any other chattel of value on the result of any pari-mutuel event.

(i) No official or assistant shall accept, directly or indirectly, any gratuity, reward or favor in connection with any permitted pari-mutuel event. This provision does not apply to salaries received from the Permittee.

(j) No official or assistant shall buy or sell any contract upon any jockey or apprentice jockey for himself or another.

(k) No official or assistant shall write or solicit horse insurance at the meeting.

(l) Each official or assistant shall report to the Stewards/Judges all observed violations of the rules.

(m) No officials other than the Stewards and the Starter shall have the right to impose a fine; only the Stewards may impose a suspension.

(n) An official imposing a fine or suspension shall report daily to the Commission, Racing Secretary and Horsemen's Bookkeeper in writing.

(o) All fines shall be paid to the State Steward within 48 hours after imposition and notice. If the meet is not in session, fines shall be mailed to the Commission office within 48 hours of receiving notice from the Stewards or the Commission. Checks shall be made payable to the State of Wyoming.

Section 2. Barn Superintendent. (See Racing Secretary)

Section 3. Clerk of Scales.

(a) The Clerk of Scales shall weigh all jockeys out and the first four place finishers in.

(b) The Clerk of Scales shall record and publish on the notice board any overweight or any change of jockey, weight or racing colors as compared with those stated on the official program and shall promptly supply the racing officials with all pertinent changes.

(c) The Clerk of Scales shall promptly report to the Stewards any infraction of the Rules with respect to weight, weighing or riding equipment.

(d) The Clerk of Scales shall maintain and deliver to the Racing Secretary and the Horsemen's Bookkeeper at the end of each race day, a record of the weights carried by each horse in each race together with the name of each horse's jockey and the overweight carried by each jockey.

(e) All jockeys taking part in a race must be weighed out by the Clerk of Scales not less than twenty (20) minutes before the time fixed for the race (the horse in each instance being specified), and the number of the horse shall be exhibited officially as soon as possible, and the first four-place finishers shall be weighed in at the conclusion of the race.

(f) If the overweight is more than two (2) pounds in excess of the weight the horse is to carry (the owner or trainer consenting), the jockey shall declare the amount of overweight to the Clerk of Scales at least forty-five (45) minutes before the time appointed for the race and the Clerk shall have the overweight posted immediately on the Notice Board or announced publicly. Failure on the part of any jockey to comply with this rule shall be reported to the Stewards.

(g) Seven (7) pounds is the limit of the overweight any horse is allowed to carry, unless approved by the Stewards. Public announcement of the overweight must be made.

Section 4. **Handicapper.**

(a) The Handicapper, who may be the Racing Secretary, shall assign the weights to be carried by each horse in a Handicap.

(b) The Handicapper shall append to the weights for every Handicap the day and hour for which winners will be liable to weight penalty.

(c) If there are no penalties, that fact shall be appended to the weights. No alteration of weights shall be made after publication.

(d) In case of omission, through error, of the name or weight to be carried of a horse duly entered, the omission shall be rectified by the Handicapper, with permission of the Stewards.

Section 5. **Horsemen's Bookkeeper.**

(a) Each Permittee shall have a Bookkeeper, approved by the Commission, whose duty it is to keep and preserve books which will reflect the deposits or other credits and withdrawals or other charges that may be made by an owner or other licensee. The Bookkeeper shall have an office at the track and the office shall be open on each racing day during the hours specified by the

Commission. He must also furnish reports and information as may be required by the Commission or its representative.

(b) The Bookkeeper shall maintain a record which includes the information obtained from the Clerk of the Scales per Section 3(d), the "post time" of each race, running time of the race, the amount of the purse and its division, the placing of the first five horses as reported by the placing judges and the amounts of fees collected or charged. All rulings made by the Stewards and the original signed claims shall be attached to and become a part of the Bookkeeper's record.

(c) The Bookkeeper shall keep a complete record of all races and submit the record to the Racing Secretary and the Commission.

(i) Total purses paid for the event including added money in stakes.

(ii) List of all stakes races.

(A) Total added money.

(B) Total amount contributed by horsemen.

(C) Total purses plus added money.

(iii) Total number of two-year-old races.

(A) Total purses paid.

(B) Total amount contributed by horsemen.

(iv) Total number of three-year-old races.

(A) Total purses paid.

(B) Total amount contributed by horsemen.

(v) Total number of "Wyoming Bred" races run.

(A) Total purses paid for "Wyoming Bred" races.

(B) Total amount contributed by horsemen.

(vi) Total number of "Wyoming Bred" races offered but unable to fill.

(vii) Complete list of all claimed horses including price and date of claim.

(d) The Bookkeeper may receive all stakes, forfeits, fines, entrance monies, fees (including jockey's fees), purchase money in claiming races and all other money that can properly come into his possession as agent for the Permittee for which he is acting.

(e) The Bookkeeper's office must be open at least one (1) hour immediately prior to post time of the first race of the day for receiving jockey mount money.

(f) The Horsemen's Bookkeeper shall pay over, when due, all monies collected by him to persons entitled to receive payment.

Section 6. **Identifier.**

(a) No horse shall be permitted to start that has not been fully identified by the Official identifier. The trainer is responsible for the identification.

(b) It shall be the duty of the Official Identifier to check all contestants for each and every race and to have all horses properly identified, keeping a record on solid colored horses and horses starting for the first time according to the published record of the "Chart Books" of the Daily Racing Form. This record is to be retained by the Racing Secretary.

Section 7. **Jockey Room Custodian.**

(a) It shall be the duty of the Jockey Room Custodian to see to it that order, decorum and cleanliness are maintained in the jockey and scale rooms.

(b) The Custodian shall assist the Clerk of Scales in the performance of his duties.

(c) The Custodian shall see to it that no person, other than racing officials, the Commission or its representatives, and the necessary Jockey Room Attendants, is admitted to the jockey room on a day of racing without express permission of the Stewards for each time of entry.

(d) The Custodian may permit the assistant starters to use the jockey room for the purpose of changing clothes prior to post time and following the running of the last race. However, they shall not be in the jockey room between forty-five (45) minutes before post time for the first race, and the finish of the last race.

(e) The Custodian shall oversee the care and storage of all racing colors.

(f) The Custodian shall oversee the jockey attendants and arrange their rotation among jockeys in the matter of weighing out.

(g) The Custodian shall see to it that no jockey attendant not approved by the Stewards and licensed by the Commission is permitted to assist any jockey at any time.

(h) The Custodian shall report to the Stewards any irregularities that occur in his province.

(i) The Custodian shall see to it that jockeys are neat in appearance and attired in keeping with the rules when they leave the rooms to ride in a race.

Section 8. **Mutuel Manager.**

(a) The Mutuel Manager of the pari-mutuel department shall deliver to the Commission at the end of each pari-mutuel event day a record showing the amount wagered in each pool, including but not limited to "win", "place", and "show", the commissions, and the breakage for each race or go-round and the totals of each for the day. The method of delivery shall be approved by the Commission or its designate.

(b) The manager of the pari-mutuel department shall deliver to the Commission at the conclusion of the meet or at any time requested prior to that time, a copy of all take-out and calculating sheets.

(c) The mutuel manager is held responsible for the conduct of his employees. He shall not be responsible for their "shorts" or "longs".

(d) Each seller or cashier shall be responsible to the mutuel manager for his "shorts" or "longs"; the "shorts" or "longs" shall be recorded and reported to the Commission daily.

(e) The Mutuel Manager shall employ only those licensed by the Commission.

(f) The Mutuel Manager shall allow no one to enter the mutuel department other than those entitled to do so by virtue of these rules.

(g) The Mutuel Manager shall countersign (or approve) all licenses granted by the Commission to the employees of the mutuel department if requested to do so by the Commission.

(h) The Mutuel Manager shall report in detail to the Commission his reasons for discharging an employee.

(i) The Mutuel Manager shall be responsible for the "odds board" and the information it reflects.

Section 9. **Outrider.**

(a) There shall be at least one Outrider. The Outriders shall be neat in appearance and must wear an approved helmet with chin strap securely fastened while on the track. The Outriders shall be approved by and work under the direction of the Stewards.

(b) The Leading Outrider shall:

(i) Be in charge of the post parade.

(ii) See to it that all jockeys and pony riders conduct themselves in a manner in conformity with the best interests of racing.

(iii) Not permit any rider to dismount unless an emergency exists.

(iv) Take special notice of all pony riders and jockeys to see that no illegal equipment is exchanged and that all conversation during the post parade is held to a minimum.

(v) Report to the Stewards any unusual conduct that occurs during the parade.

(vi) Report to the Stewards any participant in the parade who is not neatly attired.

Section 10. **Paddock Judge.**

(a) In the interest of public safety, the Paddock Judge shall exclude from the paddock all persons who have no immediate business with the horses entered. The members of the Commission, its staff and special representatives and those having special permission from the Stewards are excepted.

(b) The Paddock Judge shall keep a record of all equipment carried by all horses in all races under his jurisdiction, permitting no change in equipment not authorized by the Stewards.

(c) The Paddock Judge shall see that all horses are properly shod in every race.

(i) A horse starting in a race shall not be shod with ordinary or training shoes nor shall he run without shoes unless by permission of the Stewards.

(ii) Bar plates may be used only with consent of the Stewards and discontinuance of their use must be approved by the Stewards.

(d) The Paddock Judge shall report any irregularities to the Stewards.

(e) The Paddock Judge may permit a horse to be led to the post with a privately owned pony if the rider is properly licensed by the Commission.

Section 11. **Patrol Judge.**

(a) When deemed necessary by the Stewards the Permittee shall appoint patrol judges who shall be capable men of experience and integrity. The Patrol Judges must be approved by the Commission before appointment.

(b) The Stewards shall determine the number of Patrol Judges needed and shall direct the placing of the Patrol Judges at points of vantage about the racetrack.

Section 12. **Photographer.**

(a) On all tracks the operator of the camera taking pictures approaching the finish line and in the winner's circle after each race, shall be approved by the Commission.

(b) Photographers other than the official licensed photographer, shall not be permitted on the track or arena unless special permission is granted by the Stewards or the Commission, and then only for pictures that are specifically mentioned and in places indicated by the Stewards or the Commission.

Section 13. **Placing Judges.**

(a) The Placing Judges shall occupy the Placing Judges' stand at the time the horses pass the winning post in each race, and their duty shall be to place and record five horses or as many more as they think proper in the order of their finish in each race.

(b) The Placing Judges shall announce their decisions without delay and display the number of the first four horses in the order of their finish, and their decisions shall be final unless objection to the winner or any horse placed within the purse is made and sustained. This rule shall not prevent the judges

from correcting any mistake, such correction being subject to confirmation by the Stewards.

(c) When the Placing Judges differ, the majority shall govern, but first a photograph of the finish shall be inspected as an aid in the decision and at all times when the winning range is less than half a length and in other cases where the horses are widely spaced across the track, the photograph, if available, shall be inspected and an identical copy shall be posted for public observation.

(d) If it is considered advisable to consult a picture from the finish camera, the placing judges shall post, without waiting for a picture, placements as are in their opinion unquestionable, and after consulting the picture, make the other placements.

(e) The Placing Judges shall consider only the relative position of the respective noses of the horses in determining the places of the horses at the finish of a race.

(f) Nothing in these rules shall be construed to prevent the Placing Judges, with the approval of the Stewards, from correcting an error before the display of the "Official" sign in case it has been displayed through error.

(g) It shall be the duty of the Placing Judges to advise the Clerk of Scales of the finish of each race as it is declared "Official".

Section 14. **Racing Secretary.**

(a) The Racing Secretary shall discharge all duties of his office, expressed or implied, as required by the Rules.

(b) It shall be the duty of the Racing Secretary or the Barn Superintendent to assign to applicants such stabling as he may deem proper. He and the Stewards shall settle any and all conflicting claims for stable privileges.

(c) It shall be the duty of the Racing Secretary to maintain an accurate record of all races won and to attach the record to the turf authority registration papers.

(d) The Racing Secretary shall compile an official program for each racing day which shall state the time fixed for the first race and give the names of the horses which are to run in each of the races of the day.

(e) The program shall indicate the order in which each race is to be run; the purse, conditions, distance of each race; the owner, trainer, and jockey for each horse; each owner's racing colors, the weight assigned to each horse;

his number and post position, color, sex, age and breeding. The program may show other pertinent data subject to the approval of the Commission.

(f) The Racing Secretary shall receive all entries and declarations.

(g) The Racing Secretary shall be charged with the responsibility of maintaining exact records of the licenses of trainers and jockeys, partnership papers, contracts between a jockey and his employers, appointment of authorized agents and jockey agents, the adoption of colors, and the adoption of assumed names. The Racing Secretary shall include appropriate information in the programs.

(h) Each morning as soon as the entries have been closed and compiled, the declarations made and approved by the Stewards the Racing Secretary shall post the overnight list in a conspicuous place in his office. Any newspaper desiring the overnight list shall be furnished a copy.

Section 15. **Starter.**

(a) Only the Starter, or a deputy approved by the Starter, the Stewards and the Commission, may start a race.

(i) In case of emergency a Starter may be appointed by the Stewards.

(ii) Such emergency shall not exist for more than three racing days without Commission approval.

(b) The Starter shall give all orders and take all measures necessary to insure a fair start.

(c) The Starter's decision as to the validity of a start shall be final; likewise, his decision as to whether or not a horse was locked in the gate shall be final.

(d) The Starter may appoint his assistants subject to the approval of the Stewards and the Commission; however, no assistant starter shall be a person owning or having any interest in any horse eligible to race at the meeting nor any person having any interest in the result of any race or be or have been the employee of any such person during the progress of the event, unless approved by the Stewards and/or the Commission.

(e) No person shall give to any Starter or Assistant Starter, nor shall any Starter or Assistant Starter receive money, anything of value, or other compensation for their services in connection with the running of any race. No

Starter or Assistant Starter shall bet on any race or engage in any betting transaction. This rule has no application to salaries received from Permittee.

(f) In case the alignment of the horses at the post is delayed, the Starter may permit the jockeys to dismount and their mounts to be attended.

(g) If after reaching the starting post, a horse is so badly injured as to make it impractical or impossible for him to run in a race, the Starter or official veterinarian, may with the approval of the Stewards excuse that horse. If a horse is excused, the "Start" must be delayed until the mutuel department is notified and a change of "Odds" is made.

(h) The Starter is required to load horses into the starting gate in order of post position except in cases of emergency or by prior permission of the Stewards.

(i) If the Starter or his assistants are unable after reasonable efforts to place a horse in the gate for a satisfactory start or if the horse is mean or unruly, the Starter may order that horse placed outside the gate, one full length behind the starting line. The horse shall be denied the right of future entry until reinstated by the Starter or Stewards.

(j) The Starter shall maintain a schooling list and all horses shall be schooled at or in the starting gate, if and when required, under the personal supervision of the starter.

(k) Only the Starter or Stewards shall have the authority to designate the horses which shall be placed on the schooling list.

(l) A horse will not be eligible to start until the Starter orders the name stricken from the schooling list.

(m) The Starter shall file a copy of the schooling list with the Racing Secretary. The list must be prominently displayed.

(n) The Starter may fine a jockey for disobedience of orders or for attempting an unfair advantage or for creating a disturbance before the "Start", but the fine shall not exceed \$200.00.

(o) The Starter's authority to fine begins when horses arrive at the gate and ends at "Off-Time". All infractions noted by the starter after "Off-Time" shall be reported immediately to the Stewards.

(p) The Starter shall report in writing to the Stewards and to the Racing Secretary all fines which he has imposed and no fines reported shall be modified other than by the authority of the Commission.

(q) Neither the Starter nor his assistants shall mistreat or use abusive language to a jockey. Violators are subject to disciplinary action by the Stewards.

(r) The Starter's approval of the starting ability of all two-year olds must be obtained before they are permitted to start. He must state whether whip and/or blinkers were used in schooling.

(s) The Starter's approval must be obtained for all older horses that have never started at a recognized meeting.

(t) A false start is void and the horses shall be started again as soon as practical. Any horse running the course from a false start may be excused from the true race by the Stewards.

(u) If a horse is locked in the gate, the Starter shall immediately notify the Stewards who, in turn, shall immediately notify the Manager of the mutuel department. The Starter shall be the sole judge of what horse or horses are prevented from starting in a race through failure of gates to open.

Section 16. **Stewards.**

(a) The Stewards shall have the power to interpret and enforce these Rules and determine all questions pertaining to a racing or pari-mutuel event matter not specifically covered by these Rules in conformity with justice and customs of the turf or pari-mutuel events, being subject to the powers and duties of the Commission.

(b) In matters pertaining to racing or pari-mutuel events, the order of the Stewards supersedes the orders of the officers and directors of the Permittee.

(c) It shall be the duty of the Stewards to regulate and govern the conduct of all officials and of all owners, trainers, ropers, jockeys, grooms, and other persons attending horses during, before and after events, unless the power and the duty is vested only in the Commission.

(d) The Stewards shall have unrestricted access to all stands, weighing rooms and jockey rooms, enclosures and other places in use for the event.

(e) All entries and declarations shall be under the supervision of the Stewards or Judges and no declaration shall be made without their permission.

(f) All questions within their authority shall be determined by a majority vote of the Stewards or Judges.

(g) In the interest of the health, safety, and welfare of the people of the State of Wyoming, the Stewards may summarily declare a horse scratched and may suspend a license pending a Stewards hearing and/or hearing before the Commission.

(h) The Stewards have power to punish at their discretion any person subject to their control for violations of these Rules or regulations or for violation of the horse racing laws of Wyoming by suspension from acting or riding for up to thirty (30) calendar days or by fine not exceeding \$1,000 or both, and if they consider necessary any further punishment or additional fine, they shall so report to the Commission. The licensee is entitled to attend an informal hearing with the Stewards before punishment is imposed.

(i) Informal Stewards Hearing, see Chapter 3, Section 4.

(ii) Formal Stewards Hearing, see Chapter 3, Section 5.

(i) The Stewards are vested with the power to determine the extent of disqualification in case of fouls. They may place the offending horse behind the horses they judged it interfered with, or they may place it last.

(j) The Steward/Judges are vested with the power in roping events to determine the extent of an infraction. They may assess a time penalty, or disqualify the individual contestant.

(k) The Stewards may demand proof that a horse neither is disqualified in any particular; nor entered or owned, in whole or in part, by a disqualified person, or trained in whole or in part by a disqualified person. In absence of proof satisfactory to them, the Stewards may declare the horse disqualified.

(l) The Stewards/Judges may postpone a race, event, or performance from race day to race day or performance to performance.

(m) The Stewards shall have the power to examine or cause to be examined at any time any horse stabled on the Permittee grounds or in stabling approved by the Permittee.

(n) The three Stewards must be on duty during race time, which shall mean from one hour before post time for the first race of the day and until after the last race of the day has been made official.

(o) At least one of the Stewards or his deputy shall be on duty thirty (30) minutes prior to scratch time each morning until after the drawing of post positions.

(p) A Steward may appoint his own deputy at any time.

(q) If there is only one Steward present at race time that Steward shall appoint two other qualified persons to act with him as Stewards pro tem.

(r) If only two Stewards are present at race time, they shall, by agreement, appoint a deputy for the absent Steward, providing the absent Steward has not already appointed his own deputy.

(s) If none of the Stewards are present at race time, the Executive Director shall appoint three qualified persons to act as Stewards pro tem. If the Director is absent the Racing Secretary shall make the appointments.

(t) Appointments of any deputy for a Steward shall be reported immediately to the Commission.

(u) There shall be three Stewards (no more, no less) acting during the running of each race.

(v) When a vacancy occurs among the racing officials, other than the Stewards prior to post time of the first race of the day or when a vacancy occurs after the racing of the day has been started, the Stewards shall immediately fill the vacancy. The appointment shall be effective only for the day unless the Permittee fails to fill the vacancy on the following day and notifies the Stewards of its action not less than one hour before the post time of the first race of the day. Such appointments shall be reported immediately to the Commission.

(w) The Stewards shall take notice of any questionable conduct with or without complaint thereof.

(x) The Stewards may substitute a jockey of their selection on any horse.

(y) The Stewards may place any horse in the temporary charge of a trainer of their selection.

(z) It shall be the duty of the Stewards to see to it that horses arrive at the starting post as nearly as practicable at the advertised time.

(aa) The State Steward shall sound the bell closing the pari-mutuel wagering no later than the opening of the starting gate.

(bb) In case of accident or casualty to a horse before "Off-Time", the Stewards may excuse the horse.

(cc) The Stewards must investigate promptly and render a decision in every protest and in every complaint properly made to them.

(dd) The Stewards shall report all protests and complaints to the Commission daily and shall make prompt report to the Commission of their decision.

(ee) The Stewards shall file with the Commission a signed report of any and all infractions of the rules coming under their observance before the close of each day and shall file with the Commission daily any and all rulings made that day.

Stewards for roping events additional information refer to Chapter 12, Section 7

Section 17. Timers.

(a) There shall be one or more Timers. They shall determine the official time of each race, go-round, or contestant.

(b) When an electric timing device is used, the races shall also be timed in the usual manner by the Timers. Any electrical timing device must be approved by the Commission.

(c) The time shall be announced and/or displayed immediately following each race or after each roper.

(d) Every Commission licensee exercising a race horse shall, upon request of an official timer, correctly state the distance over which the horse is to be worked and the point on the race track where it is intended to start the workout. He must identify the horse if requested to do so.

Timers for roping events additional information refer to Chapter 12, Section 8

Section 18. Commission Veterinarian.

(a) The Commission shall employ a graduate veterinarian surgeon in good standing and licensed to practice under the laws of the State of Wyoming.

(b) He shall be present in the paddock to inspect all horses and shall perform other duties as shall be prescribed by the Stewards or the Commission. Adequate transportation for him shall be provided by the Permittee.

(c) He shall be responsible for securing specimens of urine and blood or any other specimens from any horse designated by the Stewards or by the Commission and shall maintain records for identification of specimens as required by the Commission.

(i) He shall be responsible for securing the proper signatures of witnesses to the taking of specimens and the ultimate sealing for delivery to the official chemist.

(ii) He shall be responsible for delivery or shipment of all specimens to the official chemist.

(d) He shall be responsible for properly instructing his assistants in the performance of their duties.

(e) The Commission Veterinarian shall be considered an official of the Commission.

(f) The Commission Veterinarian must be present at the office of the Racing Secretary and/or Stewards' office prior to scratch time each racing day at a time designated by the Stewards to inspect any horses and report on their condition as may be requested by the Racing Secretary or Stewards.

(g) The Commission Veterinarian does not have the authority to scratch a horse but shall report to the Stewards his opinion of a horse's condition and his recommendations relative to scratching.

(h) No veterinarian employed by the Commission shall be permitted during the period of his employment to treat or prescribe for any horse participating in a pari-mutuel event, for compensation or otherwise, except in case of emergency in which case a full and complete report shall be made to the Stewards. No owner or trainer shall employ or pay compensation to the veterinarian during the period for which he is employed by the Commission.

(i) Veterinarians practicing at a race track or at any permitted event shall use one time disposable needles and shall dispose of them in an approved manner as directed by the Commission.

(j) No horse shall be destroyed on the grounds of a Permittee until it has been observed by the Official Veterinarian.

(k) The Commission Veterinarian and his assistants shall not wager on the outcome of any race at the event at which they are employed.

(l) The Commission Veterinarian shall be responsible for the posting of current medication guidelines as prescribed by the Commission.

CHAPTER 5

OFFICIALS

Section 1. **Officials, Generally.**

(a) At least thirty (30) days prior to the first day of a meeting the Permittee shall submit in writing to the Commission the names of all officials engaged for the pari-mutuel event and no official shall be qualified to act until approved and licensed by the Commission. In the event of incapacitation of any approved official the Permittee may, with the approval of the Commission, appoint a substitute.

(b) Any official or any other person who accepts conditions of employment with a Permittee in this state and is licensed by the Commission, who leaves such employment while a race meeting is in progress without first obtaining permission from the Permittee and the Commission, shall be subject to suspension.

(c) The officials of a race meeting are as follows: three (3) Stewards; three (3) Placing Judges; two (2) or more Patrol Judges; Clerk of the Scales; Starter; Handicappers; Timers; Paddock Judge; Identifier; Veterinarian; Racing Secretary; Mutuel Manager; Custodian of the Jockey Room, and other officials that the Commission may prescribe.

(d) No person shall be considered for approval by the Commission as an official unless such person is a professional racing official with a reputation of good character and ability or has indicated a desire to become a professional racing official and is in possession of sufficient experience and/or has a special aptitude for such a position.

(e) The Stewards and the Official Veterinarian for each meeting shall be selected by the Commission. The Commission may require the Permittee to pay for the salary of not more than two (2) Stewards. All other officials designated shall be appointments by the Permittee, being subject to the approval of the Commission, which reserves the right to demand a change of personnel for what it deems good and sufficient reason, the successor to officials replaced to be subject to the approval of the Commission.

(f) No one interested in the result of a race or permitted event, either because of ownership of any horse entered, or of his sire or dam, or because of bets or otherwise, shall act as an official in respect to that race or permitted event.

(g) No Permittee shall employ or accept the services of any person as an official or in any other capacity who is the owner or trainer of, or who has any interest, financial or otherwise, in horses registered for racing at their meeting or

pari-mutuel event unless special permission has been granted by the Commission.

(h) No official or assistant shall wager money or any other chattel of value on the result of any pari-mutuel event.

(i) No official or assistant shall accept, directly or indirectly, any gratuity, reward or favor in connection with any permitted pari-mutuel event. This provision does not apply to salaries received from the Permittee.

(j) No official or assistant shall buy or sell any contract upon any jockey or apprentice jockey for himself or another.

(k) No official or assistant shall write or solicit horse insurance at the meeting.

(l) Each official or assistant shall report to the Stewards/Judges all observed violations of the rules.

(m) No officials other than the Stewards and the Starter shall have the right to impose a fine; only the Stewards may impose a suspension.

(n) An official imposing a fine or suspension shall report daily to the Commission, Racing Secretary and Horsemen's Bookkeeper in writing.

(o) All fines shall be paid to the State Steward within 48 hours after imposition and notice. If the meet is not in session, fines shall be mailed to the Commission office within 48 hours of receiving notice from the Stewards or the Commission. Checks shall be made payable to the State of Wyoming.

Section 2. Barn Superintendent. (See Racing Secretary)

Section 3. Clerk of Scales.

(a) The Clerk of Scales shall weigh all jockeys out and the first four place finishers in.

(b) The Clerk of Scales shall record and publish on the notice board any overweight or any change of jockey, weight or racing colors as compared with those stated on the official program and shall promptly supply the racing officials with all pertinent changes.

(c) The Clerk of Scales shall promptly report to the Stewards any infraction of the Rules with respect to weight, weighing or riding equipment.

(d) The Clerk of Scales shall maintain and deliver to the Racing Secretary and the Horsemen's Bookkeeper at the end of each race day, a record of the weights carried by each horse in each race together with the name of each horse's jockey and the overweight carried by each jockey.

(e) All jockeys taking part in a race must be weighed out by the Clerk of Scales not less than twenty (20) minutes before the time fixed for the race (the horse in each instance being specified), and the number of the horse shall be exhibited officially as soon as possible, and the first four-place finishers shall be weighed in at the conclusion of the race.

(f) If the overweight is more than two (2) pounds in excess of the weight the horse is to carry (the owner or trainer consenting), the jockey shall declare the amount of overweight to the Clerk of Scales at least forty-five (45) minutes before the time appointed for the race and the Clerk shall have the overweight posted immediately on the Notice Board or announced publicly. Failure on the part of any jockey to comply with this rule shall be reported to the Stewards.

(g) Seven (7) pounds is the limit of the overweight any horse is allowed to carry, unless approved by the Stewards. Public announcement of the overweight must be made.

Section 4. **Handicapper.**

(a) The Handicapper, who may be the Racing Secretary, shall assign the weights to be carried by each horse in a Handicap.

(b) The Handicapper shall append to the weights for every Handicap the day and hour for which winners will be liable to weight penalty.

(c) If there are no penalties, that fact shall be appended to the weights. No alteration of weights shall be made after publication.

(d) In case of omission, through error, of the name or weight to be carried of a horse duly entered, the omission shall be rectified by the Handicapper, with permission of the Stewards.

Section 5. **Horsemen's Bookkeeper.**

(a) Each Permittee shall have a Bookkeeper, approved by the Commission, whose duty it is to keep and preserve books which will reflect the deposits or other credits and withdrawals or other charges that may be made by an owner or other licensee. The Bookkeeper shall have an office at the track and the office shall be open on each racing day during the hours specified by the

Commission. He must also furnish reports and information as may be required by the Commission or its representative.

(b) The Bookkeeper shall maintain a record which includes the information obtained from the Clerk of the Scales per Section 3(d), the "post time" of each race, running time of the race, the amount of the purse and its division, the placing of the first five horses as reported by the placing judges and the amounts of fees collected or charged. All rulings made by the Stewards and the original signed claims shall be attached to and become a part of the Bookkeeper's record.

(c) The Bookkeeper shall keep a complete record of all races and submit the record to the Racing Secretary and the Commission.

(i) Total purses paid for the event including added money in stakes.

(ii) List of all stakes races.

(A) Total added money.

(B) Total amount contributed by horsemen.

(C) Total purses plus added money.

(iii) Total number of two-year-old races.

(A) Total purses paid.

(B) Total amount contributed by horsemen.

(iv) Total number of three-year-old races.

(A) Total purses paid.

(B) Total amount contributed by horsemen.

(v) Total number of "Wyoming Bred" races run.

(A) Total purses paid for "Wyoming Bred" races.

(B) Total amount contributed by horsemen.

(vi) Total number of "Wyoming Bred" races offered but unable to fill.

(vii) Complete list of all claimed horses including price and date of claim.

(d) The Bookkeeper may receive all stakes, forfeits, fines, entrance monies, fees (including jockey's fees), purchase money in claiming races and all other money that can properly come into his possession as agent for the Permittee for which he is acting.

(e) The Bookkeeper's office must be open at least one (1) hour immediately prior to post time of the first race of the day for receiving jockey mount money.

(f) The Horsemen's Bookkeeper shall pay over, when due, all monies collected by him to persons entitled to receive payment.

Section 6. **Identifier.**

(a) No horse shall be permitted to start that has not been fully identified by the Official identifier. The trainer is responsible for the identification.

(b) It shall be the duty of the Official Identifier to check all contestants for each and every race and to have all horses properly identified, keeping a record on solid colored horses and horses starting for the first time according to the published record of the "Chart Books" of the Daily Racing Form. This record is to be retained by the Racing Secretary.

Section 7. **Jockey Room Custodian.**

(a) It shall be the duty of the Jockey Room Custodian to see to it that order, decorum and cleanliness are maintained in the jockey and scale rooms.

(b) The Custodian shall assist the Clerk of Scales in the performance of his duties.

(c) The Custodian shall see to it that no person, other than racing officials, the Commission or its representatives, and the necessary Jockey Room Attendants, is admitted to the jockey room on a day of racing without express permission of the Stewards for each time of entry.

(d) The Custodian may permit the assistant starters to use the jockey room for the purpose of changing clothes prior to post time and following the running of the last race. However, they shall not be in the jockey room between forty-five (45) minutes before post time for the first race, and the finish of the last race.

(e) The Custodian shall oversee the care and storage of all racing colors.

(f) The Custodian shall oversee the jockey attendants and arrange their rotation among jockeys in the matter of weighing out.

(g) The Custodian shall see to it that no jockey attendant not approved by the Stewards and licensed by the Commission is permitted to assist any jockey at any time.

(h) The Custodian shall report to the Stewards any irregularities that occur in his province.

(i) The Custodian shall see to it that jockeys are neat in appearance and attired in keeping with the rules when they leave the rooms to ride in a race.

Section 8. **Mutuel Manager.**

(a) The Mutuel Manager of the pari-mutuel department shall deliver to the Commission at the end of each pari-mutuel event day a record showing the amount wagered in each pool, including but not limited to "win", "place", and "show", the commissions, and the breakage for each race or go-round and the totals of each for the day. The method of delivery shall be approved by the Commission or it's designate.

(b) The manager of the pari-mutuel department shall deliver to the Commission at the conclusion of the meet or at any time requested prior to that time, a copy of all take-out and calculating sheets.

(c) The mutuel manager is held responsible for the conduct of his employees. He shall not be responsible for their "shorts" or "longs".

(d) Each seller or cashier shall be responsible to the mutuel manager for his "shorts" or "longs"; the "shorts" or "longs" shall be recorded and reported to the Commission daily.

(e) The Mutuel Manager shall employ only those licensed by the Commission.

(f) The Mutuel Manager shall allow no one to enter the mutuel department other than those entitled to do so by virtue of these rules.

(g) The Mutuel Manager shall countersign (or approve) all licenses granted by the Commission to the employees of the mutuel department if requested to do so by the Commission.

(h) The Mutuel Manager shall report in detail to the Commission his reasons for discharging an employee.

(i) The Mutuel Manager shall be responsible for the "odds board" and the information it reflects.

Section 9. **Outrider.**

(a) There shall be at least one Outrider. The Outriders shall be neat in appearance and must wear an approved helmet with chin strap securely fastened while on the track. The Outriders shall be approved by and work under the direction of the Stewards.

(b) The Leading Outrider shall:

(i) Be in charge of the post parade.

(ii) See to it that all jockeys and pony riders conduct themselves in a manner in conformity with the best interests of racing.

(iii) Not permit any rider to dismount unless an emergency exists.

(iv) Take special notice of all pony riders and jockeys to see that no illegal equipment is exchanged and that all conversation during the post parade is held to a minimum.

(v) Report to the Stewards any unusual conduct that occurs during the parade.

(vi) Report to the Stewards any participant in the parade who is not neatly attired.

Section 10. **Paddock Judge.**

(a) In the interest of public safety, the Paddock Judge shall exclude from the paddock all persons who have no immediate business with the horses entered. The members of the Commission, its staff and special representatives and those having special permission from the Stewards are excepted.

(b) The Paddock Judge shall keep a record of all equipment carried by all horses in all races under his jurisdiction, permitting no change in equipment not authorized by the Stewards.

(c) The Paddock Judge shall see that all horses are properly shod in every race.

(i) A horse starting in a race shall not be shod with ordinary or training shoes nor shall he run without shoes unless by permission of the Stewards.

(ii) Bar plates may be used only with consent of the Stewards and discontinuance of their use must be approved by the Stewards.

(d) The Paddock Judge shall report any irregularities to the Stewards.

(e) The Paddock Judge may permit a horse to be led to the post with a privately owned pony if the rider is properly licensed by the Commission.

Section 11. **Patrol Judge.**

(a) When deemed necessary by the Stewards the Permittee shall appoint patrol judges who shall be capable men of experience and integrity. The Patrol Judges must be approved by the Commission before appointment.

(b) The Stewards shall determine the number of Patrol Judges needed and shall direct the placing of the Patrol Judges at points of vantage about the racetrack.

Section 12. **Photographer.**

(a) On all tracks the operator of the camera taking pictures approaching the finish line and in the winner's circle after each race, shall be approved by the Commission.

(b) Photographers other than the official licensed photographer, shall not be permitted on the track or arena unless special permission is granted by the Stewards or the Commission, and then only for pictures that are specifically mentioned and in places indicated by the Stewards or the Commission.

Section 13. **Placing Judges.**

(a) The Placing Judges shall occupy the Placing Judges' stand at the time the horses pass the winning post in each race, and their duty shall be to place and record five horses or as many more as they think proper in the order of their finish in each race.

(b) The Placing Judges shall announce their decisions without delay and display the number of the first four horses in the order of their finish, and their decisions shall be final unless objection to the winner or any horse placed within the purse is made and sustained. This rule shall not prevent the judges

from correcting any mistake, such correction being subject to confirmation by the Stewards.

(c) When the Placing Judges differ, the majority shall govern, but first a photograph of the finish shall be inspected as an aid in the decision and at all times when the winning range is less than half a length and in other cases where the horses are widely spaced across the track, the photograph, if available, shall be inspected and an identical copy shall be posted for public observation.

(d) If it is considered advisable to consult a picture from the finish camera, the placing judges shall post, without waiting for a picture, placements as are in their opinion unquestionable, and after consulting the picture, make the other placements.

(e) The Placing Judges shall consider only the relative position of the respective noses of the horses in determining the places of the horses at the finish of a race.

(f) Nothing in these rules shall be construed to prevent the Placing Judges, with the approval of the Stewards, from correcting an error before the display of the "Official" sign in case it has been displayed through error.

(g) It shall be the duty of the Placing Judges to advise the Clerk of Scales of the finish of each race as it is declared "Official".

Section 14. **Racing Secretary.**

(a) The Racing Secretary shall discharge all duties of his office, expressed or implied, as required by the Rules.

(b) It shall be the duty of the Racing Secretary or the Barn Superintendent to assign to applicants such stabling as he may deem proper. He and the Stewards shall settle any and all conflicting claims for stable privileges.

(c) It shall be the duty of the Racing Secretary to maintain an accurate record of all races won and to attach the record to the turf authority registration papers.

(d) The Racing Secretary shall compile an official program for each racing day which shall state the time fixed for the first race and give the names of the horses which are to run in each of the races of the day.

(e) The program shall indicate the order in which each race is to be run; the purse, conditions, distance of each race; the owner, trainer, and jockey for each horse; each owner's racing colors, the weight assigned to each horse;

his number and post position, color, sex, age and breeding. The program may show other pertinent data subject to the approval of the Commission.

(f) The Racing Secretary shall receive all entries and declarations.

(g) The Racing Secretary shall be charged with the responsibility of maintaining exact records of the licenses of trainers and jockeys, partnership papers, contracts between a jockey and his employers, appointment of authorized agents and jockey agents, the adoption of colors, and the adoption of assumed names. The Racing Secretary shall include appropriate information in the programs.

(h) Each morning as soon as the entries have been closed and compiled, the declarations made and approved by the Stewards the Racing Secretary shall post the overnight list in a conspicuous place in his office. Any newspaper desiring the overnight list shall be furnished a copy.

Section 15. **Starter.**

(a) Only the Starter, or a deputy approved by the Starter, the Stewards and the Commission, may start a race.

(i) In case of emergency a Starter may be appointed by the Stewards.

(ii) Such emergency shall not exist for more than three racing days without Commission approval.

(b) The Starter shall give all orders and take all measures necessary to insure a fair start.

(c) The Starter's decision as to the validity of a start shall be final; likewise, his decision as to whether or not a horse was locked in the gate shall be final.

(d) The Starter may appoint his assistants subject to the approval of the Stewards and the Commission; however, no assistant starter shall be a person owning or having any interest in any horse eligible to race at the meeting nor any person having any interest in the result of any race or be or have been the employee of any such person during the progress of the event, unless approved by the Stewards and/or the Commission.

(e) No person shall give to any Starter or Assistant Starter, nor shall any Starter or Assistant Starter receive money, anything of value, or other compensation for their services in connection with the running of any race. No

Starter or Assistant Starter shall bet on any race or engage in any betting transaction. This rule has no application to salaries received from Permittee.

(f) In case the alignment of the horses at the post is delayed, the Starter may permit the jockeys to dismount and their mounts to be attended.

(g) If after reaching the starting post, a horse is so badly injured as to make it impractical or impossible for him to run in a race, the Starter or official veterinarian, may with the approval of the Stewards excuse that horse. If a horse is excused, the "Start" must be delayed until the mutuel department is notified and a change of "Odds" is made.

(h) The Starter is required to load horses into the starting gate in order of post position except in cases of emergency or by prior permission of the Stewards.

(i) If the Starter or his assistants are unable after reasonable efforts to place a horse in the gate for a satisfactory start or if the horse is mean or unruly, the Starter may order that horse placed outside the gate, one full length behind the starting line. The horse shall be denied the right of future entry until reinstated by the Starter or Stewards.

(j) The Starter shall maintain a schooling list and all horses shall be schooled at or in the starting gate, if and when required, under the personal supervision of the starter.

(k) Only the Starter or Stewards shall have the authority to designate the horses which shall be placed on the schooling list.

(l) A horse will not be eligible to start until the Starter orders the name stricken from the schooling list.

(m) The Starter shall file a copy of the schooling list with the Racing Secretary. The list must be prominently displayed.

(n) The Starter may fine a jockey for disobedience of orders or for attempting an unfair advantage or for creating a disturbance before the "Start", but the fine shall not exceed \$200.00.

(o) The Starter's authority to fine begins when horses arrive at the gate and ends at "Off-Time". All infractions noted by the starter after "Off-Time" shall be reported immediately to the Stewards.

(p) The Starter shall report in writing to the Stewards and to the Racing Secretary all fines which he has imposed and no fines reported shall be modified other than by the authority of the Commission.

(q) Neither the Starter nor his assistants shall mistreat or use abusive language to a jockey. Violators are subject to disciplinary action by the Stewards.

(r) The Starter's approval of the starting ability of all two-year olds must be obtained before they are permitted to start. He must state whether whip and/or blinkers were used in schooling.

(s) The Starter's approval must be obtained for all older horses that have never started at a recognized meeting.

(t) A false start is void and the horses shall be started again as soon as practical. Any horse running the course from a false start may be excused from the true race by the Stewards.

(u) If a horse is locked in the gate, the Starter shall immediately notify the Stewards who, in turn, shall immediately notify the Manager of the mutuel department. The Starter shall be the sole judge of what horse or horses are prevented from starting in a race through failure of gates to open.

Section 16. **Stewards.**

(a) The Stewards shall have the power to interpret and enforce these Rules and determine all questions pertaining to a racing or pari-mutuel event matter not specifically covered by these Rules in conformity with justice and customs of the turf or pari-mutuel events, being subject to the powers and duties of the Commission.

(b) In matters pertaining to racing or pari-mutuel events, the order of the Stewards supersedes the orders of the officers and directors of the Permittee.

(c) It shall be the duty of the Stewards to regulate and govern the conduct of all officials and of all owners, trainers, ropers, jockeys, grooms, and other persons attending horses during, before and after events, unless the power and the duty is vested only in the Commission.

(d) The Stewards shall have unrestricted access to all stands, weighing rooms and jockey rooms, enclosures and other places in use for the event.

(e) All entries and declarations shall be under the supervision of the Stewards or Judges and no declaration shall be made without their permission.

(f) All questions within their authority shall be determined by a majority vote of the Stewards or Judges.

(g) In the interest of the health, safety, and welfare of the people of the State of Wyoming, the Stewards may summarily declare a horse scratched and may suspend a license pending a Stewards hearing and/or hearing before the Commission.

(h) The Stewards have power to punish at their discretion any person subject to their control for violations of these Rules or regulations or for violation of the horse racing laws of Wyoming by suspension from acting or riding for up to thirty (30) calendar days or by fine not exceeding \$1,000 or both, and if they consider necessary any further punishment or additional fine, they shall so report to the Commission. The licensee is entitled to attend an informal hearing with the Stewards before punishment is imposed.

(i) Informal Stewards Hearing, see Chapter 3, Section 4.

(ii) Formal Stewards Hearing, see Chapter 3, Section 5.

(i) The Stewards are vested with the power to determine the extent of disqualification in case of fouls. They may place the offending horse behind the horses they judged it interfered with, or they may place it last.

(j) The Steward/Judges are vested with the power in roping events to determine the extent of an infraction. They may assess a time penalty, or disqualify the individual contestant.

(k) The Stewards may demand proof that a horse neither is disqualified in any particular; nor entered or owned, in whole or in part, by a disqualified person, or trained in whole or in part by a disqualified person. In absence of proof satisfactory to them, the Stewards may declare the horse disqualified.

(l) The Stewards/Judges may postpone a race, event, or performance from race day to race day or performance to performance.

(m) The Stewards shall have the power to examine or cause to be examined at any time any horse stabled on the Permittee grounds or in stabling approved by the Permittee.

(n) The three Stewards must be on duty during race time, which shall mean from one hour before post time for the first race of the day and until after the last race of the day has been made official.

(o) At least one of the Stewards or his deputy shall be on duty thirty (30) minutes prior to scratch time each morning until after the drawing of post positions.

(p) A Steward may appoint his own deputy at any time.

(q) If there is only one Steward present at race time that Steward shall appoint two other qualified persons to act with him as Stewards pro tem.

(r) If only two Stewards are present at race time, they shall, by agreement, appoint a deputy for the absent Steward, providing the absent Steward has not already appointed his own deputy.

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(u) There shall be three Stewards (no more, no less) acting during the running of each race.

(v) When a vacancy occurs among the racing officials, other than the Stewards prior to post time of the first race of the day or when a vacancy occurs after the racing of the day has been started, the Stewards shall immediately fill the vacancy. The appointment shall be effective only for the day unless the Permittee fails to fill the vacancy on the following day and notifies the Stewards of its action not less than one hour before the post time of the first race of the day. Such appointments shall be reported immediately to the Commission.

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(aa) The State Steward shall sound the bell closing the pari-mutuel wagering no later than the opening of the starting gate.

(bb) In case of accident or casualty to a horse before "Off-Time", the Stewards may excuse the horse.

(cc) The Stewards must investigate promptly and render a decision in every protest and in every complaint properly made to them.

(dd) The Stewards shall report all protests and complaints to the Commission daily and shall make prompt report to the Commission of their decision.

(ee) The Stewards shall file with the Commission a signed report of any and all infractions of the rules coming under their observance before the close of each day and shall file with the Commission daily any and all rulings made that day.

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Section 17. **Timers.**

(a) There shall be one or more Timers. They shall determine the official time of each race, go-round, or contestant.

(b) When an electric timing device is used, the races shall also be timed in the usual manner by the Timers. Any electrical timing device must be approved by the Commission.

(c) The time shall be announced and/or displayed immediately following each race or after each roper.

(d) Every Commission licensee exercising a race horse shall, upon request of an official timer, correctly state the distance over which the horse is to be worked and the point on the race track where it is intended to start the workout. He must identify the horse if requested to do so.

Timers for roping events additional information refer to Chapter 12, Section 8

Section 18. **Commission Veterinarian.**

(a) The Commission shall employ a graduate veterinarian surgeon in good standing and licensed to practice under the laws of the State of Wyoming.

(b) He shall be present in the paddock to inspect all horses and shall perform other duties as shall be prescribed by the Stewards or the Commission. Adequate transportation for him shall be provided by the Permittee.

(c) He shall be responsible for securing specimens of urine and blood or any other specimens from any horse designated by the Stewards or by the Commission and shall maintain records for identification of specimens as required by the Commission.

(i) He shall be responsible for securing the proper signatures of witnesses to the taking of specimens and the ultimate sealing for delivery to the official chemist.

(ii) He shall be responsible for delivery or shipment of all specimens to the official chemist.

(d) He shall be responsible for properly instructing his assistants in the performance of their duties.

(e) The Commission Veterinarian shall be considered an official of the Commission.

(f) The Commission Veterinarian must be present at the office of the Racing Secretary and/or Stewards' office prior to scratch time each racing day at a time designated by the Stewards to inspect any horses and report on their condition as may be requested by the Racing Secretary or Stewards.

(g) The Commission Veterinarian does not have the authority to scratch a horse but shall report to the Stewards his opinion of a horse's condition and his recommendations relative to scratching.

(h) No veterinarian employed by the Commission shall be permitted during the period of his employment to treat or prescribe for any horse participating in a pari-mutuel event, for compensation or otherwise, except in case of emergency in which case a full and complete report shall be made to the Stewards. No owner or trainer shall employ or pay compensation to the veterinarian during the period for which he is employed by the Commission.

(i) Veterinarians practicing at a race track or at any permitted event shall use one time disposable needles and shall dispose of them in an approved manner as directed by the Commission.

(j) No horse shall be destroyed on the grounds of a Permittee until it has been observed by the Official Veterinarian.

(k) The Commission Veterinarian and his assistants shall not wager on the outcome of any race at the event at which they are employed.

(l) The Commission Veterinarian shall be responsible for the posting of current medication guidelines as prescribed by the Commission.

CHAPTER 6

PERMITTEE

Section 1. **Permittee Requirements - Live Events.**

(a) Every permit to hold a Pari-Mutuel Event, limited to a maximum time of three (3) year(s), is granted upon the condition that the Permittee shall accept, observe and assist in the enforcement of the Rules. It shall be the duty of each officer, director and every Permittee, official and employee to observe and assist in the enforcement of the Rules.

(b) Members of the Commission and its designated representatives shall have the right of full and complete entry to any and all parts of the grounds and mutuel plants of the Permittee.

(c) Each Permittee shall police its grounds at all times in such a manner as to preclude the admission of any person in and around the stables excepting those having bona fide business and duly licensed by the Commission.

(d) Racing Permittee shall make prompt written acknowledgment of stakes nominations and subscriptions to the Commission.

(e) All distance poles/markers shall be painted as follows:
1/4 - red and white
1/8 - green and white
1/16 - black and white

(f) Stands for judges, timers and Stewards shall be maintained and protected from the elements, in positions commanding an uninterrupted view of the entire racing strip or area where the participants are competing, and subject to the approval by the Commission.

(g) The Permittee shall provide communications between each station of the patrol judges, starter, outrider, official veterinarian, ambulance and the Stewards. The method of communication shall be approved by the Commission.

(h) All race tracks in Wyoming operating under the jurisdiction of this Commission must install and use an approved type Film Patrol, with not less than two cameras operating from positions designated by the Commission.

(i) No Permittee shall be permitted to enter into an agreement or adopt any policy which would prohibit any horse having been granted a stall at Permittee's meeting from being entered in any race for which the horse is eligible unless the Permittee has obtained the prior approval of the Commission.

(j) Each applicant seeking dates for a live pari-mutuel event must submit an application to the Commission no later than the date set by the Commission, but not less than sixty (60) days prior to the date of the proposed meeting. Application for permits shall give dates requested, fully identify the applicant, shall include a proper financial statement showing the financial responsibility of the applicant, shall show the purpose and use of the permit, and shall describe the races to be conducted, the manner of wagering and the names and identification of those to supervise the wagering and the controls and supervision by the applicant (pari-mutuel manager, stewards, and racing secretary) and shall include an approval of the corporation or association applying by their respective County Commissioners. A fee of one hundred (\$100.00) dollars for each live event day and a surety bond, certificate of deposit or letter of credit of not less than \$5,000.00 (security not required of a county entity) made in favor of the State of Wyoming must accompany the application.

(i) The one hundred (\$100.00) dollar per day fee is non refundable.

(k) Each Permittee approved by the Commission to conduct a race meeting must offer to the public the Daily Racing Form or another publication of equal merit which reveals the past performance records of the horses racing at the meeting.

(l) A complete list of all racing officials and other personnel required by the Commission shall be submitted to the Commission by the Permittee not less than thirty (30) days prior to the date approved for the racing to begin.

(m) The Commission reserves the right to demand a change of personnel or officials for good and sufficient reason. The successor to an official or employee that is replaced shall be subject to approval of the Commission.

(n) Permittee shall furnish and maintain both a suitable man ambulance and a suitable horse ambulance each day that their tracks or area where participants are competing may be open, whether competing or exercising horses; equipped and ready for immediate duty and placed at a location approved by the Stewards, which is at no time obstructed.

(o) Each Permittee shall equip and maintain at its facility temporary hospital or infirmary facilities equipped with such first aid appliances and material as shall be approved by the Commission and shall provide the attendance of competent medical personnel during the event hours.

(p) Permittee shall at all times maintain the event surface in good condition and shall have available adequate and proper implements to maintain a uniform event surface, weather conditions permitting.

(q) Each barn shall be numbered and each stall shall be numbered and a record of each stall assignment shall be filed with the Racing Secretary.

(r) Each Permittee shall maintain and furnish police and watchman service as may be needed or requested by the Commission. Police must be fully empowered to enforce law and order and cooperate in the enforcement of the rules.

(s) Each Permittee shall employ and pay for the services of two people or more if necessary, who will be selected by and be under the direction of the Commission as Special Police.

Section 2. **Rules of Sanitation.**

(a) Each Permittee shall make such sanitary arrangements as baths, toilets, etc., for the use of jockeys, mutuel employees, horsemen and other employees as may be required by the Commission.

(b) All facilities where food is served shall be maintained in sanitary condition and abide by county health department standards where operating.

(c) All used bedding and manure shall be removed from the stalls daily and shall be deposited at a place designated by the Permittee.

(d) Each trainer shall keep all hay, grain and other feed in a sanitary manner and covered.

(e) Any illness or unusual symptoms of illness shown by a horse shall be immediately reported by the trainer or attending veterinarian to the Official Veterinarian, Stewards or the Commission.

(f) In case of any illness or unusual symptoms which might be contagious, the Stewards are empowered to employ, at the expense of the Permittee, a board of three veterinarians, licensed by the State of Wyoming, for the purpose of diagnosis, observation and/or treatment. The decision of the board to isolate any horse shall be binding upon the trainer and owner.

(i) The reasonable cost and expense of isolation, including keep and medical care, shall be borne by the owner or trainer of the horse during the period of isolation.

(ii) In event of the positive diagnosis of any infectious disease, the horse diagnosed shall remain isolated and quarantined for the period of time the Commission may determine.

(iii) A horse shall not be removed from quarantine without permission of the Stewards.

Section 3. **Telephone**

(a) No telephone, television or other method of electrical, mechanical, manual or visual communication shall be installed within the enclosures of any Permittee until approved by the Commission.

(b) A telephone on a private wire shall be installed in the quarters assigned to and occupied by the Commission. All costs of the telephone service shall be borne by the Permittee, and the service shall not be interrupted at any time during that period.

(c) Each Permittee shall furnish a blueprint of its facility to the Commission.

CHAPTER 6

PERMITTEE

Section 1. Permittee Requirements - Live Events.

(a) Every permit to hold a Pari-Mutuel Eventmeeting, limited to a maximum time of ~~threeone~~ ~~(1)~~(3) year(s), is granted upon the condition that the Permittee shall accept, observe and assist in the enforcement of the Rules. It shall be the duty of each officer, director and every ~~licensee~~Permittee, official and employee to observe and assist in the enforcement of the Rules.

(b) Members of the Commission and its designated representatives shall have the right of full and complete entry to any and all parts of the grounds and mutuel plants of the Permittee.

(c) Each Permittee shall police its grounds at all times in such a manner as to preclude the admission of any person in and around the stables excepting those having bona fide business and duly licensed by the Commission.

(d) Racing Permittee shall make prompt written acknowledgment of stakes nominations and subscriptions to the Commission.

(e) All distance poles/markers shall be painted as follows:
1/4 - red and white
1/8 - green and white
1/16 - black and white

(f) Stands for judges, timers and Stewards shall be maintained and protected from the elements, in positions commanding an uninterrupted view of the entire racing strip or area where the participants are competing, and subject to the approval by the Commission.

(g) The Permittee shall provide ~~telephone~~ communications between each station of the patrol judges, ~~and~~ starter, outrider, official veterinarian, ambulance and with the Stewards. The method of communication shall be approved by the Commission.

(h) All race tracks in Wyoming operating under the jurisdiction of this Commission must install and use an approved type Film Patrol, with not less than two cameras operating from positions designated by the Commission.

(i) No Permittee shall be permitted to enter into an agreement or adopt any policy which would prohibit any horse having been granted a stall at Permittee's meeting from being entered in any race for which the horse is eligible unless the Permittee has obtained the prior approval of the Commission.

(j) Each applicant seeking dates for a live pari-mutuel event must submit an application to the Commission no later than the date set by the Commission, but not less than sixty (60) days prior to the date of the proposed meeting. Application for permits shall give dates requested, fully identify the applicant, shall include a proper financial statement showing the financial responsibility of the applicant, shall show the purpose and use of the permit, and shall describe the races to be conducted, the manner of wagering and the names and identification of those to supervise the wagering and the controls and supervision by the applicant (pari-mutuel manager, stewards, and racing secretary) and shall include an approval of the corporation or association applying by their respective County Commissioners. A fee of ~~One Hundred~~ (\$100.00) dollars for each live event day ~~of racing~~ and a surety bond, certificate of deposit or letter of credit of not less than \$5,000.00 (security not required of a county entity) made in favor of the State of Wyoming must accompany the application.

(i) The one hundred (\$100.00) dollar per day fee is non refundable.

(k) Each Permittee approved by the Commission to conduct ~~ana~~ race meeting must offer to the public the Daily Racing Form or another publication of equal merit which reveals the past performance records of the horses racing at the meeting.

(l) A complete list of all racing officials and other personnel required by the Commission shall be submitted to the Commission by the Permittee not less than thirty (30) days prior to the date approved for the racing to begin. ~~The list shall include expense money paid, bonuses and/or any other compensation.~~

(m) The Commission reserves the right to demand a change of personnel or officials for good and sufficient reason. The successor to an official or employee that is replaced shall be subject to approval of the Commission.

(n) Permittee shall furnish and maintain both a suitable man ambulance and a suitable horse ambulance each day that their tracks or area where participants are competing may be open, ~~whether competing for racing~~ or exercising horses; equipped, and ready for immediate duty and placed at a location approved by the an entrance to the racing strip which Stewards, which is at no time obstructed, ~~so that no time may be lost in answering calls.~~

(o) Each Permittee shall equip and maintain at its ~~track~~ facility temporary hospital or infirmary facilities equipped with such first aid appliances and material as shall be approved by the Commission and shall provide the attendance of competent medical personnel during the event hours. ~~race hours.~~

(p) Permittee shall at all times maintain the event surface~~their race tracks~~ in good condition and shall have available adequate and proper implements to maintain a uniform event surface~~track~~, weather conditions permitting.

(q) Each barn shall be numbered and each stall shall be numbered and a record of each stall assignment shall be filed with the Racing Secretary.

(r) Each Permittee shall maintain and furnish police and watchman service as may be needed or requested by the Commission. Police must be fully empowered to enforce law and order and cooperate in the enforcement of the rules.

(s) Each Permittee shall employ and pay for the services of two ~~men~~people or more if necessary, who will be selected by and be under the direction of the Commission as Special Police.

Section 2. **Rules of Sanitation.**

(a) Each Permittee shall make such sanitary arrangements as baths, toilets, etc., for the use of jockeys, mutuel employees, horsemen and other employees as may be required by the Commission.

(b) All facilities where food is served shall be maintained in sanitary condition and abide by county health department standards where operating.~~track kitchens operating during the period covered in any permit granted by this Commission shall close and lock its doors for not less than thirty (30) minutes after noon, the actual time to be set by the State Steward, for the purpose of cleaning and maintaining a sanitary condition of the premises.~~

(c) All used bedding and manure shall be removed from the stalls daily and shall be deposited at a place designated by the Permittee.

(d) Each trainer shall keep all hay, grain and other feed in a sanitary manner and covered.

(e) Any illness or unusual symptoms of illness shown by a horse shall be immediately reported by the trainer or attending veterinarian to the Official Veterinarian, Stewards or the Commission.

(f) In case of any illness or unusual symptoms which might be contagious, the Stewards are empowered to employ, at the expense of the Permittee, a board of three veterinarians, licensed by the State of Wyoming, for the purpose of diagnosis, observation and/or treatment. The decision of the board to isolate any horse shall be binding upon the trainer and owner.

(i) The reasonable cost and expense of isolation, including keep and medical care, shall be borne by the owner or trainer of the horse during the period of isolation.

(ii) In event of the positive diagnosis of any infectious disease, the horse diagnosed shall remain isolated and quarantined for the period of time the Commission may determine.

(iii) A horse shall not be removed from quarantine without permission of the Stewards.

Section 3. ~~Telephone and Telegraph.~~

(a) No telephone, ~~telegraph, teletype, semaphore, signal device, radio,~~ television or other method of electrical, mechanical, manual or visual ~~communication, communication~~ shall be installed within the enclosures of any Permittee until approved by the Commission.

~~(b) No information regarding the results of any race shall be transmitted out of a race track until the results are official.~~

~~(e)(b)~~ A telephone on a private wire shall be installed in the quarters assigned to and occupied by the Commission, ~~during the preparation for the conduct of a race meeting.~~ All costs of the telephone service shall be borne by the Permittee, and the service shall not be interrupted at any time during that period.

~~(d) An approved type telephone or inter-communication system shall be provided by the Permittee to maintain contact between the Stewards and other designated stations.~~

~~(e)(c)~~ Each Permittee shall furnish a blueprint of its facility to the Commission, ~~showing the location of all radio and television equipment located within its plant, together with all telephone, teletype and telegraph wires to and within the grounds upon which its race track is located.~~

Section 4. ~~Admissions.~~

~~(a) No admission charges will be required on tax-free badges (licenses or passes) issued by the Commission to officials, track officials, working press, members of the Commission, members of the Association of Racing Commissioners International, members of the Society of North American Racing Officials, members of the Jockeys' Guild, or those licensed by the Commission in accordance with these rules.~~

~~_____ (b) _____ The Commission may require the use of the issued license as a badge.~~

~~_____ (c) _____ A license, tax-free badge or pass is not transferable and any transfer shall be a violation of these rules.~~

~~_____ (d) _____ No licensee badge or pass may be issued without the approval of the Commission: all licensee badges or passes approved must be recorded, the record kept by the Commission and each badge or pass must be numbered and entered in numerical order in the records.~~

~~_____ (i) _____ The spouse of any licensed official, owner, trainer, jockey, apprentice jockey, or plater shall be classified as a sub-official, sub-owner, sub-trainer, sub-jockey, or sub-plater and shall be entitled to receive a tax-free admission badge or pass.~~

~~_____ (ii) _____ The spouse of a groom may be given a tax-free badge or pass upon application of his licensed employer. The employer must assume full responsibility for the conduct of the person and the use of the badge or pass.~~

~~_____ (e) _____ The Racing Secretary may issue tax-free admission passes upon the approval of the Commission and shall keep a permanent record of the identity of persons issued passes and the number of each pass.~~

~~_____ (f) _____ Each Permittee whose admission charges are taxable by the State of Wyoming must file a certified gate card showing specimen tickets and badges with the Commission prior to the opening of the event.~~

~~_____ (i) _____ The gate card must show the type of admission ticket, badges, or other evidence of the right to enter the grounds.~~

~~_____ (ii) _____ The Commission shall approve the tickets, badges, or other evidence of the right to enter the grounds.~~

~~_____ (iii) _____ All gates used for admission of patrons must have turnstiles of a type approved by the Commission. The gates shall be equipped with meters and the turnstiles must be numbered consecutively or have other means of individual identification or other Commission approved means of accounting for admissions. A daily reconciliation with actual count shall be filed with the Commission.~~

~~_____ (g) _____ All Permittees must give a ticket good for one admission to each person having paid an admission charge on a day when races are discontinued due to weather or malfunction of equipment which might prevent the patron from participating in a major portion of any racing program conducted by the Permittee.~~

~~(i) A supply of tickets shall be prepared and available for distribution prior to the opening of any race meet. The holder of such ticket shall be admitted without further charge on any race day of the meeting.~~

CHAPTER 7

LICENSING / LICENSEES

Section 1. Licensing Generally.

(a) Any person who is engaged in or employed by those engaged in a pari-mutuel event racing or operating a race meeting and those who operate concessions under authority from any Permittee must be licensed by the Commission.

(i) Members of the Commission, appointed officials, and employees of the Commission shall be issued Commission identification badges in lieu of a license. The identification badges shall be authority to access any and all areas of the grounds.

(ii) Any charitable, religious or civic organization which is approved by the Commission to operate a concession or its employees, working without pay for their services, providing if the employee and concessionaire could meet all requirements of a licensee, may be furnished a "tax-free" pass providing a list of the employees has been furnished to the Commission.

(iii) Any person who operates concessions, other than the Permittee or an organization which qualifies under Sub-Section (ii) above, shall be licensed and approved by the Commission. A Concession operator shall furnish the Commission a list of all employees. A "tax free" pass may be furnished employees meeting all requirements of a licensee.

(iv) The Permittee shall furnish a list of all employees working in concessions, parking, or admissions. A "tax free" pass may be furnished, employees meeting all requirements of a licensee.

(v) "Tax free" passes furnished under Sub-Sections (ii), (iii), (iv) above will not be afforded access to restricted areas.

(b) The following annual fees shall be charged by the Commission for licenses:

(i) A two hundred and fifty (\$250.00) dollar license fee:

Simulcast Service Supplier/Provider
Manufacturers/Distributors of Terminals

(ii) A one hundred and fifty (\$150.00) dollar license fee:

Host Facility Owner (if other than the Permittee)
Live Event Photo Finish Provider

Live

Live Event Security Provider (if other than Permittee)
Concession Operator other than Chapter 7, Section 1, (a),
(ii) above.

(iii) A fifty five (\$55) dollar license fee:

Authorized Agent	Owner/Trainer
Partnership (each partner)	Exercise Rider
Permittee Official	
Horsemen's Bookkeeper	Plater
Jockey	Pony Rider
Jockey Agent	Roper
Jockey Apprentice	Stable Name
Mutuel Official	Trainer (& Asst. Trainer)
Outrider	Veterinarian
Owner	Vet. Assistant
(includes any part owner or prospective owner)	Vendor

(iv) A thirty five (\$35) dollar license fee:

Asst. Starter	Mutuel Employee
Concession Employee	Permittee Employee
Gateman	Security
Groom	Valet
Jockey Runner	Vendor Employee
Technical Service Employee	

(v) Each prepared photo bearing license must be worn when the licensee is acting in his official capacity on the grounds.

(vi) The fee for a duplicate license shall be ten (\$10) dollars.

(c) Individual applicants will have the option of a one or three year license. The license fee for a one (1) year license shall be the annual fee for each category in which the person is licensed, the fee for a three (3) year license shall be three (3) times the annual fee for each category in which the person is licensed. Association and stable licenses shall expire on December 31 of each year issued.

(d) It is the responsibility of an employer to license his employees. The employment of a non-licensed individual may be cause for fine, suspension or both.

(e) No license will be granted to anyone less than sixteen (16) years of age if the employment will violate the child labor laws of the State of Wyoming.

(f) All applications for license shall be filed with the Commission who shall have the authority to issue the license or hold the application for further investigation.

(g) Every licensed person shall carry his license with him at all times.

(h) Any licensed person who allows another to use his license may be suspended, fined or both.

(i) The Commission may refuse to issue a license, or may suspend or revoke a license for the following:

(i) Conviction of a felony in any jurisdiction.

(ii) Engaging in bookmaking or touting.

(iii) Associating with bookmakers or touts.

(iv) Fraud or misrepresentation in connection with racing or pari-mutuel events.

(v) Violation of any racing law in any jurisdiction.

(vi) Violation of Commission rules or orders.

(vii) Refusal of a license by any other state racing commission or authority.

(viii) Falsifying his license application.

(ix) Financial irresponsibility in connection with racing or pari-mutuel events.

(j) The Commission may impose probationary sentences on licensees. Any licensee who violates any of the rules and regulations of the Commission during the period he is on probation shall have his license revoked and his case referred to the Commission.

(k) When a license is denied, revoked or suspended by the Commission or the Stewards it shall be reported to the Association of Racing Commissioners International and the American Quarter Horse Association, giving reasons why the action was taken.

(l) Every person whose license is suspended, revoked or denied by any other State must be reinstated by the state in which the original ruling was made before being licensed in Wyoming.

(m) Any person mounted on a horse or stable pony on the Permitted grounds for racing must wear a properly secured safety helmet and safety vest at all times. Additionally, all members of the starting gate crew must also adhere to this regulation while on the racing surface handling a horse. For the purpose of this regulation, a member of the starting gate crew means any person licensed as an assistant starter or any person who handles a horse at the starting gates.

(i) Safety helmets must comply with one of the following minimum safety standards or later revisions: (ASTM 1163), (EN-1384) and PAS-015), (AS/NZ 3838).

(ii) Safety vests must comply with the following minimum safety standard: (BETA 2000 Level 1).

Section 2. **Authorized Agent.**

(a) Each authorized agent must obtain a license from the Commission.

(b) Application for a license must be filed for each owner represented.

(c) A written instrument signed by the owner must accompany the application and shall clearly set forth among the delegated powers whether or not the agent is empowered to collect money from the Permittee and the owner's signature must be acknowledged before a notary public.

(d) If the written instrument is a power of attorney, it shall be filed with the Commission. It shall be attached to the application.

(e) Any changes must be in writing and be filed with the Commission.

(f) The term of the license shall expire December 31, of each year unless the agent's appointment is revoked by the owner or the license is revoked by the Commission.

(g) Owner's revocations must be in writing, sworn to before a notary public and filed with the Commission.

Section 3. **Colors.**

(a) All racing colors carried in races shall be registered annually with the Commission.

(b) The annual fee is twenty (\$20) dollars.

(c) No person shall start a horse in racing colors other than those registered in his own or assumed name, except that an owner may start a horse in colors registered to his or her trainer providing any horse owned by the trainer starts in the trainer's colors.

(d) Any disputes between claimants to the right of the particular racing colors shall be decided by the Stewards.

(e) The Commission will not permit the use of colors which in its opinion are not neat and clean and proper in other respects.

(f) Unless otherwise approved by the Commission, the standard colors for specific post positions shall be as follows:

Number 1---Red	Number 2---White
Number 3---Blue	Number 4---Yellow
Number 5---Black	Number 6---Orange
Number 7---Purple	Number 8---Green
Number 9---Brown	Number 10--Pink
Number 11--Gold	Number 12--Green and White

(i) The Permittee shall supply the standard colors and may charge the owners a reasonable rental for the use and maintenance of the colors.

(g) Registered colors may be worn in all races.

Section 4. **Jockeys.**

(a) Each jockey must obtain a license from the Commission.

(b) No person under sixteen (16) years of age shall be granted a jockey's license.

(c) A person who has never ridden as a jockey shall not be granted a license as a jockey. (See Sec. 6 for apprentice jockey.)

(d) No jockey licensed in Wyoming shall be the owner or part owner of any horse racing in Wyoming.

(e) A jockey who is under contract shall not ride in any race without the consent an owner he is contracted with.

(f) Each Jockey who is under contract shall file a copy of each contract with the Commission and the Racing Secretary.

(g) All jockeys shall faithfully fulfill all engagements in respect to racing. Inability to fulfill engagements must be reported to and receive the approval of the Stewards.

(i) Any jockey having given a call personally or through his agent, who fails to fulfill the engagement may not accept another mount or be assigned to another mount in that race by the Stewards.

(h) A jockey may not ride in any race against a starter of his contract employer unless his mount and his contract employer's starter are both in the hands of the same trainer.

(i) When riding in a race a jockey must be neat in appearance. All riders must be dressed in clean jockey costume, cap and jacket of silk; white breeches of satin or waterproof material; and top boots. Jockeys must wear approved safety helmets in all races. The helmet must comply with one of the following minimum safety standards or later revisions: American Society for Testing and Materials (ASTM 1163); UK standards (EN-1384 and PAS-015); or Australian/New Zealand Standard (AS/NZ 3838). Helmet weight shall not be included in the jockey's weight.

(j) A jockey must wear a safety vest when riding in any official race. The safety vest shall weigh no more than two pounds and be designed to provide shock absorbing protection to the upper body of at least a rating of five, as defined by the British Equestrian Trade Association (BETA 2000 Level 1).

(k) A jockey shall wear a number on his right arm (also on his left arm if the judges stand is in the infield) and the arm number and the saddle cloth number shall correspond to the number of the horse in the official program.

(l) Every jockey who is engaged to ride in a race shall report to the Jockey Quarters not less than one hour before post time for the first race. He shall then report his engagements and overweight to the clerk of scales. He shall not leave the Jockey Room except to view the races from a point approved by the Stewards or to ride in a race until all of his engagements of the day have been fulfilled. The Stewards may permit jockeys engaged for later races to report at a later hour.

(m) All jockeys must pass a physical examination once a year before the commencement of a meeting. The Stewards may require that any jockey be re-examined and may refuse to allow any jockey to ride until he successfully passes the examination. If a jockey has previously passed an examination prior to his appearance at a track in this state, he must present a physical fitness card

signed by the examining doctor that shows the date upon which the examination was made.

(n) A jockey shall not make a bet on any race.

(o) A jockey shall not accept the promise or token of any bet with respect to the race in which he is riding, except through or from the owner or trainer of the horse he rides and then only on that horse.

(p) Jockey riding fees must be paid to the Horsemen's Bookkeeper not later than thirty (30) minutes prior to post time of the first race on the day of the race.

(i) When purses of intermediate amounts are paid the fees for the next lowest purse figures shall be paid.

(ii) If any owner or trainer engaged two or more jockeys for the same race, he shall pay the losing fee for each engaged jockey not riding in the race as well as the proper fee to the jockey who does ride.

(iii) Engagement slips shall be used and shall determine all priorities. Signatures must be affixed by the owner or trainer and the jockey or his agent.

(iv) Jockey's fee shall be considered earned when the jockey weighs out for that race. The fee shall not be considered earned if the jockey voluntarily does not finish the race, except where injury to the horse or rider is involved.

(q) In a dead heat the jockeys involved shall divide equally the total sum of the fees they earned. The owners of the horses involved shall pay their equal share.

(r) A jockey who is under suspension will not be permitted to fulfill any engagements including stakes races.

(s) A jockey under suspension in any other state will not be permitted to ride in Wyoming during the suspension.

(t) The suspension of a jockey for an offense not involving fraud shall begin on the second day after the ruling unless otherwise ordered by the Stewards. A suspension for fraud shall begin immediately after the ruling.

(u) A jockey who is temporarily suspended may be permitted to exercise or gallop horses during the morning hours and to lodge on the grounds of the Permittee at night.

(v) Every jockey may have one agent and no more. All engagements to ride, other than those for his contract employer shall be made by himself or his agent; the one making the engagements shall be held responsible for the proper maintenance of the engagement book.

(w) No jockey shall have an attendant (valet) other than those provided by the Permittee. The attendants may be paid from an assessment collected from the jockeys.

(x) Employers retaining the same jockey have precedence according to priority of the retainers as specified in the contracts.

(y) Each jockey or his agent is responsible to be present at the draw and/or at scratch time to take care of his business. A jockey is obligated to ride the horses that he is named on unless he refuses the rides by scratch time.

(z) A jockey may not possess or use any electrical or mechanical device during any race. The owner and trainer may be penalized for a jockey violation of this rule.

Section 5. Jockey Agents.

(a) Each Jockey Agent must obtain a license from the Commission.

(b) The Stewards may permit an applicant to act pending decision on his application for a license.

(c) A jockey agent may handle no more than one jockey and one apprentice at the same time. A jockey agent shall not make or assist the making of any engagement for any rider other than those he is licensed to represent. The Stewards may approve a jockey agent's representing two journeymen if conditions justify.

(d) If a jockey agent gives up the making of engagements for any rider, he shall immediately notify the Stewards and the Racing Secretary and he shall also turn over to the Stewards a list of any unfilled engagements he may have made for that rider.

(e) Jockey agents must have in their possession at all times engagement books approved by the Stewards, and all engagements made for jockeys by agents must be recorded in the books. Books are subject to examination by the Stewards at all times.

(i) When an owner or trainer engages the services of a jockey through a jockey agent he must obtain a ticket from the jockey agent specifying

whether or not he has first or second call on the services of the jockey. The calls must be declared at time of entry.

(ii) No person other than an owner, trainer, jockey agent or authorized agent of an owner shall make engagements for a jockey. A jockey not represented by an agent may make his own engagements.

(iii) Before each day's entries are taken jockey agents are required to file their first, second, and third calls in each race in the Racing Secretary's office with a person designated by the Stewards. If during the time entries are being taken, an agent should lose or pick up a call, he shall immediately add those calls to the one previously filed.

(f) Conflicting claims for the services of a jockey shall be decided by the Stewards and the first call shall have priority.

(g) A jockey agent shall not give to anyone any information or advice pertaining to a race or engage in the practice of "touting" for the purpose of influencing any person in the making of a wager on the result of any race.

(h) Any agent who falsifies his record shall be suspended by the Stewards and his case referred to the Commission.

(i) Jockey agents will be called upon to explain rival claims for any mount or for any rider and inability to satisfy the Stewards that the rival claim arose through honest error shall be considered a falsification of records.

(j) Jockey agents shall not be allowed in the paddock at any time except by permission of the Stewards.

Section 6. **Jockey Apprentices.**

(a) Jockey apprentices must obtain a license from the Commission.

(b) Jockey apprentices shall be bound by all rules for jockeys except as the rules may be in conflict with the following specific regulations for apprentices.

(c) The Stewards may grant a temporary license for the riding of two races and if approved by the Stewards the temporary license may be replaced with an apprentice jockey license.

(d) Apprentice certificates must be on forms supplied by the Commission and a duplicate original copy, along with a satisfactory record of birth, shall be filed with the Commission.

(e) An apprentice jockey shall ride with a five pound weight allowance beginning after his first mount and for one full year from the date of his fifth winning mount.

(i) If after riding one full year from the date of his fifth winning mount, the apprentice jockey has failed to ride a total of 40 winners from the date of his first winning mount, he shall continue to ride with a five pound weight allowance for one more year from the date of his fifth winning mount or until he has ridden a total of 40 winners, whichever comes first.

(ii) If an apprentice jockey is unable to ride for a period of 14 consecutive days or more after the date of his fifth winning mount because of service in the armed forces of the U.S.A., or because of physical disablement, the Commission may extend the time during which the apprentice weight allowance may be claimed for a period not to exceed the period the apprentice jockey was unable to ride.

(f) Any person between the ages of sixteen and twenty-five, and if under age, with the written consent of his parents or guardian, may be granted an apprentice certificate and license in lieu of an apprentice contract. The apprentice certificate shall grant an apprentice all the allowances and conditions granted to the apprentice who is under contract and he may claim in all overnight races, except handicaps, all allowances as set forth in the rules and regulations governing apprentice jockeys.

Section 7. **Owner.**

(a) Each owner must obtain a license from the Commission and shall register with the Racing Secretary at each track all his horses, giving the name, color, sex, age and breeding of each horse.

(b) A license as an owner shall not be granted to a corporation or to the lessee of any corporation unless the corporation shall have no more than ten stockholders or members, each of whom shall be the registered and beneficial owner of stock or membership in the corporation. A corporation having more than ten stockholders shall not lease for racing purposes to any natural person or partnership any horse owned or controlled by it. Each stockholder must obtain an owners license. All the stockholders of a corporation which own or lease horses for racing purposes in Wyoming shall file with the Commission when requested, a report containing information the Commission may specify. If a stockholder fails to file the report the Commission may refuse a license to any lessee of the corporation or may revoke any license.

(i) Prior approval by the Stewards shall be required for leases. No licensee shall lease a horse for the purpose of racing at Wyoming tracks without prior approval of the Stewards.

(ii) The lessee and lessor shall hold an owners license.

(iii) A copy of the lease shall be furnished to the Commission. Each licensee who leases a horse racing at any meeting must submit a copy of that lease to the Commission. The lease must contain at least all of the conditions of the lease arrangement and the names of all parties and horses related to the lease. The failure to submit accurate and complete information under this rule is a violation of these rules.

(iv) Lessor information. When any lessor of a horse is a corporation, syndicate, partnership or other entity of multiple interest it shall furnish the Commission under oath the identification of its stockholders, members, partners, etc.

(c) If any owner changes trainers, he must secure permission of the Stewards and notify the Racing Secretary and cause the new trainer to sign his name on the owner's registration.

(d) No owner shall employ a jockey for the purpose of preventing him from riding in any race.

(e) No owner shall accept any bribe, gift or gratuity which might influence the result of any race.

(f) An owner shall see to it that a report is made promptly to the Racing Secretary and to the official veterinarian of any and all sickness of his horses.

(g) A stable may not have in its employment in any capacity any under age employee who has not been granted written permission by his parents or guardian or whose employment violates the law.

(h) The personnel of every stable and changes shall be registered by the trainer with the Commission.

(i) The owner of a horse ridden by a jockey in a race, who possesses or uses a mechanical or electrical device, may be penalized by loss of purse, etc.

Section 8. **Partnerships.**

(a) Partnerships, including husband and wife, must be licensed by the Commission.

(b) An authorized agent must be appointed to represent a partnership in all matters and only he shall withdraw money or sign claims for the

partnership. A notarized instrument must be signed by all members of a partnership in the appointment of an authorized agent.

(c) Partnership papers shall set forth the following:

(i) The name and address of each person having any interest in the horses involved.

(ii) The relative proportions of the interests.

(iii) To whom the winnings are payable.

(iv) In whose name the horses shall run.

(v) Who has the power of entry and declaration.

(vi) The terms of any contingency, lease or any other arrangement.

(d) All partnership papers must be signed by all parties or by their authorized agent.

(e) In case of emergency, authority to sign declarations of partnerships may be given to the Commission by a telegram promptly confirmed in writing.

(f) The part owner of any horse cannot assign his share or any part of it without the written consent of the other partners, the consent to be filed with the Commission and the Racing Secretary.

(g) An alteration in a recorded partnership registration must be reported in writing to the Commission and signed by all the partners.

(h) All parties to a partnership and each of them shall be jointly and severally liable for all stakes, forfeits and other obligations.

Section 9. Stable Names.

(a) All names used that do not reveal the actual identity of the owner shall be considered a "Stable Name" and must be licensed with the Commission.

(b) When applying to race under a Stable Name the applicant must disclose the identity behind the Stable Name. If multiple ownership or a partnership is involved in the identity, the Rules covering partnerships and/or multiple ownerships must be complied with.

(c) Changes in identities must be reported immediately to and be approved by the Commission.

(d) A person cannot register more than one Stable Name at the same time, nor can he use his real name for racing purposes so long as he has a registered Stable Name.

(e) Any person who has been registered under a Stable Name may cancel it after he has given written notarized notice to the Commission.

(f) A person cannot register as his Stable Name one which has been registered by any other person with an Association conducting a recognized meeting, with the Jockey Club of New York, or with another racing authority.

(g) A person may not register as his Stable Name one which is the real name of any owners of race horses nor one which is the real name of any prominent person not owning race horses.

(h) A trainer who is a licensed owner or part owner may use a Stable Name as owner or part owner providing he is the trainer for the Stable Name owner. No trainer may be licensed as a trainer other than in his legal name.

(i) A Stable Name shall be plainly distinguishable from that of another registered Stable Name. When appearing on the program, the Stable Name must be accompanied by the legal name of the owner. If the Stable Name has more than one owner, one legal name of an owner must appear followed by the term "et al".

(j) A corporate name shall be considered a Stable Name for the purposes of these Rules, but the Commission reserves the right to refuse any corporation the privileges of registering a Stable Name or racing as a corporation unless Section 7 of these rules are complied with.

(k) If more than one (1) person races with a Stable Name registered as the owner, an authorized agent shall be appointed and he shall transact all business.

Section 10. **Trainers / Assistant.**

(a) No person shall be eligible to hold a trainer's license unless he:

(i) Is at least 19 years old.

(ii) Is qualified in the opinion of the Stewards by reason of experience, background and knowledge of racing.

- (iii) Passes a written examination administered by the Stewards.
- (iv) Passes a "barn test" administered by horsemen's representatives under the supervision of the Stewards.
- (b) Each trainer must obtain a license from the Commission. He shall list all the names of owners or part owners of all horses trained by him.
- (c) No trainer of race horses shall register under a stable name nor shall he train except under his own legal name.
- (d) The Stewards may permit a trainer to act pending action on his application for license, but under no circumstances shall his name appear on a program as trainer nor shall he enter the paddock prior to a race until the license has been issued.
- (e) Each trainer shall register with the Racing Secretary all the horses in his charge giving the name, age, sex, breeding and ownership of each. Any horse stabled on the grounds of the Permittee or under the jurisdiction of the Permittee without having been registered, or occupying a stall not assigned for him will be cause for disciplinary action.
- (f) Absence from stable or grounds:
 - (i) When a trainer is to be absent from his stable or the grounds where his horses are racing for a period of more than two racing days and his horses are entered or are to be entered, he must provide a licensed trainer to assume the complete responsibility of the horse or horses he is entering or running. The licensed trainer shall sign in the presence of the Stewards a form furnished by the Commission, accepting complete responsibility of the horses being entered or running and of the absent trainer's other horses stalled on the grounds.
 - (ii) When a trainer is to be absent from his stable or the grounds where his horses are racing for a period of not more than two racing days, and his horses are entered or are to be entered, he may name an assistant trainer who is licensed by the Commission to assume the complete responsibility of the horses the trainer plans to enter or run and all other horses the trainer has stalled on the grounds.
 - (iii) Assistant trainers have the same duties as trainers, and are equally responsible with the regular trainer as the absolute insurer of the condition of horses in their care.
- (g) A licensed trainer may represent the owner in the matter of entries, declarations and the employment of jockeys, but shall not be allowed to withdraw

any money from the Permittee either in his own name or that of an owner nor may he contract obligations against the account of any owner or part owner unless he is the authorized agent of the owner or part owner and has an authorized agents license.

(h) A trainer shall be responsible for the condition of a horse trained by him, and shall be the absolute insurer of that horse's condition during a race, subject to rebuttal of the presumption.

(i) Each trainer shall register with the Commission every person in his employ. He is responsible for the licensing of each employee. He shall not harbor, engage or retain any person that is not licensed.

(j) A trainer shall not have in his charge nor under his supervision any horse owned in whole or in part by a disqualified person.

(k) A trainer shall not accept any bribe, gift or gratuity in any form which might influence the result of any race.

(l) A trainer shall not move any horse in his care from the grounds of a Permittee without permission of from the Racing Secretary or the Stewards.

(m) A trainer shall not employ a jockey for the purpose of preventing him from riding in any race, nor allow a jockey to possess or use any electrical or mechanical device during a race.

(n) A trainer shall promptly report any and all sickness of his horses to the Racing Secretary, to the Official Veterinarian and to the Stewards.

Section 11. **Veterinarians.**

(a) Every veterinarian who examines or treats a horse whose papers are on file in the Racing Secretary's office or treats a horse that is going to be entered as a haul-in entry must be licensed by the Commission.

(b) Each veterinarian must report to the Commission Veterinarian and the Stewards no later than 9 a.m. on the following morning on forms supplied by the Commission the following:

(i) The name of the horse examined and/or treated.

(ii) The name of the owner and trainer of the horse.

(iii) The diagnosis made and the name and amount of all drugs and medicines prescribed or administered.

(iv) The name and amount of all drugs and medicines prescribed for future use by the trainer.

(c) The penalty for falsification of any report or the failure to submit the record shall be permanent barring from the racing enclosures under the jurisdiction of the Commission.

(d) Veterinarians practicing on a race track shall use one time disposable type needles and shall dispose of them in an approved manner.

(e) The use of other than single use disposable syringes is prohibited. Syringes are to be disposed of in a proper manner.

CHAPTER 7

LICENSING / LICENSEES

Section 1. Licensing Generally.

(a) Any person who is engaged in or employed by those engaged in a pari-mutuel event racing or operating a race meeting and those who operate concessions under authority from any Permittee must be licensed by the Commission.

(i) Members of the Commission, appointed officials, and employees of the Commission shall be issued Commission identification badges in lieu of a license. The identification badges shall be authority to access any and all areas of the grounds.

(ii) Any charitable, religious or civic organization which is approved by the Commission to operate a concession or its employees, working without pay for their services, providing if the employee and concessionaire could meet all requirements of a licensee, may be furnished a "tax-free" pass providing a list of the employees has been furnished to the Commission.

(iii) Any person who operates concessions, other than the Permittee or an organization which qualifies under Sub-Section (ii) above, shall be licensed and approved by the Commission. A Concession operator shall furnish the Commission a list of all employees. A "tax free" pass may be furnished employees meeting all requirements of a licensee.

(iv) The Permittee shall furnish a list of all employees working in concessions, parking, or admissions. A "tax free" pass may be furnished, employees meeting all requirements of a licensee.

(v) "Tax free" passes furnished under Sub-Sections (ii), (iii), (iv) above will not be afforded access to restricted areas.

(b) The following annual fees shall be charged by the Commission for licenses:

(i) A two hundred and fifty (\$250.00) dollar license fee:

Simulcast Service Supplier/Provider
Manufacturers/Distributors of Terminals

(ii) A one hundred and fifty (\$150.00) dollar license fee:

Host Facility Owner "(if other than the Permittee")

Live Event Totalisator Provider
Live Event Photo Finish Provider
Live Event Security Provider, (if other than pPermittee)
Concession Operator other than Chapter 7, Section 1, (a),
(ii) above.

(iii) A fifty five (\$55) dollar license fee:

Authorized Agent	Owner/Trainer
Partnership (each partner)	Exercise Rider
Permittee Official	
Horsemen's Bookkeeper	Plater
Jockey	Pony Rider
Jockey Agent	Roper
Jockey Apprentice	Stable Name
Mutuel Official	Trainer (& Asst. Trainer)
Outrider	Veterinarian
Owner	Vet. Assistant
(includes any part owner or prospective owner)	Vendor

(iv) A thirty five (\$35) dollar license fee:

Asst. Starter	Mutuel Employee
Concession Employee	Permittee Employee
Gateman	Security
Groom	Valet
Jockey Runner	Vendor Employee
<u>Technical Service Employee</u>	

(v) Each prepared photo bearing license must be worn when the licensee is acting in his official capacity on the grounds.

(vi) The fee for a duplicate license shall be ten (\$10) dollars.

(c) Individual applicants will have the option of a one or three year license. The license fee for a one (1) year license shall be the annual fee for each category in which the person is licensed, the fee for a three (3) year license shall be three (3) times the annual fee for each category in which the person is licensed. Association and stable licenses shall expire on December 31 of each year issued.

(d) It is the responsibility of an employer to license his employees. The employment of a non-licensed individual may be cause for fine, suspension or both.

(e) No license will be granted to anyone less than sixteen (16) years of age if the employment will violate the child labor laws of the State of Wyoming.

(f) All applications for license shall be filed with the Commission who shall have the authority to issue the license or hold the application for further investigation.

(g) Every licensed person shall carry his license with him at all times.

(h) Any licensed person who allows another to use his license may be suspended, fined or both.

(i) The Commission may refuse to issue a license, or may suspend or revoke a license for the following:

(i) Conviction of a felony in any jurisdiction.

(ii) Engaging in bookmaking or touting.

(iii) Associating with bookmakers or touts.

(iv) Fraud or misrepresentation in connection with racing or pari-mutuel events.

(v) Violation of any racing law in any jurisdiction.

(vi) Violation of Commission rules or orders.

(vii) Refusal of a license by any other state racing commission or authority.

(viii) Falsifying his license application.

(ix) Financial irresponsibility in connection with racing or pari-mutuel events.

(j) The Commission may impose probationary sentences on licensees. Any licensee who violates any of the rules and regulations of the Commission during the period he is on probation shall have his license revoked and his case referred to the Commission.

(k) When a license is denied, revoked or suspended by the Commission or the Stewards it shall be reported to the Association of Racing Commissioners International and the American Quarter Horse Association, giving reasons why the action was taken.

(l) Every person whose license is suspended, revoked or denied by any other State must be reinstated by the state in which the original ruling was made before being licensed in Wyoming.

(m) Any person mounted on a horse or stable pony on the Permitted grounds for racing must wear a properly secured safety helmet and safety vest at all times. Additionally, all members of the starting gate crew must also adhere to this regulation while on the racing surface handling a horse. For the purpose of this regulation, a member of the starting gate crew means any person licensed as an assistant starter or any person who handles a horse at the starting gates.

(i) Safety helmets must comply with one of the following minimum safety standards or later revisions: (ASTM 1163), (EN-1384) and PAS-015), (AS/NZ 3838).

(ii) Safety vests must comply with the following minimum safety standard: (BETA 2000 Level 1).

Section 2. **Authorized Agent.**

(a) Each authorized agent must obtain a license from the Commission.

(b) Application for a license must be filed for each owner represented.

(c) A written instrument signed by the owner must accompany the application and shall clearly set forth among the delegated powers whether or not the agent is empowered to collect money from the Permittee and the owner's signature must be acknowledged before a notary public.

(d) If the written instrument is a power of attorney, it shall be filed with the Commission. It shall be attached to the application.

(e) Any changes must be in writing and be filed with the Commission.

(f) The term of the license shall expire December 31, of each year unless the agent's appointment is revoked by the owner or the license is revoked by the Commission.

(g) Owner's revocations must be in writing, sworn to before a notary public and filed with the Commission.

Section 3. **Colors.**

(a) All racing colors carried in races shall be registered annually with the Commission.

(b) The annual fee is twenty (\$20) dollars.

(c) No person shall start a horse in racing colors other than those registered in his own or assumed name, except that an owner may start a horse in colors registered to his or her trainer providing any horse owned by the trainer starts in the trainer's colors.

(d) Any disputes between claimants to the right of the particular racing colors shall be decided by the Stewards.

(e) The Commission will not permit the use of colors which in its opinion are not neat and clean and proper in other respects.

(f) Unless otherwise approved by the Commission, the standard colors for specific post positions shall be as follows:

Number 1---Red	Number 2---White
Number 3---Blue	Number 4---Yellow
Number 5---Black	Number 6---Orange
Number 7---Purple	Number 8---Green
Number 9---Brown	Number 10--Pink
Number 11--Gold	Number 12--Green and White

(i) The Permittee shall supply the standard colors and may charge the owners a reasonable rental for the use and maintenance of the colors.

(g) Registered colors may be worn in all races.

Section 4. **Jockeys.**

(a) Each jockey must obtain a license from the Commission.

(b) No person under sixteen (16) years of age shall be granted a jockey's license.

(c) A person who has never ridden as a jockey shall not be granted a license as a jockey. (See Sec. 6 for apprentice jockey.)

(d) No jockey licensed in Wyoming shall be the owner or part owner of any horse racing in Wyoming.

(e) A jockey who is under contract shall not ride in any race without the consent an owner he is contracted with.

(f) Each Jockey who is under contract shall file a copy of each contract with the Commission and the Racing Secretary.

(g) All jockeys shall faithfully fulfill all engagements in respect to racing. Inability to fulfill engagements must be reported to and receive the approval of the Stewards.

(i) Any jockey having given a call personally or through his agent, who fails to fulfill the engagement may not accept another mount or be assigned to another mount in that race by the Stewards.

(h) A jockey may not ride in any race against a starter of his contract employer unless his mount and his contract employer's starter are both in the hands of the same trainer.

(i) When riding in a race a jockey must be neat in appearance. All riders must be dressed in clean jockey costume, cap and jacket of silk; white breeches of satin or waterproof material; and top boots. Jockeys must wear approved safety helmets in all races. The helmet must comply with one of the following minimum safety standards or later revisions: American Society for Testing and Materials (ASTM 1163); UK standards (EN-1384 and PAS-015); or Australian/New Zealand Standard (AS/NZ 3838). Helmet weight shall not be included in the jockey's weight.

(j) A jockey must wear a safety vest when riding in any official race. The safety vest shall weigh no more than two pounds and be designed to provide shock absorbing protection to the upper body of at least a rating of five, as defined by the British Equestrian Trade Association (BETA 2000 Level 1).

(k) A jockey shall wear a number on his right arm (also on his left arm if the judges stand is in the infield) and the arm number and the saddle cloth number shall correspond to the number of the horse in the official program.

(l) Every jockey who is engaged to ride in a race shall report to the Jockey Quarters not less than one hour before post time for the first race. He shall then report his engagements and overweight to the clerk of scales. He shall not leave the Jockey Room except to view the races from a point approved by the Stewards or to ride in a race until all of his engagements of the day have been fulfilled. The Stewards may permit jockeys engaged for later races to report at a later hour.

(m) All jockeys must pass a physical examination once a year before the commencement of a meeting. The Stewards may require that any jockey be re-examined and may refuse to allow any jockey to ride until he successfully passes the examination. If a jockey has previously passed an examination prior to his appearance at a track in this state, he must present a physical fitness card

signed by the examining doctor that shows the date upon which the examination was made.

(n) A jockey shall not make a bet on any race.

(o) A jockey shall not accept the promise or token of any bet with respect to the race in which he is riding, except through or from the owner or trainer of the horse he rides and then only on that horse.

(p) Jockey riding fees must be paid to the Horsemen's Bookkeeper not later than thirty (30) minutes prior to post time of the first race on the day of the race.

(i) When purses of intermediate amounts are paid the fees for the next lowest purse figures shall be paid.

(ii) If any owner or trainer engaged two or more jockeys for the same race, he shall pay the losing fee for each engaged jockey not riding in the race as well as the proper fee to the jockey who does ride.

(iii) Engagement slips shall be used and shall determine all priorities. Signatures must be affixed by the owner or trainer and the jockey or his agent.

(iv) Jockey's fee shall be considered earned when the jockey weighs out for that race. The fee shall not be considered earned if the jockey voluntarily does not finish the race, except where injury to the horse or rider is involved.

(q) In a dead heat the jockeys involved shall divide equally the total sum of the fees they earned. The owners of the horses involved shall pay their equal share.

(r) A jockey who is under suspension will not be permitted to fulfill any engagements including stakes races.

(s) A jockey under suspension in any other state will not be permitted to ride in Wyoming during the suspension.

(t) The suspension of a jockey for an offense not involving fraud shall begin on the second day after the ruling unless otherwise ordered by the Stewards. A suspension for fraud shall begin immediately after the ruling.

(u) A jockey who is temporarily suspended may be permitted to exercise or gallop horses during the morning hours and to lodge on the grounds of the Permittee at night.

(v) Every jockey may have one agent and no more. All engagements to ride, other than those for his contract employer shall be made by himself or his agent; the one making the engagements shall be held responsible for the proper maintenance of the engagement book.

(w) No jockey shall have an attendant (valet) other than those provided by the Permittee. The attendants may be paid from an assessment collected from the jockeys.

(x) Employers retaining the same jockey have precedence according to priority of the retainers as specified in the contracts.

(y) Each jockey or his agent is responsible to be present at the draw and/or at scratch time to take care of his business. A jockey is obligated to ride the horses that he is named on unless he refuses the rides by scratch time.

(z) A jockey may not possess or use any electrical or mechanical device during any race. The owner and trainer may be penalized for a jockey violation of this rule.

Section 5. Jockey Agents.

(a) Each Jockey Agent must obtain a license from the Commission.

(b) The Stewards may permit an applicant to act pending decision on his application for a license.

(c) A jockey agent may handle no more than one jockey and one apprentice at the same time. A jockey agent shall not make or assist the making of any engagement for any rider other than those he is licensed to represent. The Stewards may approve a jockey agent's representing two journeymen if conditions justify.

(d) If a jockey agent gives up the making of engagements for any rider, he shall immediately notify the Stewards and the Racing Secretary and he shall also turn over to the Stewards a list of any unfilled engagements he may have made for that rider.

(e) Jockey agents must have in their possession at all times engagement books approved by the Stewards, and all engagements made for jockeys by agents must be recorded in the books. Books are subject to examination by the Stewards at all times.

(i) When an owner or trainer engages the services of a jockey through a jockey agent he must obtain a ticket from the jockey agent specifying

whether or not he has first or second call on the services of the jockey. The calls must be declared at time of entry.

(ii) No person other than an owner, trainer, jockey agent or authorized agent of an owner shall make engagements for a jockey. A jockey not represented by an agent may make his own engagements.

(iii) Before each day's entries are taken jockey agents are required to file their first, second, and third calls in each race in the Racing Secretary's office with a person designated by the Stewards. If during the time entries are being taken, an agent should lose or pick up a call, he shall immediately add those calls to the one previously filed.

(f) Conflicting claims for the services of a jockey shall be decided by the Stewards and the first call shall have priority.

(g) A jockey agent shall not give to anyone any information or advice pertaining to a race or engage in the practice of "touting" for the purpose of influencing any person in the making of a wager on the result of any race.

(h) Any agent who falsifies his record shall be suspended by the Stewards and his case referred to the Commission.

(i) Jockey agents will be called upon to explain rival claims for any mount or for any rider and inability to satisfy the Stewards that the rival claim arose through honest error shall be considered a falsification of records.

(j) Jockey agents shall not be allowed in the paddock at any time except by permission of the Stewards.

Section 6. **Jockey Apprentices.**

(a) Jockey apprentices must obtain a license from the Commission.

(b) Jockey apprentices shall be bound by all rules for jockeys except as the rules may be in conflict with the following specific regulations for apprentices.

(c) The Stewards may grant a temporary license for the riding of two races and if approved by the Stewards the temporary license may be replaced with an apprentice jockey license.

(d) Apprentice certificates must be on forms supplied by the Commission and a duplicate original copy, along with a satisfactory record of birth, shall be filed with the Commission.

(e) An apprentice jockey shall ride with a five pound weight allowance beginning after his first mount and for one full year from the date of his fifth winning mount.

(i) If after riding one full year from the date of his fifth winning mount, the apprentice jockey has failed to ride a total of 40 winners from the date of his first winning mount, he shall continue to ride with a five pound weight allowance for one more year from the date of his fifth winning mount or until he has ridden a total of 40 winners, whichever comes first.

(ii) If an apprentice jockey is unable to ride for a period of 14 consecutive days or more after the date of his fifth winning mount because of service in the armed forces of the U.S.A., or because of physical disablement, the Commission may extend the time during which the apprentice weight allowance may be claimed for a period not to exceed the period the apprentice jockey was unable to ride.

(f) Any person between the ages of sixteen and twenty-five, and if under age, with the written consent of his parents or guardian, may be granted an apprentice certificate and license in lieu of an apprentice contract. The apprentice certificate shall grant an apprentice all the allowances and conditions granted to the apprentice who is under contract and he may claim in all overnight races, except handicaps, all allowances as set forth in the rules and regulations governing apprentice jockeys.

Section 7. **Owner.**

(a) Each owner must obtain a license from the Commission and shall register with the Racing Secretary at each track all his horses, giving the name, color, sex, age and breeding of each horse.

(b) A license as an owner shall not be granted to a corporation or to the lessee of any corporation unless the corporation shall have no more than ten stockholders or members, each of whom shall be the registered and beneficial owner of stock or membership in the corporation. A corporation having more than ten stockholders shall not lease for racing purposes to any natural person or partnership any horse owned or controlled by it. Each stockholder must obtain an owners license. All the stockholders of a corporation which own or lease horses for racing purposes in Wyoming shall file with the Commission when requested, a report containing information the Commission may specify. If a stockholder fails to file the report the Commission may refuse a license to any lessee of the corporation or may revoke any license.

(i) Prior approval by the Stewards shall be required for leases. No licensee shall lease a horse for the purpose of racing at Wyoming tracks without prior approval of the Stewards.

(ii) The lessee and lessor shall hold an owners license.

(iii) A copy of the lease shall be furnished to the Commission. Each licensee who leases a horse racing at any meeting must submit a copy of that lease to the Commission. The lease must contain at least all of the conditions of the lease arrangement and the names of all parties and horses related to the lease. The failure to submit accurate and complete information under this rule is a violation of these rules.

(iv) Lessor information. When any lessor of a horse is a corporation, syndicate, partnership or other entity of multiple interest it shall furnish the Commission under oath the identification of its stockholders, members, partners, etc.

(c) If any owner changes trainers, he must secure permission of the Stewards and notify the Racing Secretary and cause the new trainer to sign his name on the owner's registration.

(d) No owner shall employ a jockey for the purpose of preventing him from riding in any race.

(e) No owner shall accept any bribe, gift or gratuity which might influence the result of any race.

(f) An owner shall see to it that a report is made promptly to the Racing Secretary and to the official veterinarian of any and all sickness of his horses.

(g) A stable may not have in its employment in any capacity any under age employee who has not been granted written permission by his parents or guardian or whose employment violates the law.

(h) The personnel of every stable and changes shall be registered by the trainer with the Commission.

(i) The owner of a horse ridden by a jockey in a race, who possesses or uses a mechanical or electrical device, may be penalized by loss of purse, etc.

Section 8. **Partnerships.**

(a) Partnerships, including husband and wife, must be licensed by the Commission.

(b) An authorized agent must be appointed to represent a partnership in all matters and only he shall withdraw money or sign claims for the

partnership. A notarized instrument must be signed by all members of a partnership in the appointment of an authorized agent.

(c) Partnership papers shall set forth the following:

(i) The name and address of each person having any interest in the horses involved.

(ii) The relative proportions of the interests.

(iii) To whom the winnings are payable.

(iv) In whose name the horses shall run.

(v) Who has the power of entry and declaration.

(vi) The terms of any contingency, lease or any other arrangement.

(d) All partnership papers must be signed by all parties or by their authorized agent.

(e) In case of emergency, authority to sign declarations of partnerships may be given to the Commission by a telegram promptly confirmed in writing.

(f) The part owner of any horse cannot assign his share or any part of it without the written consent of the other partners, the consent to be filed with the Commission and the Racing Secretary.

(g) An alteration in a recorded partnership registration must be reported in writing to the Commission and signed by all the partners.

(h) All parties to a partnership and each of them shall be jointly and severally liable for all stakes, forfeits and other obligations.

Section 9. **Stable Names.**

(a) All names used that do not reveal the actual identity of the owner shall be considered a "Stable Name" and must be licensed with the Commission.

(b) When applying to race under a Stable Name the applicant must disclose the identity behind the Stable Name. If multiple ownership or a partnership is involved in the identity, the Rules covering partnerships and/or multiple ownerships must be complied with.

(c) Changes in identities must be reported immediately to and be approved by the Commission.

(d) A person cannot register more than one Stable Name at the same time, nor can he use his real name for racing purposes so long as he has a registered Stable Name.

(e) Any person who has been registered under a Stable Name may cancel it after he has given written notarized notice to the Commission.

(f) A person cannot register as his Stable Name one which has been registered by any other person with an Association conducting a recognized meeting, with the Jockey Club of New York, or with another racing authority.

(g) A person may not register as his Stable Name one which is the real name of any owners of race horses nor one which is the real name of any prominent person not owning race horses.

(h) A trainer who is a licensed owner or part owner may use a Stable Name as owner or part owner providing he is the trainer for the Stable Name owner. No trainer may be licensed as a trainer other than in his legal name.

(i) A Stable Name shall be plainly distinguishable from that of another registered Stable Name. When appearing on the program, the Stable Name must be accompanied by the legal name of the owner. If the Stable Name has more than one owner, one legal name of an owner must appear followed by the term "et al".

(j) A corporate name shall be considered a Stable Name for the purposes of these Rules, but the Commission reserves the right to refuse any corporation the privileges of registering a Stable Name or racing as a corporation unless Section 7 of these rules are complied with.

(k) If more than one (1) person races with a Stable Name registered as the owner, an authorized agent shall be appointed and he shall transact all business.

Section 10. **Trainers / Assistant.**

(a) No person shall be eligible to hold a trainer's license unless he:

(i) Is at least 19 years old.

(ii) Is qualified in the opinion of the Stewards by reason of experience, background and knowledge of racing.

- (iii) Passes a written examination administered by the Stewards.
- (iv) Passes a "barn test" administered by horsemen's representatives under the supervision of the Stewards.
- (b) Each trainer must obtain a license from the Commission. He shall list all the names of owners or part owners of all horses trained by him.
- (c) No trainer of race horses shall register under a stable name nor shall he train except under his own legal name.
- (d) The Stewards may permit a trainer to act pending action on his application for license, but under no circumstances shall his name appear on a program as trainer nor shall he enter the paddock prior to a race until the license has been issued.
- (e) Each trainer shall register with the Racing Secretary all the horses in his charge giving the name, age, sex, breeding and ownership of each. Any horse stabled on the grounds of the Permittee or under the jurisdiction of the Permittee without having been registered, or occupying a stall not assigned for him will be cause for disciplinary action.
- (f) Absence from stable or grounds:
 - (i) When a trainer is to be absent from his stable or the grounds where his horses are racing for a period of more than two racing days and his horses are entered or are to be entered, he must provide a licensed trainer to assume the complete responsibility of the horse or horses he is entering or running. The licensed trainer shall sign in the presence of the Stewards a form furnished by the Commission, accepting complete responsibility of the horses being entered or running and of the absent trainer's other horses stalled on the grounds.
 - (ii) When a trainer is to be absent from his stable or the grounds where his horses are racing for a period of not more than two racing days, and his horses are entered or are to be entered, he may name an assistant trainer who is licensed by the Commission to assume the complete responsibility of the horses the trainer plans to enter or run and all other horses the trainer has stalled on the grounds.
 - (iii) Assistant trainers have the same duties as trainers, and are equally responsible with the regular trainer as the absolute insurer of the condition of horses in their care.
- (g) A licensed trainer may represent the owner in the matter of entries, declarations and the employment of jockeys, but shall not be allowed to withdraw

any money from the Permittee either in his own name or that of an owner nor may he contract obligations against the account of any owner or part owner unless he is the authorized agent of the owner or part owner and has an authorized agents license.

(h) A trainer shall be responsible for the condition of a horse trained by him, and shall be the absolute insurer of that horse's condition during a race, subject to rebuttal of the presumption.

(i) Each trainer shall register with the Commission every person in his employ. He is responsible for the licensing of each employee. He shall not harbor, engage or retain any person that is not licensed.

(j) A trainer shall not have in his charge nor under his supervision any horse owned in whole or in part by a disqualified person.

(k) A trainer shall not accept any bribe, gift or gratuity in any form which might influence the result of any race.

(l) A trainer shall not move any horse in his care from the grounds of a Permittee without permission of from the Racing Secretary or the Stewards.

(m) A trainer shall not employ a jockey for the purpose of preventing him from riding in any race, nor allow a jockey to possess or use any electrical or mechanical device during a race.

(n) A trainer shall promptly report any and all sickness of his horses to the Racing Secretary, to the Official Veterinarian and to the Stewards.

Section 11. **Veterinarians.**

(a) Every veterinarian who examines or treats a horse whose papers are on file in the Racing Secretary's office or treats a horse that is going to be entered as a haul-in entry must be licensed by the Commission.

(b) Each veterinarian must report to the Commission Veterinarian and the Stewards no later than 9 a.m. on the following morning on forms supplied by the Commission the following:

(i) The name of the horse examined and/or treated.

(ii) The name of the owner and trainer of the horse.

(iii) The diagnosis made and the name and amount of all drugs and medicines prescribed or administered.

(iv) The name and amount of all drugs and medicines prescribed for future use by the trainer.

(c) The penalty for falsification of any report or the failure to submit the record shall be permanent barring from the racing enclosures under the jurisdiction of the Commission.

(d) Veterinarians practicing on a race track shall use one time disposable type needles and shall dispose of them in an approved manner.

(e) The use of other than single use disposable syringes is prohibited. Syringes are to be disposed of in a proper manner.

CHAPTER 9

RULES FOR PARI-MUTUEL BETTING

Section 1. **Mutuels – General Provision.**

(a) General

Each Permittee shall conduct wagering in accordance with applicable laws and these rules. Such wagering shall employ a pari-mutuel system approved by the Commission. The totalisator shall be tested as required by the Commission.

(b) Records

(i) The Permittee shall maintain records of all wagering so the Commission may review such records for any contest including the opening line, subsequent odds fluctuation, the amount and at which window wagers were placed on any betting interest and such other information as may be required. Such wagering records shall be retained by each Permittee and safeguarded for a period of time specified by the Commission. The Commission may require that certain records be made available to the wagering public at the completion of each contest.

(ii) The Permittee shall provide the Commission with a list of the licensed individuals afforded access to pari-mutuel records and equipment at the wagering facility.

(c) Pari-Mutuel Tickets

A pari-mutuel ticket is evidence of a contribution to the pari-mutuel pool operated by the Permittee and is evidence of the obligation of the Permittee to pay to the holder thereof such portion of the distributable amount of the pari-mutuel pool as is represented by such valid pari-mutuel ticket. The Permittee shall cash all valid winning tickets when such are presented for payment during the course of the meeting where sold, and for one full year immediately following the date of purchase.

(i) To be deemed a valid pari-mutuel ticket, such ticket shall have been issued by a pari-mutuel ticket matching operated by the Permittee and recorded as a ticket entitled to a share of the pari-mutuel pool, and contain imprinted information as to:

- (A) The name of the Permittee operating the meeting.
- (B) A unique identifying number or code.

(C) Identification of the terminal at which the ticket was issued.

(D) A designation of the performance for which the wagering transaction was issued.

(E) The contest number for which the pool is conducted.

(F) The type or types of wagers represented.

(G) The number or numbers representing the betting interest for which the wager is recorded.

(H) The amount or amounts of the contributions to the pari-mutuel pool or pools for which the ticket is evidence.

(ii) No pari-mutuel ticket recorded or reported as previously paid, cancelled, or non-existent shall be deemed a valid pari-mutuel ticket by the Permittee. The Permittee may withhold payment and refuse to cash any pari-mutuel ticket deemed not valid, except as provided in rule (d) of these general provisions.

(d) Pari-Mutuel Ticket Sales

(i) Pari-mutuel tickets shall not be sold by anyone other than a Permittee licensed to conduct pari-mutuel wagering.

(ii) No pari-mutuel tickets may be sold on a live pari-mutuel event for which wagering has already been closed and no Permittee shall be responsible for ticket sales entered into but not completed by issuance of a ticket before the totalisator is closed for wagering on such contest.

(iii) Claims pertaining to a mistake on an issued or unissued ticket must be made by the bettor prior to leaving the seller's window.

(iv) Payment on winning pari-mutuel wagers shall be made on the basis of the order of finish as purposely posted and declared "official". Any subsequent change in the order of finish or award of purse money as may result from a subsequent ruling by the stewards or Commission shall in no way affect the pari-mutuel payoff. If an error in the posted order of finish or payoff figures is discovered, the official order of finish or payoff prices may be corrected and an announcement concerning the change shall be made to the public.

(v) The Permittee shall not satisfy claims on lost, mutilated, or altered pari-mutuel tickets without authorization of the Commission.

(vi) The Permittee shall have no obligation to enter a wager into a betting pool if unable to do so due to equipment failure.

(vii) A pari-mutuel ticket shall not be sold to a licensed jockey.

(e) Advance Performance Wagering

No Permittee shall permit wagering to begin more than one hour before scheduled post time of the first contest of a performance unless it has first obtained the authorization of the Commission.

(f) Claims for Payment from Pari-Mutuel Pool

At a designated location, a written, verified claim for payment from a pari-mutuel pool shall be accepted by the Permittee in any case where the Permittee has withheld payment or has refused to cash a pari-mutuel wager. The claim shall be made on such form as approved by the Commission, and the claimant shall make such claim under penalty of perjury. The original of such claim shall be forwarded to the Commission within 48 hours.

(i) In the case of a claim made for payment of a mutilated pari-mutuel ticket which does not contain the total imprinted elements required in section c, (i) of these general provisions, the Permittee shall make a recommendation to accompany the claim forwarded to the Commission as to whether or not the mutilated ticket has sufficient elements to be positively identified as a winning ticket.

(ii) In the case of a claim made for payment on a pari-mutuel wager, the Commission shall adjudicate the claim and may order payment thereon from the pari-mutuel pool or by the Permittee, or may deny the claim, or may make such other order as it may deem proper.

(g) Payment for Errors

If an error occurs in the payment amounts for pari-mutuel wagers which are cashed or entitled to be cashed; and as a result of such error the pari-mutuel pool involved in the error is not correctly distributed among winning ticket holders, the following shall apply:

(i) Verification is required to show that the amount of the commission, the amount in breakage, and the amount of payoffs is equal to the total gross pool. If the amount of the pool is more than the amount used to calculate the payoff, the underpayment shall be added to the corresponding pool of the next contest. If underpayments are discovered after the close of the meeting, the underpayment shall be held in an interest-bearing account approved

by the Commission until being added, together with accrued interest, to the corresponding pool of the next meet.

(ii) Any claim not filed with the Permittee within 30 days, inclusive of the date on which the underpayment was publicly announced, shall be deemed waived; and the Permittee shall have no further liability therefore.

(iii) In the event the error results in an overpayment to winning wagers, the Permittee shall be responsible for such payment.

(h) Betting Explanation

A summary explanation of pari-mutuel wagering and each type of betting pool offered shall be published in the program for every wagering performance. The rules of racing relative to each type of pari-mutuel pool offered must be prominently displayed on Permittee grounds available upon request through Permittee representatives.

(i) Display of Betting Information

(i) Approximate odds for Win pool betting shall be posted on display devices within view of the wagering public and updated at intervals of not more than 90 seconds.

(ii) The probable payoff or amounts wagered, in total and on each betting interest, for other pools may be displayed to the wagering public at intervals and in a manner approved by the Commission.

(iii) Official results and payoffs must be displayed upon each contest being declared official.

(j) Cancelled Contests

If a contest is cancelled or declared "no contest", refunds shall be granted on valid wagers in accordance with these rules.

(k) Refunds

(i) Notwithstanding other provisions of these rules, refunds of the entire pool shall be made on:

(A) Win pools, Exacta pools, and first-half Double pools offered in contests in which the number of betting interest has been reduced to fewer than two (2).

(B) Place pools, Quinella pools, Trifecta pools, first-half Quinella Double pools, first-half Twin Quinella pools, first-half Twin Trifecta pools, and first-half Tri-Superfecta pools offered in contests in which the number of betting interest has been reduced to fewer than three (3).

(C) Show pools, Superfecta pools, and first-half Twin Superfecta pools offered in contest in which the number of betting interests has been reduced to fewer than four (4).

(ii) Authorized refunds shall be paid upon presentation and surrender of the affected pari-mutuel ticket.

(iii) If a jockey weighs in short of weight by more than two (2) pounds and his mount is disqualified in consequence of short weight, all monies wagered on the horse shall be deducted from the pool and be refunded.

(A) In case of a coupled entry, if one of the jockeys riding the entry weighs in short of weight by more than two (2) pounds and his mount is disqualified in consequence of short weight, no refund shall be made unless the other portion of the entry is also disqualified for short weight in which case all money wagered on the entry disqualified shall be deducted from the pool and be refunded. This rule applies with equal effect to the field.

(iv) If a race is cancelled by the Stewards after wagering begins on that race, all money wagered on that race shall be refunded.

(v) If a horse is left at the post at "off-time" there shall be no refund, but if one horse or more is prevented from leaving the post at "off-time" because of being locked in the gate the Starter shall immediately notify the Stewards who shall immediately notify the manager of the pari-mutuel department and a refund shall be made on the horses affected. The Starter shall be the sole judge of what horses are prevented from starting in a race through failure of the gates to open.

(vi) In all cases when a horse has been excused by the Stewards after wagering has started but before "off-time" all money wagered on the horse excused shall be deducted from the pool and refunded.

(vii) If no horse finishes in race, all money wagered on that race shall be refunded.

(viii) If no roper gets a time in a section, all money wagered shall be carried over into the respective pools of the next section. If there are no more sections to be contested in the event, all money shall be refunded.

(l) Coupled Entries and Mutuel Fields

(i) Contestants coupled in wagering as a coupled entry or mutuel field shall be considered part of a single betting interest for the purpose of price calculations and distribution of pools. Should any contestant in coupled entry or mutuel field be officially withdrawn or scratched, the remaining contestants in that coupled entry or mutuel field shall remain valid betting interests and no refunds will be granted. If all contestants within a coupled entry or mutuel field are scratched, then tickets on such betting interests shall be refunded, notwithstanding other provisions of these rules.

(ii) For the purpose of price calculations only, coupled entries and mutuel fields shall be calculated as a single finisher, using the finishing position of the leading contestant in that coupled entry or mutuel field to determine order of placing. This rule shall apply to all circumstances, including situations involving a dead heat, except as otherwise provided by these rules.

(m) Pools Dependent Upon Betting Interests

Unless the Commission otherwise provides, at the time the pools are opened for wagering, the Permittee:

(i) May offer win, place, and show wagering on all contests with six (6) or more betting interests.

(ii) May be allowed to prohibit show wagering on any contest with five (5) or fewer betting interests scheduled to start.

(iii) May be allowed to prohibit place wagering on any contest with four (4) or fewer betting interests scheduled to start.

(iv) May be allowed to prohibit Quinella wagering on any contests with three (3) or fewer betting interests scheduled to start.

(v) May be allowed to prohibit Quinella Double wagering on any contests with three (3) or fewer betting interests scheduled to start.

(vi) May be allowed to prohibit Exacta wagering on any contest with three (3) or fewer betting interests scheduled to start.

(vii) Shall prohibit Trifecta wagering on any contest with three (3) or fewer betting interests scheduled to start.

(viii) Shall prohibit Superfecta wagering on any contest with four (4) or fewer betting interests scheduled to start.

(ix) May be allowed to prohibit Twin Quinella wagering on any contests with three (3) or fewer betting interests scheduled to start.

(x) Shall prohibit Twin Trifecta wagering on any contests with seven (7) or fewer betting interests scheduled to start.

(xi) Shall prohibit Tri-Superfecta wagering on any contests with of seven (7) or fewer betting interests scheduled to start.

(xii) Shall prohibit Twin Superfecta wagering on any contests with seven (7) or fewer betting interests scheduled to start.

(n) Prior Approval Required for Betting Pools

(i) A Permittee that desires to offer new forms of wagering must apply in writing to the Commission and receive written approval prior to implementing the new betting pool.

(ii) The Permittee may suspend, for a specific performance, previously-approved forms of wagering with the prior approval of the Commission. Any carryover shall be held until the suspended form of wagering is reinstated. A Permittee may request approval of a form of wagering or separate wagering pool for specific performances or events.

(o) Closing of Wagering in a Contest

(i) A Commission representative shall close wagering for each contest after which time no pari-mutuel tickets shall be sold for that contest.

(ii) The Permittee shall maintain, in good order, a system approved by the Commission for closing wagering.

(p) Complaints Pertaining to Pari-Mutuel Operations

(i) When a patron makes a complaint regarding the pari-mutuel department to a Permittee, the Permittee shall immediately issue a complaint report, setting out:

(A) The name of the complainant;

(B) The nature of the complaint;

(C) The name of the persons, if any against whom the complaint was made;

(D) The date of the complaint;

(E) The action taken or proposed to be taken, if any, by the Permittee.

(ii) The Permittee shall submit every complaint report to the Commission within 48 hours after the complaint was made.

(q) Licensed Employees

All licensees shall report any known irregularities or wrong doings by any persons involving pari-mutuel wagering immediately to the Commission and cooperate in subsequent investigations.

(r) Unrestricted Access

The Permittee shall permit the Commission unrestricted access at all times to its facilities and equipment and to all books, ledgers, accounts, documents and records of the Permittee that relate to pari-mutuel wagering.

(s) Emergency Situations

In the event of an emergency in connection with the pari-mutuel department not covered in these rules, the pari-mutuel manager representing the Permittee shall report the problem to the stewards and the Permittee and the stewards shall render a full report to the Commission within 48 hours.

Section 2. **Advance Deposit Wagering.**

(a) Definitions

(i) ACCOUNT, an advance deposit wagering account used to record credits, debits, deposits and withdrawals.

(ii) ACCOUNT HOLDER, a natural person that has established an account.

(iii) ACCOUNT NUMBER, a unique identification number designated by the Permittee, betting system, or the Wyoming hub.

(iv) ADVANCE DEPOSIT WAGERING, a form of pari-mutuel wagering in which an account holder, residing within or outside Wyoming, establishes an account and then authorizes a Commission approved Permittee, Betting System or WY Hub, by telephone or other electronic media, to place wagers on racing on the accountholder's behalf. Only the Permittee, Betting System, or Wyoming Hub that holds the account can make an advance deposit

wager for the account holder. Wagering instructions that concern funds held in an account shall be deemed issued within the enclosure of the Permittee.

(v) APPLICANT, any entity including, but not limited to, corporations, partnerships, limited liability companies, limited partnerships, or individuals that file an application to conduct advance deposit wagering.

(vi) APPLICATION, the application that must be Commission-approved prior to a Permittee, betting system or WY Hub being permitted to conduct pari-mutuel wagering.

(vii) BETTING SYSTEM, a business conducted for Wyoming that facilitates pari-mutuel wagering on races it offers in its wagering menu.

(viii) COMMISSION, the Wyoming Pari-mutuel Commission.

(ix) CONFIDENTIAL INFORMATION:

(A) The amount of money credited to, debited from, or present in any particular account holder's Account.

(B) The amount of money wagered by a particular account holder on any races or series of races.

(C) The account number and secure means of personal identification of an account holder.

(D) The identities of particular entries on which the account holder is wagering or has wagered.

(E) Unless otherwise authorized by the account holder, the name, address, and other information in possession of the Permittee, betting system or Wyoming Hub that would identify the account holder to anyone other than the Commission, Permittee, betting system or Wyoming Hub.

(F) Credit and debit card information provided by account holder.

(x) CREDITS, all positive inflow of money to an account.

(xi) DEBITS, all negative outflow of money when placing a wager and other transaction related charges from an account or for the purchase of racing related merchandise and services.

(xii) DEPOSIT, a credit of money to an account from an account holder.

(xiii) PERMITTEE, any person, entity or corporation granted a permit by the Commission to conduct pari-mutuel events within the State of Wyoming.

(xiv) MEANS OF PERSONAL IDENTIFICATION, the unique number, code or other secure technology designated by an account holder to assure that only the account holder has access to his or her account.

(xv) NATURAL PERSON, any person at least 18 years of age.

(xvi) OTHER ELECTRONIC MEDIA, any electronic communication device or combination of devices including, but not limited to, personal computers, the Internet, private networks, interactive television and wireless communication technologies.

(xvii) PROPER IDENTIFICATION, a form of identification, accepted in the normal course of business, that establishes the person making a transaction is the account holder.

(xviii) WITHDRAWAL, a payment from an account by the Permittee, betting system, or Wyoming Hub to the account holder.

(xvix) WITHDRAWAL SLIP, the form provided by the Permittee, betting system, or Wyoming Hub to an account holder to withdraw funds.

(b) Duties of Advance Deposit Wagering Permittee

(i) An applicant shall complete an application for permit to conduct advance deposit Wagering, which is available at the Commission's administrative office. The application must be filed no later than 60 days in advance of the scheduled start date of operation.

(ii) Applicants shall provide financial information that demonstrates the financial resources to operate advance deposit wagering and provide a detailed budget that shows anticipated revenue, expenditures and cash flows by month, projected for the term of the permit.

(iii) The Commission may conduct investigations, inspections or request additional information from the applicant as it deems appropriate in determining whether to approve the permit.

(iv) Prior to any account being established or wagering being conducted, the application submitted to the Commission must be approved and a permit issued to the applicant. The permit shall be authorized annually by the Commission.

(v) Subsequent to the issuance of a permit to conduct advance deposit wagering under this article, changes or amendments to information or

operating procedures contained in an application will be permitted only by order of the Commission or by Commission approval of a request for changes or amendments submitted in writing by the applicant.

(vi) Applicants shall establish security access policies as set forth:

(A) The applicant shall utilize personal identification numbers (PINs) or other technologies to assure that only the account holder has access to the advance deposit wagering account.

(B) The applicant shall allow the commission access to its premises to visit, investigate, and place expert accountants and other persons it deems necessary for the purpose of ensuring that its rules and regulations concerning credit authorization, account access, and other security provisions are strictly complied with.

(vii) Applicants that accept wagers shall provide a full accounting and verification of the source of the wagers, and a detailed wagering information file that includes, but is not limited to, dollar amount wagered, pool on which the wager was placed, race number and racing venue, zone, breed, zip code of the account holder, time wagering stopped, and time of the wager in the form of a daily mutuel data download to the Commissions designated database.

(viii) The Commission, or its designee, shall be given access for review and audit of all records. The applicant shall, at their location during hours of operation, make such information available. The Commission may require the applicant to annually submit audited financial statements.

(ix) The Commission shall prohibit advance deposit wagering advertising that it determines to be deceptive to the public. The Commission shall also require, by regulation, that every form of advertising contain a statement that minors are not allowed to open or have access to advance deposit wagering accounts.

(x) Contact information for a recognized problem-gambling support organization shall be made available to all account holders.

(c) Operation of Advance Deposit Wagering

(i) The Permittee may suspend or close an account. Any account with no activity for at least six months may be closed. When an account is closed the Permittee shall mail any funds, less processing fees, within five business days to the account holder at the address of record.

(ii) Funds shall be in an interest bearing account.

(iii) Funds in an account shall not bear interest to the account holder.

(iv) All wagering conversations, transactions or other wagering communications through the advance deposit wagering system, verbal or electronic, shall be recorded by means of electronic media, and the tapes or other records of such communications shall be kept by the Permittee for 180 days. These tapes and other records shall be made available to the Commission upon request or order by the Executive Director.

(v) The total amount of all account wagers shall be included in the respective pools for each race and shall be combined into the Permittee's pools or directly into the corresponding pools of the host track.

(vi) Accounts are for personal use only and the account holder is responsible to maintain the secrecy of the account number and means of personal identification. The account holder must immediately notify the Permittee of any breach of the account's security.

(vii) Upon request of the account holder, the Permittee shall provide a statement detailing account activity for the immediate 30 days prior to the request. Unless the Permittee receives written notice disputing the statement within 14 days of the date the statement is forwarded, it shall be deemed to be correct.

(viii) Unless otherwise noted on the account application, the address provided on the application to establish the account is deemed the address of record for mailing checks, statements of account, account withdrawals, notices, or other correspondence. It is the responsibility of the account holder to notify the Permittee of any address change.

(ix) No employee or agent of the Permittee shall divulge any confidential information related to an advance deposit wagering account, the placing of any wager or any confidential information related to the operation of the advance deposit wagering system without the consent of the account holder, except to the account holder as required by this article, the Commission, and as otherwise required by state or federal law.

(x) Account holders shall designate if they want to use a credit card to make deposits to their account. Changes to that designation shall require 24 hours' notice to the Permittee.

(d) Requirements to Establish an Advance Deposit Wagering Account

(i) Accounts shall be established in person, by mail, telephone or other electronic media before any wagering shall be conducted. An account shall only be established in the name of a natural person and is non-transferable.

(ii) Any individual prohibited from wagering under Wyoming Pari-mutuel Commission Rules shall be prohibited from establishing an account or placing a wager.

(iii) The information required to establish an account shall include:

(A) Account holder's full legal name.

(B) Principal residence address.

(C) Mailing Address, e-mail address, when available.

(D) Telephone number.

(E) Social Security number.

(F) Identification or certification to prove the account holder is at least 18 years of age.

(G) Completed W-9 form.

(H) Whether the account holder wants to use a credit card to make deposits to their account.

(I) Signature of applicant.

(iv) The Permittee shall employ electronic verification with respect to each account holder's name, principal residence address, date of birth and Social Security number at the time of account establishment by a Commission-approved national independent reference company or another independent technology approved by the Commission which meets or exceeds the reliability, security, accuracy, privacy and timeliness provided by individual reference service companies.

(v) The Permittee may refuse to establish an account if it is found that any of the information supplied is untrue or incomplete.

(vi) When an account is established the Permittee shall designate an account number for each account. The number can be changed provided the account holder is informed prior to any change.

(vii) The account holder shall designate a means of personal identification to use to access their account.

(viii) The Permittee shall inform the account holder of the assigned account number and provide a copy of its advance deposit wagering

procedures, terms and conditions as well as any information that pertains to the operation of the account.

(ix) Each Permittee shall, at all times, comply with Internal Revenue Service (IRS) requirements for reporting and withholding proceeds from advance deposit wagers by account holders and shall send to account holders subject to IRS reporting or withholding a Form W2-G summarizing the information for tax purposes following a winning wager being deposited into an account. Upon written request, the Permittee shall provide account holders with summarized tax information on advance deposit wagering activities.

(e) Deposits to an Advance Deposit Wagering Account

(i) Deposits to an Account shall be made in the following form:

(A) Cash deposits made directly.

(B) Personal checks, cashier's checks and money orders made directly or mailed.

(C) Debits to an account holder's credit card or debit card, upon direct instructions of the account holder, providing the use of such card has been pre-approved by the Permittee and designated by the account holder at least 24 hours in advance. The account holder may be liable for any charges imposed by the transmitting or receiving Permittee and the charges may be deducted from the account holder's account.

(D) Debits by electronic fund transfer (EFT) from a monetary account controlled by an account holder. The account holder may be liable for any charges imposed by the transmitting or receiving Permittee and the charges may be deducted from the account holder's account.

(E) Cashier's checks, money orders and EFTs shall be given immediate credit upon presentation. Credit cards or debit cards shall be given immediate credit upon authorization from the issuer.

(F) Permittees shall disclose their policy regarding the acceptance of personal checks to the account holder.

(f) Placing an Advance Deposit Wager

(i) Debits to an account shall be made in the following form:

(A) The Permittee shall debit the amount wagered by an account holder.

(B) Wagers shall not be accepted in an amount in excess of an account balance.

(C) The Permittee may debit the account for service or other transaction-related charges.

(D) The Permittee may debit the account for purchases of racing related merchandise and services requested by an account holder.

(g) Withdrawals from an Advance Deposit Wagering Account

(i) Withdrawals shall be completed within five business days after the Permittee receives a request from an account holder by mail, by telephone, or other electronic media accompanied by the valid account number and a means of personal identification or, if by mail, a signed completed withdrawal slip.

(ii) If sufficient funds are available, the Permittee shall send a check payable to the account holder in the amount of the requested withdrawal to the address of record.

(iii) If sufficient funds are not available, the Permittee shall, within five business days of receipt, provide notification to the account holder of insufficient funds and send a check, payable to the account holder, in the amount of the funds available, to the address of record.

(iv) An EFT may be used in lieu of a check at the discretion of the account holder and the Permittee, subject to the same conditions set forth in Chapter. 9 Sec. 2 (e).

(v) Account Holders may make withdrawals in person with such identification as required by the Permittee, the valid account number, and a means of personal identification.

(h) Credit for Winning Wagers and Scratched Entries

(i) The Permittee, betting system, or Wyoming Hub shall post credits for winnings from advance deposit wagers and any credit for wagers on a scratched entry to the account after the race is declared official.

(i) Proceeds from a Deceased Account Holder

(i) If an account holder is deceased, the Permittee, betting system, or WY Hub shall release the funds in the account to the decedent's legal representative upon receipt of a copy of a probate court authorization or other documents as required by applicable Wyoming or other state laws.

Section 3. Interstate Common Pool Wagering.

(a) General

(i) All contracts governing participation in interstate common pools shall be submitted to the Commission for approval.

(ii) Individual wagering transactions are made at the point of sale in the state where placed. Pari-mutuel pools are combined for computing odds and calculating payoffs and breakage, but will be held separate for auditing and all other purposes.

(iii) Any surcharges or withholdings in addition to the takeout shall only be applied in the jurisdiction otherwise imposing such surcharges or withholdings.

(iv) In determining whether to approve an interstate common pool which does not include the host track, the Commission shall consider and may approve use of a bet type which is not utilized at the host track, application of a takeout rate not in effect at the host track, or other factors which are presented to the Commission.

(v) The content and format of the visual display of racing and wagering information at facilities in other jurisdictions where wagering is permitted in the interstate common pool need not be identical to the similar information permitted or required to be displayed under these rules.

(vi) In cases where host track state wagering rules differ from guest state wagering rules, host track state rules shall apply.

(b) Guest State Participation in Interstate Common Pools

(i) With the prior approval of the Commission, pari-mutuel wagering pools may be combined with corresponding wagering pools in the host state, or with corresponding pools established by one or more other jurisdictions.

(ii) The Commission may permit adjustment of the takeout from the pari-mutuel pool so that the takeout rate in this jurisdiction is identical to that at the host track, or identical to that of other jurisdictions participating in a merged pool.

(iii) Where takeout rates in the merged pool are not identical, the net price calculation shall be the method by which the differing takeout rates are applied.

(iv) Rules of racing as established for the contest in the host state shall apply to the merged pool.

(v) The Commission shall approve agreements made between the Permittee and other participants in interstate common pools governing the distribution of breakage between the jurisdictions.

(vi) If, for any reason, it becomes impossible to successfully merge the bets placed into the interstate common pool, the Permittee shall make payoffs in accordance with payoff prices that would have been in effect if prices for the pool of bets were calculated without regard to wagers placed elsewhere; except that, with permission of the Commission, the Permittee may alternatively determine to either pay winning tickets at the payoff prices at the host track, or declare such accepted bets void and make refunds in accordance with the applicable rules.

(c) Host State Participation in Merged Pools

(i) With the prior approval of the Commission, an Permittee licensed to conduct pari-mutuel wagering may determine that one or more of its contest be utilized for pari-mutuel wagering at guest facilities in other states, and may also determine that pari-mutuel pools in guest state be combined with corresponding wagering pools established by it as the host track or comparable wagering pools established by two or more states.

(ii) Where takeout rates in the merged pool are not identical, the net price calculation shall be the method by which the differing takeout rates are applied.

(iii) The Commission shall approve agreements made between the Permittee and other participants in interstate common pools governing the distribution of breakage between the jurisdictions.

(iv) Any contract for interstate common pools entered into by the Permittee shall contain a provision to the effect that if, for any reason, it becomes impossible to successfully merge the bets placed in another state into the interstate common pool formed by the Permittee, or if, for any reason, the Commission's or the Permittee's representative determines that attempting to effect transfer of pool data from the guest state may endanger the Permittee's wagering pool, the Permittee shall have no liability for any measures taken which may result in the guest's wagers not being accepted into the pool.

(d) Takeout Rates in Interstate Common Pools

(i) With the prior approval of the Commission, aPermittee wishing to participate in an interstate common pool may change its takeout rate (within the limits permitted by state law) so as to achieve a common takeout rate with all other participants in the interstate common pool.

(ii) A Permittee wishing to participate in an interstate common pool may request that the Commission approve a methodology whereby host and guest states with different takeout rates for corresponding pari-mutuel pools may

effectively and equitably combine wagers from the different states into an interstate common pool.

Section 4. Calculation of Payoffs and Distribution of Pools – Live Events & Live Simulcast Events.

(a) General

(i) All permitted pari-mutuel wagering pools shall be separately and independently calculated and distributed. Takeout shall be deducted from each gross pool as stipulated by law. The remainder of the monies in the pool shall constitute the net pool for distribution as payoff on the winning wagers.

(ii) For each wagering pool, the amount wagered on the winning betting interest or betting combinations is deducted from the net pool to determine the profit; the profit is then divided by the amount wagered on the winning betting interest or combinations, such quotient being the profit per dollar.

(iii) Either the standard or net price calculation procedure may be used to calculate single commission pools, while the net price calculation procedure must be used to calculate multi-commission pools.

(A) Standard price calculation procedure:

SINGLE PRICE POOL (WIN POOL)

gross pool	= sum of wagers on all betting interest - refunds
net pool	= gross pool x (1 - percent takeout)
profit	= net pool - gross amount bet on winner
profit per dollar	= profit/gross amount bet on winner
\$1 unbroken price	= profit per dollar + \$1

PRICE SPLIT (PLACE POOL)

Profit is net pool less gross amount bet on all place finisher. Finishers split profit 1/2 and 1/2 (place profit), then divide by gross amount bet on each place finisher for two unique prices.

PROFIT SPLIT (SHOW POOL)

Profit is net pool less gross amount bet on all show finishers. Finishers split profit 1/3 and 1/3 and 1/3 (show profit), then divide by gross amount bet on each show finisher for three unique prices.

Net price calculation procedure:

SINGLE PRICE POOL (WIN POOL)

gross pool	= sum of wagers on all betting interest - refunds
* for each source:	
net pool	= gross pool x (1 - percent takeout)
net bet on winner	= gross amount bet on winner x (1 - percent takeout)
total net pool	= sum of all sources net pools
total net bet on winner	= sum of all sources net bet on winner
total profit	= total net pool - total net bet on winner
profit per dollar	= total profit/total net bet on winner
\$1 unbroken base price	= profit per dollar + \$1

* for each source:

unbroken \$1 price = \$1 unbroken base price x (1 - percent takeout)

PROFIT SPLIT (PLACE POOL)

Total profit is the total net pool less the total net amount bet on all place finishers. Finishers split total profit 1/2 and 1/2 (place profit), then divide by total net amount bet on each place finisher for two unique unbroken base prices.

PROFIT SPLIT (SHOW POOL)

Total profit is the total net pool less the total net amount bet on all show finishers. Finishers split total profit 1/3 and 1/3 and 1/3 (show profit), then divide by total net amount bet on each show finisher for three unique unbroken base prices.

(iv) If a profit split results in only one covered winning betting interest or combinations it shall be calculated the same as a single price pool.

(v) Minimum payoffs and the method used for calculating breakage shall be established by the Commission.

(vi) The individual pools outlined in these rules may be given alternative names by each Permittee, provided prior approval is obtained from the Commission.

(b) Win Pools

(i) The amount wagered on the betting interest which finishes first is deducted from the net pool, the balance remaining betting the profit; the profit is divided by the amount wagered on the betting interest finishing first, such quotient being the profit per dollar wagered to Win on that betting interest.

(ii) The net Win pool shall be distributed as a single price pool to winning wagers in the following precedence, based upon the official order of finish:

(A) To those whose selection finished first; but if there are no such wagers, then

(B) To those whose selection finished second; but if there are no such wagers, then

(C) To those whose selection finished third; but if there are no such wagers, then

(D) The entire pool shall be refunded on Win wagers for that contest.

(iii) If there is a dead heat for first involving:

(A) Contestants representing the same betting interest, the Win pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interests, the Win pool shall be distributed as a profit split.

WIN POOL

Sum of Wagers on All Betting Interests =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool: Sum of Wagers on All Betting Interest – Refunds =	\$192,913.00
Percent Takeout =	18%
Net Pool: Gross Pool x (1 – Percent Takeout)	\$158,188.66
Gross Amount Bet on Winner =	\$23,872.00
Profit:	
Net Pool – Gross Amount Bet on Winner =	\$134,316.66
Profit Per Dollar: Profit/Gross Amount Bet on Winner =	\$5.6265357
\$1 Unbroken Price: Profit Per Dollar + \$1 =	\$6.6265357

(c) Place Pools

(i) The amounts wagered to Place on the first two betting interests to finish are deducted from the net pool, the balance remaining being the profit; the profit is divided into two equal portions, one being assigned to each winning betting interest and divided by the amount wagered to Place on that betting interest, the resulting quotient is the profit per dollar wagered to Place on that betting interest.

(ii) The net Place pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) If contestants of a coupled entry or mutuel field finished in the first two places, as a single price pool to those who selected the coupled entry or mutuel field; otherwise

(B) As a profit split to those whose selection is included within the first two finishers; but if there are not such wagers on one of those two finishers; then

(C) As a single price pool to those who selected the one covered betting interest included within the first two finishers; but if there are no such wagers, then

(D) As a single price pool to those who selected the third-place finisher, but if there are no such wagers, then

(E) The entire pool shall be refunded on Place wagers for that contest.

(iii) If there is a dead heat for first involving:

(A) Contestants representing the same betting interest, the Place pool shall be distributed as a single price pool.

(B) Contestants representing two or more betting interests, the Place pool shall be distributed as a profit split.

(iv) If there is a dead heat for second involving:

(A) Contestants representing the same betting interest, the Place pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interest, the Place pool is divided with one-half (1/2) of the profit distributed to Place wagers on the betting interest finish first and the remainder is distributed equally

amongst Place wagers on those betting interest involved in the dead heat for second.

PLACE POOL

Sum of Wagers on All Betting Interest =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool: Sum of Wagers on All Betting Interest - Refunds =	\$192,913.00
Percent Takeout =	18%
Net Pool: Gross Pool x (1 - Percent Takeout)	\$158,188.66
Gross Amount Bet on 1st place finisher =	\$23,872.00
Gross Amount Bet on 2nd place finisher =	\$12,500.00
Profit: Net Pool - Gross Amount Bet on 1st Place Finisher	
Net Pool - Gross Amount Bet on 2nd Place Finisher =	\$121,816.66
Place Profit: Profit / 2 =	\$60,908.33
Profit Per Dollar for 1st place: Place Profit/Gross Amount Bet on 1st place finisher =	\$2.5514548
\$1 Unbroken Price for 1st place: Profit Per Dollar for 1st place + \$1 =	\$3.5514548
Profit per Dollar for 2nd place: Place Profit/Gross Amount Bet on 2nd place finisher =	\$4.8726665
\$1 Unbroken Price for 2nd place: Profit Per Dollar for 2nd place + \$1 =	\$5.8726664

(d) Show Pools

(i) The amounts wagered to Show on the first three betting interests to finish are deducted from the net pool, the balance remaining being the profit; the profit is divided into three equal portions, one being assigned to each winning betting interest and divided by the amount wagered to Show on

that betting interest, the resulting quotient being the profit per dollar wagered to Show on that betting interest.

(ii) The net Show pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) If contestants of a coupled entry or mutuel field finished in the first three places, as a single price pool to those who selected the coupled entry or mutuel field; otherwise

(B) If contestants of a coupled entry or mutuel field finished as two of the first three finishers, the profit is divided with two-thirds (2/3) distributed to those who selected the couple entry or mutuel field and one-third (1/3) distributed to those who selected the other betting interest included within the first three finishers; otherwise

(C) As a profit split to those whose selection is included within the first three finishers; but if there are no such wagers on one of those three finishers, then

(D) As a profit split to those who selected one of the two covered betting interest included within the first three finishers; but if there are no such wagers on two of those three finishers, then

(E) As a single price pool to those who selected the one covered betting interest included within the first three finishers; but if there are no such wagers, then

(F) As a single price pool to those who selected the fourth-place finisher; but if there are no such wagers, then

(G) The entire pool shall be refunded on Show wagers for that contest.

(iii) If there is a dead heat for first involving:

(A) Two contestants representing the same betting interest, the profit is divided with two-thirds (2/3) distributed to those who selected the first-place finishers and one-third (1/3) distributed to those who selected the betting interest finishing third.

(B) Three contestants representing a single betting interest, the Show pool shall be distributed as a single price pool.

(C) Contestants representing two or more betting interest, the Show pool shall be distributed as a profit split.

(iv) If there is a dead heat for second involving:

(A) Contestants representing the same betting interest, the profit is divided with one-third (1/3) distributed to those who selected the betting interest finishing first and two-thirds (2/3) distributed to those who selected the second-place finishers.

(B) Contestants representing two betting interest, the Show pool shall be distributed as a profit split.

(C) Contestants representing three betting interest, the Show pool is divided with one-third (1/3) of the profit distributed to Show wagers on the betting interest finishing first and the remainder is distributed equally amongst Show wagers on those betting interests involved in the dead heat for second.

(v) If there is a dead heat for third involving:

(A) Contestants representing the same betting interest, the Show pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interest, the Show pool is divided with two-thirds (2/3) of the profit distributed to Show wagers on the betting interests finishing first and second and the remainder is distributed equally amongst Show wagers on those betting interests involved in the dead heat for third.

SHOW POOL

Sum of Wagers on All Betting Interests =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool: Sum of Wagers on All Betting Interest - Refunds =	\$192,913.00
Percent Takeout =	18%
Net Pool: Gross Pool x (1 - Percent Takeout)	\$158,188.66
Gross Amount Bet on 1st place finisher =	\$23,872.00
Gross Amount Bet on 2nd place finisher =	\$12,500.00
Gross Amount Bet on 3rd place finisher =	\$4,408.00

Profit:	
Net Pool - Gross Amount Bet on 1st Place Finisher	
Net Pool - Gross Amount Bet on 2nd Place Finisher	
Net Pool - Gross Amount Bet on 3rd Place Finisher =	\$117,408.66
Show Profit: Profit / 3 =	\$39,136.22
Profit Per Dollar for 1st place:	
Show Profit/Gross Amount Bet on 1st place finisher =	\$1.6394194
\$1 Unbroken Price for 1st place:	
Profit Per Dollar for 1st place + \$1 =	\$2.6394194
Profit per Dollar for 2nd place:	
Show Profit/Gross Amount Bet on 2nd place finisher =	\$3.1308876
\$1 Unbroken Price for 2nd place:	
Profit Per Dollar for 2nd place + \$1 =	\$4.1308976
Profit Per Dollar for 3rd place:	
Show Profit/Gross Amount Bet on 3rd Place finisher =	\$8.8784528
\$1 Unbroken Price for 3rd place:	
Profit Per Dollar for 3rd place + \$1 =	\$9.8784528

SHOW POOL

Single Takeout Rate & Single Betting Source

Sum of Wagers on All Betting Interests =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool:	
Sum of Wagers on All Betting Interest - Refunds =	\$192,913.00
Percent Takeout =	18%
Total Net Pool: Gross Pool x (1 - Percent Takeout) =	\$158,188.66
Gross Amount Bet on 1st place finisher =	\$23,872.00
Net Amount Bet on 1st place finisher =	\$19,575.04
Gross Amount Bet on 2nd place finisher =	\$12,500.00
Net Amount Bet on 2nd place finisher =	\$10,250.00
Gross Amount Bet on 3rd place finisher =	\$4,408.00
Net Amount Bet on 3rd place finisher =	\$3,614.56

Total Net Bet on Winners:	
Net Amount Bet on 1st place finisher +	
Net Amount Bet on 2nd place finisher +	
Net Amount Bet on 3rd place finisher =	\$33,439.60
Total Profit:	
Total Net Pool - Total Net Bet on Winner =	\$124,749.06
Show Profit: Total Profit /3 =	\$41,583.02
Profit Per Dollar for 1st place:	
Show Profit/Net Amount Bet on 1st place finisher =	\$2.1242879
\$1 Unbroken Base Price for 1st place:	
Profit Per Dollar for 1st place + \$1 =	\$3.1242879
\$1 Unbroken Price for 1st place:	
\$1 Unbroken base Price for 1st place x (1 - percent takeout)	\$2.5619161
Profit Per Dollar for 2nd place:	
Show Profit/Net Amount Bet on 2nd place finisher =	\$4.0568800
\$1 Unbroken Base Price 2nd place:	
Profit Per Dollar for 2nd place + \$1 =	\$5.0568800
\$1 Unbroken Price for 2nd place:	
\$1 Unbroken Base Price for 2nd place x (1 - percent takeout)	\$4.1466416
=	
Profit Per Dollar for 3rd place:	
Show Profit/Net Amount bet on 3rd place finisher =	\$11.504310
\$1 Unbroken Base Price for 3rd place:	
Profit Per Dollar for 3rd place + \$1 =	\$12.504310
Unbroken Price for 3rd place:	
\$1 Unbroken Base Price for 3rd place x (1 - percent takeout) =	\$10.253534

(e) Double Pools

(i) The Double requires section of the first-place finisher in each of two specified contests.

(ii) The net Double pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) As a single price pool to those whose selection finished first in each of the two contest; but if there are no such wagers, then

(B) As a profit split to those who selected the first-place finisher in either of the two contests; but if there are no such wagers, then

(C) As a single price pool to those who selected the one covered first-place finisher in either contest; but if there are no such wagers, then

(D) As a single price pool to those whose selection finished second in each of the two contests but if there are no such wagers, then

(E) The entire pool shall be refunded on Double wagers for those contests.

(iii) If there is a dead heat for first in either of the two contests involving:

(A) Contestants representing the same betting interest, the Double pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interest, the Double pool shall be distributed as a profit split if there is more than one covered winning combination.

(iv) Should a betting interest in the first-half of the Double be scratched prior to the first Double contest being declared official, all money wagered on combinations including the scratched betting interest shall be deducted from the Double pool and refunded.

(v) Should a betting interest in the second-half of the Double be scratched prior to the close of wagering on the first Double contest, all money wagered on combinations including the scratched betting interest shall be deducted from the Double pool and refunded.

(vi) Should a betting interest in the second-half of the Double be scratched after the close of wagering on the first Double contest, all wagers combining the winner of the first contest with the scratched betting interest in the second contest shall be allocated a consolation payoff. In calculating the consolation payoff the net Double pool shall be divided by the total amount wagered on the winner of the first contest and an unbroken consolation price obtained. The broken consolation price is multiplied by the dollar value of wagers on the winner of the first contest combined with the scratched betting interest to obtain the consolation payoff. Breakage is not utilized in this calculation. The consolation payoff is deducted from the net Double pool before calculation and distribution of the winning Double payoff. Dead heats including separate betting interests in the first contest shall result in a consolation payoff calculated as a profit split.

(vii) If either of the Double contests are cancelled or declared "no contest" after the conclusion of the first Double contest, the net Double pool shall be distributed as a single price pool to wagers selecting the winner of the first

Double contest. In the event of a dead heat involving separate betting interests, the net Double pool shall be distributed as a profit split.

DOUBLE POOL

Sum of Wagers on All Betting Interests =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool: Sum of wagers on All Betting Interests - Refunds =	\$192,913.00
Percent Takeout =	18%
Net Pool: Gross Pool x (1 - Percent Takeout) =	\$158,188.66
Gross Amount Bet on Winning Combination =	\$23,872.00
Profit: Net Pool - Gross Amount Bet on Winning Combination =	\$134,316.66
Profit Per Dollar: Profit/Gross Amount Bet on Winning Combination =	\$5.6265357
\$1 Unbroken Price: Profit Per Dollar + \$1 =	6.6265357

CONSOLATION DOUBLE POOL

Sum of Wagers on All Betting Interests =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool: Sum of Wagers on All Betting Interest - Refunds =	\$192,913.00
Percent Takeout =	18%
Net Pool: Gross Pool x (1 - Percent Takeout) =	\$158,188.66
Consolation Pool: Sum Total Amount Bet on Winner of the first contest with all second contest betting interest =	\$43,321.00
\$1 Consolation Unbroken Consolation Price: Net Pool/ Consolation Pool =	\$3.6515468
\$1 Consolation Broken Price =	\$3.65

Amount Bet on winner of the first contest
with scratched betting interests: \$1,234.00

Consolation Liability:
\$1 Consolation Broken Price x (Amount bet on the winner of
the
first contest with scratched betting interests) = \$4,504.10

Adjusted Net Pool:
Net Pool - Consolation Liability = \$153,684.56
Gross Amount Bet on the Winning Combination = \$23,872.00
Profit:
Adjusted Net Pool - Gross Amount
Bet on the Winning Combination = \$129,812.56

Profit Per Dollar: Profit/Gross Amount Bet on the
Winning Combination = \$5.4378586

(f) Pick Three Pools

(i) The Pick Three requires selection of the first-place finisher in each of three specified contests.

(ii) The net Pick Three pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) As a single price pool to those whose selection finished first in each of the three contests; but if there are no such wagers, then

(B) As a single price pool to those who selected the first-place finisher in any two of the three contests; but if there are no such wagers, then

(C) As a single price pool to those who selected the first-place finisher in any one of the three contests; but if there are no such wagers, then

(D) The entire pool shall be refunded on Pick Three wagers for those contests.

(iii) If there is a dead heat for first in any of the three contests involving:

(A) Contestants representing the same betting interest, the Pick Three pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interests, the Pick Three pool shall be distributed as a single price pool with each winning wager receiving an equal share of the profit.

(iv) Should a betting interest in any of the three Pick Three contests be scratched, the actual favorite, as evidenced by total amounts wagered in the Win pool at the close of wagering on that contest, shall be substituted for the scratched betting interest for all purposes, including pool calculations. In the event that the Win pool total for two or more favorites is identical, the substitute selection shall be the betting interest with the lowest program number. The totalisator shall produce reports showing each of the wagering combinations with substituted betting interests which became winners as a result of the substitution, in addition to the normal winning combination.

(v) If all three Pick Three contests are cancelled or declared "no contest", the entire pool shall be refunded on Pick Three wagers for those contests.

(vi) If one or two of the Pick Three contests are cancelled or declared "no contest", the Pick Three pool will remain valid and shall be distributed in accordance with subsection 2 of this rule.

(g) Pick (n) Pools

(i) The Pick (n) requires selection of the first-place finisher in each of a designated number of contests. The Permittee must obtain written approval from the Commission concerning the scheduling of Pick (n) contest, the designation of one of the methods prescribed in Part (ii), and the amount of any cap to be set on the carryover. Any changes to the approved Pick (n) format require prior approval from the Commission.

(ii) The Pick (n) pool, shall be apportioned under one of the following methods:

(A) Method 1, Pick (n) with Carryover, No Minor Pool:
The net Pick (n) pool and carryover, if any, shall be distributed as a single price pool to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. If there are no such wagers, then a designated percentage of the net pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests; and the remainder shall be added to the carryover.

(B) Method 2, Pick (n) with Minor Pool and Carryover:
The major share of the net Pick (n) pool and the carryover, if any, shall be distributed to those who selected the first-place finisher in each of the Pick (n)

contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first-place finisher of Pick (n) contests, the minor share of the net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests; and the major share shall be added to the carryover.

(C) Method 3, Pick (n) with No Minor Pool and No Carryover: The net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests, based upon the official order of finish. If there are no winning wagers, the pool is refunded.

(D) Method 4, Pick (n) with Minor Pool and No Carryover: The major share of the net Pick (n) pool shall be distributed to those who selected the first place finisher in the greatest number of Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If the greatest number of the first-place finishers selected is one (1), the major and minor shares are combined for distribution as a single price pool. If there are no winning wagers, the pool is refunded.

(E) Method 5, Pick (n) with Minor Pool and No Carryover: The major share of net Pick (n) pool shall be distributed to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contest, based upon the official order of finish. If there are no wagers selecting the first-place finisher in all Pick (n) contests, the entire net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests. If there are no winning wagers, the pool is refunded.

(iii) If there is a dead heat for first in any of the Pick (n) contests involving:

(A) Contestants representing the same betting interest, the Pick (n) pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interests, the Pick (n) pool shall be distributed as a single price pool with each winning wager receiving an equal share of the profit.

(iv) Should a betting interest in any of the Pick (n) contests be scratched, the actual favorite, as evidenced by total amounts wagered in the Win

pool at the close of wagering on that contest, shall be substituted for the scratched betting interest for all purposes, including pool calculations. In the event that the Win pool total for two or more favorites is identical, the substitute selection shall be the betting interest with the lowest program number. The totalisator shall produce reports showing each of the wagering combinations with substituted betting interests which became winners as a result of the substitution, in addition to the normal winning combination.

(v) The Pick (n) pool shall be cancelled and all Pick (n) wagers for the individual performance shall be refunded if:

(A) At least two contests included as part of a Pick 3 are cancelled or declared "no contest".

(B) At least three contests included as part of a Pick 4, Pick 5 or Pick 6 are cancelled or declared "no contest".

(C) At least four contests included as part of a Pick 7, Pick 8 or Pick 9 are cancelled or declared "no contest".

(D) At least five contests included as part of a Pick 10 are cancelled or declared "no contest".

(E) At least five contests included as part of a pick 10 are cancelled or declared "no contest".

(vi) If at least one contest included as part of a Pick (n) is cancelled or declared "no contest", but not more than the number specified in subsection (E) of this rule, the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances.

(vii) The Pick (n) carryover may be capped at a designated level approved by the Commission so that if, at the close of a performance, the amount in the Pick (n) carryover equals or exceeds the designated cap, the Pick (n) carryover will be frozen until it is won or distributed under other provisions of this rule. After the Pick (n) carryover is frozen, 100 percent of the net pool, part of which ordinarily would be added to the Pick (n) carryover, shall be distributed to those whose selection finished first in the greatest number of Pick (n) contests for that performance.

(viii) A written request for permission to distribute the Pick (n) carryover on a specific performance may be submitted to the Commission. The

request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution.

(ix) Should the Pick (n) carryover be designated for distribution on a specified date and performance in which there are no wagers selecting the first-place finisher in each of the Pick (n) contests, the entire pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests. The Pick (n) carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

(A) Upon written approval from the Commission as provided in subsection viii of this rule.

(B) On the closing performance of the meet or split meet.

(x) If, for any reason, the Pick (n) carryover must be held over to the corresponding Pick (n) pool of subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Pick (n) carryover plus accrued interest shall then be added to the net Pick (n) pool of the following meet on a date and performance so designated by the Commission.

(xi) With the written approval of the Commission, the Permittee may contribute to the Pick (n) carryover a sum of money up to the amount of any designated cap.

(xii) Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of live tickets remaining is strictly prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.

(xiii) The Permittee may suspend, for a specific performance, previously-approved Pick (n) wagering with the prior approval of the Commission. Any carryover shall be held until the suspended Pick (n) wagering is reinstated. A Permittee may request approval of a Pick (n) wager or separate wagering pool for specific performances or events.

PICK & POOL

Multiple Takeout Rates & Multiple Betting Sources

(Net Price Calculation)

Percent Takeout	Gross Pool	Gross Amt. Bet on Win	Net Pool	Net Amt. Bet on Win
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Source 1:				
16%	\$190,000.00	\$44.00	\$159,600.00	\$36.96

Source 2:				
18.5%	\$10,000.00	\$18.00	\$8,150.00	\$14.67

Source 3:				
21%	\$525,730.00	\$124.00	\$415,326.70	\$97.96

TOTALS:				
	\$725,730.00	\$186.00	\$583,076.70	\$149.59

Total Profit:

Total Net Pool - Total Net Bet on the Winning Combination = \$582,927.11

Profit Per Dollar:

Total Profit/Total Net Bet on the Winning Combination = \$3,896.8321

\$1 Unbroken Base Price: Profit Per Dollar + \$1 = \$3,897.8321

\$1 Unbroken Price for Source 1: \$1 Unbroken Base Price x (1 - Percent Takeout) = \$3,274.1789

\$1 Unbroken Price for Source 2: \$1 Unbroken Base Price x (1 - Percent Takeout) = \$3,176.7331

\$1 Unbroken Price for Source 3: \$1 Unbroken Base Price x (1 - Percent Takeout) = \$3,079.2873

(h) Quinella Pools

(i) The Quinella requires selection of the first two finishers, irrespective of order, for a single contest.

(ii) The net Quinella pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) If contestants of a coupled entry or mutuel field finish as the first two finishers, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise

(B) As a single price pool to those whose combination finished as the first two betting interests; but if there are no such wagers, then

(C) As a profit split to those whose combination included either the first- or second-place finisher; but if there are no such wagers on one of those two finishers, then

(D) As a single price pool to those whose combination included the one covered betting interest included within the first two finishers; but if there are no such wagers, then

(E) The entire pool shall be refunded on Quinella wagers for that contest.

(iii) If there is a dead heat for first involving:

(A) Contestants representing the same betting interest, the Quinella pool shall be distributed to those selecting the couple entry or mutuel field combined with the next separate betting interest in the official order of finish.

(B) Contestants representing two betting interests, the Quinella pool shall be distributed as if no dead heat occurred.

(C) Contestants representing three or more betting interest, the Quinella pool shall be distributed as a profit split.

(iv) If there is a dead heat for second involving contestants representing the same betting interest, the Quinella pool shall be distributed as if no dead heat occurred.

(v) If there is a dead heat for second involving contestants representing two or more betting interests, the Quinella pool shall be distributed to wagers in the following precedence, based upon the official order of finish:

(A) As a profit split to those combining the winner with any of the betting interest involved in the dead heat for second; but if there is only one covered combination, then

(B) As a single price pool to those combining the winner with the one covered betting interest involved in the dead heat for second; but if there are no such wagers, then

(C) As a profit split to those combining the betting interests involved in the dead heat for second; but if there are no such wagers, then

(D) As a profit split to those whose combination included the winner and any other betting interest and wagers selecting any of the betting

interest involved in the dead heat for second; but if there are no such wagers, then

(E) The entire pool shall be refunded on Quinella wagers for that contest.

(i) Quinella Double Pools

(i) The Quinella Double requires selection of the first two finishers, irrespective of order, in each of two specified contests.

(ii) The net Quinella Double pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) If a coupled entry or mutuel field finishes as the first two contestants in either contest, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish for that contest, as well as the first two finishers in the alternate Quinella Double contest; otherwise

(B) As a single pool to those who selected the first two finishers in each of the two Quinella Double contests; but if there are no such wagers, then

(C) As a profit split to those who selected the first two finishers in either of the two Quinella Double contests; but if there are no such wagers on one of those contests, then

(D) As a single price pool to those who selected the first two finishers in the one covered Quinella Double contest; but if there were no such wagers, then

(E) The entire pool shall be refunded on Quinella Double wagers for those contests.

(iii) If there is a dead heat for first in either of the two Quinella Double contests involving:

(A) Contestants representing the same betting interest, the Quinella Double pool shall be distributed to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish for that contest.

(B) Contestants representing two betting interests, the Quinella Double pool shall be distributed as if no dead heat occurred.

(C) Contestants representing three or more betting interests, the Quinella Double pool shall be distributed as a profit split.

(iv) If there is a dead heat for second either of the Quinella Double contest involving contestants representing the same betting interests, the Quinella Double pool shall be distributed as profit split.

(v) Should a betting interest in the first-half of the Quinella Double be scratched prior to the first Quinella Double contest being declared official, all money wagered on combinations including the scratched betting interest shall be deducted from the Quinella Double pool and refunded.

(vi) Should a betting interest in the second-half of the Quinella Double be scratched prior to the close of wagering on the first Quinella Double contest, all money wagered on combinations including the scratched betting interest shall be deducted from the Quinella Double pool and refunded.

(vii) Should a betting interest in the second-half of the Quinella Double be scratched after the close of wagering on the first Quinella Double contest, all wagers combining the winning combination in the first contest with a combination including the scratched betting interest in the second contest shall be allocated a consolation payoff. In calculating the consolation payoff the net Quinella Double pool shall be divided by the total amount wagered on the winning combination in the first contest and an unbroken consolation price obtained. The unbroken consolation price is multiplied by the dollar value of wagers on the winning combination in the first contest combined with a combination including the scratched betting interest in the second contest to obtain the consolation payoff. Breakage is not utilized in this calculation. The consolation payoff is deducted from the net Quinella Double pool before calculation and distribution of the winning Quinella Double payoff. In the event of a dead heat involving separate betting interests, the net Quinella Double pool shall be distributed as a profit split.

(viii) If either of the Quinella Double contests is cancelled prior to the first Quinella Double contest, or the first Quinella Double contest is declared "no contest", the entire Quinella Double pool shall be refunded on quinella Double wagers for those contests.

(ix) If the second Quinella Double contest is cancelled or declared "no contest" after the conclusion of the first Quinella Double contest, the net Quinella Double pool shall be distributed as a single price pool to wagers selecting the winning combination in the first Quinella Double contest. If there are no wagers selecting the winning combination in the first Quinella Double contest, the entire Quinella Double pool shall be refunded on Quinella Double wagers for those contests.

(j) Exacta Pools

(i) The Exacta requires selection of the first two finishers, in their exact order, for a single contest.

(ii) The net Exacta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) If contestants of a coupled entry or mutuel field finish as the first two finishers, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise

(B) As a single price pool to those whose combination finished in correct sequence as the first two betting interest; but if there are no such wagers, then

(C) As a profit split to those whose combination included either the first-place betting interest to finish first or the second-place betting interest to finish second; but if there are no such wagers on one of those two finishers, then

(D) As a single price pool to those whose combination included the one covered betting interest to finish first or second in the correct sequence; but if there are no such wagers, then

(E) The entire pool shall be refunded on Exacta wagers for that contest.

(iii) If there is a dead heat for first involving:

(A) Contestants representing the same betting interest, the Exacta pool shall be distributed as single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish.

(B) Contestants representing two or more betting interests, the Exacta pool shall be distributed as a profit split.

(iv) If there is a dead heat for second involving contestants representing the same betting interest, the Exacta pool shall be distributed as if no dead heat occurred.

(v) If there is a dead heat for second involving contestants representing two or more betting interests, the Exacta pool shall be distributed to ticket holders in the following precedence, based upon the official order of finish:

(A) As a profit split to those combining the first-place betting interest with any of the betting interest involved in the dead heat for second; but if there is only one covered combination, then

(B) As a single price pool to those combining the first-place betting interest with the one covered betting interest involved in the dead heat for second; but if there are no such wagers, then

(C) As a profit split to those wagers correctly selecting the winner for first-place and those wagers selecting any of the dead-heated betting interests for second-place; but if there are no such wagers, then

(D) The entire pool shall be refunded on Exacta wagers for that contest.

(k) Trifecta Pools

(i) The Trifecta requires selection of the first three finishers, in their exact order, for a single contest.

(ii) The net Trifecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(D) The entire pool shall be refunded on Trifecta wagers for that contest.

(iii) If less than three betting interests finish and the contest is declared official, payoffs will be made based upon the order of finish of those betting interests completing the contest. The balance of any selection beyond the number of betting interests completing the contest shall be ignored.

(iv) If there is a dead heat for first involving:

(A) Contestants representing three or more betting interests, all of the wagering combinations selecting three betting interests which correspond with any of the betting interests involved in the dead heat shall share in a profit split.

(B) Contestants representing two betting interests, both of the wagering combinations selecting the two dead-heated betting interests, irrespective of order, along with the third-place betting interest shall share in a profit split.

(v) If there is a dead heat for second, all of the combinations correctly selecting the winner combined with any of the betting interests involved in the dead heat for second shall share in a profit split.

(vi) If there is a dead heat for third, all wagering combinations correctly selecting the first two finishers, in correct sequence, along with any of the betting interests involved in the dead heat for third shall share in a profit split.

(l) Superfecta Pools

(i) The Superfecta requires selection of the first four finishers, in their exact order, for a single contest.

(ii) The net Superfecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(D) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(E) The entire pool shall be refunded on Superfecta wagers for that contest.

(iii) If less than four betting interests finish and the contest is declared official, payoffs will be made based upon the order of finish of those betting interests completing the contest. The balance of any selection beyond the number of betting interests completing the contest shall be ignored.

(iv) If there is a dead heat for first involving:

(A) Contestants representing four or more betting interests, all of the wagering combinations selecting four betting interests which correspond with any of the betting interests involved in the dead heat shall share in a profit split.

(B) Contestants representing three betting interests, all of the wagering combinations selecting the three dead-heated betting interests, irrespective of order, along with the fourth-place betting interest shall share in a profit split.

(C) Contestants representing two betting interests, both of the wagering combinations selecting the two dead-heated betting interests, irrespective of order, along with the third-place and fourth-place betting interests shall share in a profit split.

(v) If there is a dead heat for second involving:

(A) Contestants representing three or more betting interests, all of the wagering combinations correctly selecting the winner combined with any of the three betting interests involved in the dead heat for second shall share in a profit split.

(B) Contestants representing two betting interests, all of the wagering combinations correctly selecting the winner, the two dead-heated betting interests, irrespective of order, and the fourth-place betting interest shall share in a profit split.

(vi) If there is a dead heat for third, all wagering combinations correctly selecting the first two finishers, in correct sequence, along with any two of the betting interests involved in the dead heat for third shall share in a profit split.

(vii) If there is a dead heat for fourth, all wagering combinations correctly selecting the first three finishers, in correct sequence, along with any of the betting interests involved in the dead heat for fourth shall share in a profit split.

(viii) Coupled entries and mutuel fields shall be prohibited in Superfecta contests.

(m) Twin Quinella Pools

(i) The Twin Quinella requires selection of the first two finishers, irrespective of order, in each of two designated contests. Each winning ticket for the first Twin Quinella contest must be exchanged for a free ticket on the second Twin Quinella contest in order to remain eligible for the second-half Twin Quinella pool. Such tickets may be exchanged only at attended ticket windows prior to the second Twin Quinella contest. There will be no monetary reward for winning the first Twin Quinella contest. Both of the designated Twin Quinella contests shall be included in only one Twin Quinella pool.

(ii) In the first Twin Quinella contest only, winning wagers shall be determined using the following precedence, based upon the official order of finish for the first Twin Quinella contest:

(A) If a coupled entry or mutuel field finishes as the first two finishers, those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish shall be winners; otherwise,

(B) Those whose combination finished as the first two betting interests shall be winners; but if there are no such wagers, then

(C) Those whose combination included either the first- or second-place finisher shall be winners; but if there are no such wager on one of those two finishers, then

(D) The entire pool shall be refunded on Twin Quinella wagers for that contest.

(iii) In the first Twin Quinella contest only, if there is a dead heat for first involving:

(A) Contestants representing the same betting interest, those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish shall be winners.

(B) Contestants representing two betting interests, the winning Twin Quinella wagers shall be determined as if no dead heat occurred.

(C) Contestants representing three or more betting interests, those whose combination included any two of the betting interests finishing in the dead heat shall be winners.

(iv) In the first Twin Quinella contest only, if there is a dead heat for second involving:

(A) Contestants representing the same betting interest, those who selected the first-place finisher combined with the coupled entry or mutuel field in second-place shall be winners.

(B) Contestants representing two or more betting interests, those who combined the first-place finisher with any of the betting interest involved in the dead for second shall be winners.

(v) In the second Twin Quinella contest only, the entire net Twin Quinella pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Quinella contest:

(A) If a coupled entry or mutuel field finishes as the first two finishers, as a single price pool to those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise

(B) As a single price pool to those whose combination finished as the first two betting interests; but if there are no such wagers, then

(C) As a profit split to those whose combination included either the first- or second-place finisher; but if there are no such wagers on one of those two finishers, then

(D) As a single price pool to those whose combination included the one covered betting interest included within the first two finishers; but if there are no such wagers, then

(E) In accordance with subsection (ii) of the Twin Quinella rules.

(vi) In the second Twin Quinella contest only, if there is a dead heat for first involving:

(A) Contestants representing the same betting interest, the net Twin Quinella pool shall be distributed to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish.

(B) Contestants representing two betting interests, the net Twin Quinella pool shall be distributed as if no dead heat occurred.

(C) Contestants representing three or more betting interests, the net Twin Quinella pool shall be distributed as profit split to those whose combination included any two of the betting interests finishing in the dead heat.

(vii) In the second Twin Quinella contest only, if there is a dead heat for second involving:

(A) Contestants representing the same betting interest, the net Twin Quinella pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interests, the net Twin Quinella pool shall be distributed as a profit split to those who combined the first-place finisher with any of the betting interests involved in the dead heat for second.

(viii) If a winning ticket for the first-half of the Twin Quinella is not presented for exchange prior to the close of betting on the second-half Twin Quinella contest, the ticket holder forfeits all rights to any distribution of the Twin Quinella pool resulting from the outcome of the second contest.

(ix) Should a betting interest in the first-half of the Twin Quinella be scratched, those Twin Quinella wagers including the scratched betting interest shall be refunded.

(x) Should a betting interest in the second-half of the Twin Quinella be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Twin Quinella contest, the ticket holder forfeits all rights to the Twin Quinella pool.

(xi) If either of the Twin Quinella contests is cancelled prior to the first Twin Quinella contest, or the first Twin Quinella contest is declared "no contest", the entire Twin Quinella pool shall be refunded on Twin Quinella wagers for that contest.

(xii) If the second-half Twin Quinella contest is cancelled or declared "no contest" after the conclusion of the first Twin Quinella contest, the net Twin Quinella pool shall be distributed as a single price pool to wagers selecting the winning combination in the first Twin Quinella contest and all valid exchange tickets. If there are no such wagers, the net Twin Quinella pool shall be distributed as described in subsection (ii) of the Twin Quinella rules.

(n) Twin Trifecta Pools

(i) The Twin Trifecta requires selection of the first three finishers, in their exact order, in each of two designated contests. Each winning ticket for the first Twin Trifecta contest must be exchanged for a free ticket on the second Twin Trifecta contest in order to remain eligible for the second-half Twin Trifecta pool. Such tickets may be exchanged only at attended ticket windows prior to the second Twin Trifecta contest. Winning first-half Twin Trifecta wagers will receive both an exchange and a monetary payoff. Both of the designated Twin Trifecta contests shall be included in only one Twin Trifecta pool.

(ii) After wagering closes for the first-half of the Twin Trifecta and commissions have been deducted from the pool, the net pool shall then be divided into separate pools: the first-half Twin Trifecta pool and the second-half Twin Trifecta pool.

(iii) In the first Twin Trifecta contest only, winning wagers shall be determined using the following precedence, based upon the official order of finish for the first Twin Trifecta contest:

(A) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(D) The entire Twin Trifecta pool shall be refunded on Twin Trifecta wagers for that contests and the second-half shall be cancelled.

(iv) If no first-half Twin Trifecta ticket selects the first three finishers of that contest in exact order, winning ticket holders shall not receive any exchange tickets for the second-half Twin Trifecta pool. In such case, the second-half Twin Trifecta pool shall be retained and added to any existing Twin Trifecta carryover pool.

(v) Winning tickets from the first-half of the Twin Trifecta shall be exchanged for tickets selecting the first three finishers of the second-half of the Twin Trifecta. The second-half Twin Trifecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Trifecta contest:

(A) As a single price pool, including any existing carryover monies, to those whose combination finished in correct sequence as the first three betting interests; but if there are no such tickets, then

(B) The entire second-half Twin Trifecta pool for that contest shall be added to any existing carryover monies and retained for the corresponding second-half Twin Trifecta pool of the next consecutive performance.

(vi) If a winning first-half Twin Trifecta ticket is not presented for cashing and exchange prior to the second-half Twin Trifecta contest, the ticket holder may still collect the monetary value associated with the first-half Twin Trifecta pool but forfeits all rights to any distribution of the second-half Twin Trifecta pool.

(vii) Should a betting interest in the first-half of the Twin Trifecta be scratched, those Twin Trifecta wagers including the scratched betting interest shall be refunded.

(viii) Should a betting interest in the second-half of the Twin Trifecta be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Twin Trifecta contest, the ticket holder forfeits all rights to the second-half Twin Trifecta pool.

(ix) If, due to a late scratch, the number of betting interests in the second-half of the Twin Trifecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets shall be entitled to the second-half Twin Trifecta pool for the contest as a single price pool, but not the Twin Trifecta carryover.

(xi) If there is a dead heat or multiple dead heats in either the first- or second-half of the Twin Trifecta, all Twin Trifecta wagers selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, shall be a winner. In the case of a dead heat occurring in:

(A) The first-half of the Twin Trifecta, the payoff shall be calculated as a profit split.

(B) The second-half of the Twin Trifecta, the payoff shall be calculated as a single price pool.

(xii) If either of the Twin Trifecta contests are cancelled prior to the first Twin Trifecta contest is declared "no contest", the entire Twin Trifecta

pool shall be refunded on Twin Trifecta wagers for that contest and the second-half shall be cancelled.

(xiii) If the second-half Twin Trifecta contest is cancelled or declared "no contest", all exchange tickets and outstanding first-half winning Twin Trifecta tickets shall be entitled to the net Twin Trifecta pool for that contest as a single price pool, but not Twin Trifecta carryover. If there are no such tickets, the net Twin Trifecta pool shall be distributed as described in subsection (iii) of the Twin Trifecta rules.

(xiv) The Twin Trifecta carryover may be capped at a designated level approval by the Commission so that if, at the close of any performance, the amount in the Twin Trifecta carryover equals or exceeds the designated cap, the Twin Trifecta carryover will be frozen until it is won or distributed under other provisions of this rule. After the Twin Trifecta carryover is frozen, 100 percent of the net Twin Trifecta pool for each individual contest shall be distributed to winners of the first-half of the Twin Trifecta pool.

(xv) A written request for permission to distribute the Twin Trifecta carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived and the intended date and performance for the distribution.

(xvi) Should the Twin Trifecta carryover be designated for distribution on a specified date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Twin Trifecta after completion of the first-half of the Twin Trifecta:

(A) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(D) As a single price pool to holders of valid exchange tickets.

(E) As a single price pool to holders of outstanding first-half winning tickets.

(xvii) Contrary to subsection (iv) of the Twin Trifecta rules, during a performance designated to distribute the Twin Trifecta carryover, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Twin Trifecta. If there are no wagers correctly selecting the first-, second-, and third-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first-place betting interest only. If there are no wagers selecting the first-place betting interest only in the first-half of the Twin Trifecta, all first-half tickets will become winners and will receive 100 percent of that day's net Twin Trifecta pool and any existing Twin Trifecta carryover as a single price pool.

(xviii) The Twin Trifecta carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

(A) Upon written approval from the Commission as provided in subsection (xv) of the Twin Trifecta rules.

(B) Upon written approval from the Commission when there is a change in the carryover cap or when the Twin Trifecta is discontinued.

(C) On the closing performance of the meet or split meet.

(xix) If, for any reason, the Twin Trifecta carryover must be held over to the corresponding Twin Trifecta pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Twin Trifecta carryover plus accrued interest shall then be added to the second-half Twin Trifecta pool of the following meet on a date and performance so designated by the Commission.

(xx) Providing information to any person regarding covered combinations amounts wagered on specific combinations or number of tickets sold is prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.

(xxi) The Permittee must obtain written approval from the Commission concerning the scheduling of Twin Trifecta contests, the percentages of the net pool added to the first-half pool and second-half pool, and the amount of any cap to be set on the carryover. Any changes to the approved Twin Trifecta format require prior approval from the Commission.

(o) Tri-Superfecta Pools

(i) The Tri-Superfecta requires selection of the first three finishers, in their exact order, in the first of two designated contests and the first four finishers, in exact order, in the second of the two designated contests. Each winning ticket for the first Tri-Superfecta contest must be exchanged for a free ticket on the second Tri-Superfecta contest in order to remain eligible for the second-half Tri-Superfecta pool. Such tickets may be exchanged only at attended ticket windows prior to the second Tri-Superfecta contest. Winning first-half Tri-Superfecta tickets will receive both an exchange and a monetary payoff. Both of the designated Tri-Superfecta contests shall be included in only one Tri-Superfecta pool.

(ii) After wagering closes for the first-half of the Tri-Superfecta and commissions have been deducted from the pool, the net pool shall then be divided into two separate pools: the first-half Tri-Superfecta pool and the second-half Tri-Superfecta pool.

(iii) In the first Tri-Superfecta contest only, winning tickets shall be determined using the following precedence, based upon the official order of finish for the first Tri-Superfecta contest:

(A) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(D) The entire Tri-Superfecta pool shall be refunded on Tri-Superfecta wagers for that contest and the second-half shall be cancelled.

(iv) If no first-half Tri-Superfecta ticket selects the first three finishers of that contest in exact order, winning ticket holders shall not receive any exchange tickets for the second-half Tri-Superfecta pool. In such case, the second-half Tri-Superfecta pool shall be retained and added to any existing Tri-Superfecta carryover pool.

(v) Winning tickets from the first-half of the Tri-Superfecta shall be exchanged for tickets selecting the first four finishers of the second-half of the Tri-Superfecta. The second-half Tri-Superfecta pool shall be distributed to

winning wagers in the following precedence, based upon the official order of finish for the second Tri-Superfecta contest:

(A) As a single price pool, including any existing carryover monies, to those whose combination finished in correct sequence as the first four betting interests; but if there are no such tickets, then

(B) The entire second-half Tri-Superfecta pool for that contest shall be added to any existing carryover monies and retained for the corresponding second-half Tri-Superfecta pool of the next performance.

(vi) If a winning first-half Tri-Superfecta ticket is not presented for cashing and exchange prior to the second-half Tri-Superfecta contest, the ticket holder may still collect the monetary value associated with the first-half Tri-Superfecta pool but forfeits all rights to any distribution of the second-half Tri-Superfecta pool.

(vii) Coupled entries and mutuel fields shall be prohibited in Tri-Superfecta contests.

(viii) Should a betting interest in the first-half of the Tri-Superfecta be scratched, those Tri-Superfecta tickets including the scratched betting interest shall be refunded.

(ix) Should a betting interest in the second-half of the Tri-Superfecta be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Tri-Superfecta contest, the ticket holder forfeits all rights to the second-half Tri-Superfecta pool.

(x) If, due to a late scratch, the number of betting interests in the second-half of the Tri-Superfecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets shall be entitled to the second-half Tri-Superfecta pool for that contest as a single price pool, but not the Tri-Superfecta carryover.

(xi) If there is a dead heat or multiple dead heats in either the first- or second-half of the Tri-Superfecta, all Tri-Superfecta tickets selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, shall be a winner. In the case of a dead heat occurring in:

(A) The first-half of the Tri-Superfecta, the payoff shall be calculated as a profit split.

(B) The second-half of the Tri-Superfecta, the payoff shall be calculated as a single price pool.

(xii) If either of the Tri-Superfecta contests are cancelled prior to the first Tri-Superfecta contest, or the first Tri-Superfecta contest is declared "no contest", the entire Tri-Superfecta pool shall be refunded on Tri-Superfecta wagers for that contest and the second-half shall be cancelled.

(xiii) If the second-half Tri-Superfecta contest is cancelled or declared "no contest", all exchange tickets and outstanding first-half winning Tri-Superfecta tickets shall be entitled to the net Tri-Superfecta pool for that contest as a single price pool, but not the Tri-Superfecta carryover. If there are no such tickets, the net Tri-Superfecta pool shall be distributed as described in subsection (iii) of the Tri-Superfecta rules.

(xiv) The Tri-Superfecta carryover may be capped at a designated level approved by the Commission so that if, at the close of any performance, the amount in the Tri-Superfecta carryover equals or exceeds the designated cap, the Tri-Superfecta carryover will be frozen until it is won or distributed under other provisions of this rule. After the second-half Tri-Superfecta carryover is frozen, 100 percent of the net Tri-Superfecta pool for each individual contest shall be distributed to winners of the first-half of the Tri-Superfecta pool.

(xv) A written request for permission to distribute the Tri-Superfecta as a carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution.

(xvi) Should the Tri-Superfecta carryover be designated for distribution on a specified date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Tri-Superfecta after completion of the first-half of the Tri-Superfecta:

(A) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(D) As a single price pool to holders of valid exchange tickets.

(E) As a single price pool to holders of outstanding first-half winning tickets.

(xvii) Contrary to subsection (iv) of the Tri-Superfecta rules, during a performance designated to distribute Tri-Superfecta carryover, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Tri-Superfecta. If there are no wagers correctly selecting the first-, second-, and third-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first-place betting interest only. If there are no such wagers selecting the first-place betting interest only in the first-half of the Tri-Superfecta, all first-half tickets will become winners and will receive 100 percent of that day's net Tri-Superfecta pool and any existing Tri-Superfecta carryover as a single price pool.

(xviii) The Tri-Superfecta carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

(A) Upon written approval from the Commission as provided in subsection (xv) of the Tri-Superfecta rules.

(B) Upon written approval from the Commission when there is a change in the carryover cap or when the Tri-Superfecta is discontinued.

(C) On the closing performance of the meet or split meet.

(xix) If, for any reason, the Tri-Superfecta carryover must be held over to the corresponding Tri-Superfecta pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Tri-Superfecta carryover plus accrued interest shall then be added to the second-half Tri-Superfecta pool of the following meet on a date and performance so designated by the Commission.

(xx) Providing information to any person regarding covered combinations, amounts wagered on specific combinations or number of tickets sold is prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.

(xxi) The Permittee must obtain written approval from the Commission concerning the scheduling of Tri-Superfecta contests, the percentages of the net pool added to the first-half pool and second-half pool, and amount of any cap to be set on the carryover. Any changes to the approved Tri-Superfecta format require approval from the Commission.

(p) Twin Superfecta Pools

(i) The Twin Superfecta requires selection of the first four finishers, in their exact order, in each of two designated contests. Each winning ticket for the first Twin Superfecta contest must be exchanged for a free ticket on the second Twin Superfecta contest in order to remain eligible for the second-half Twin Superfecta pool. Such tickets may be exchanged only at attended tickets windows prior to the second Twin Superfecta contest. Winning first-half Twin Superfecta tickets will receive both an exchange and a monetary payoff. Both of the designated Twin Superfecta contests shall be included in only one Twin Superfecta pool.

(ii) After wagering closes for the first-half of the Twin Superfecta and commissions have been deducted from the pool, the net pool shall then be divided into two separate pools: the first-half Twin Superfecta pool and the second-half Twin Superfecta pool.

(iii) In the first Twin Superfecta contest only, winning wagers shall be determined using the following precedence, based upon the official order of finish for the first Twin Superfecta contest:

(A) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(D) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(E) The entire Twin Superfecta pool shall be refunded on Twin Superfecta wagers for that contest and the second-half shall be cancelled.

(iv) If no first-half Twin Superfecta ticket selects the first four finishers of that contest in exact order, winning ticket holders shall not receive any exchange tickets for the second-half Twin Superfecta pool. In such case, the second-half Twin Superfecta pool shall be retained and added to any existing Twin Superfecta carryover pool.

(v) Winning tickets from the first-half of the Twin Superfecta shall be exchanged for tickets selecting the first four finishers of the second-half of the Twin Superfecta. The second-half Twin Superfecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Superfecta contest:

(A) As a single price pool, including any existing carryover monies, to those whose combination finished in correct sequence as the first four betting interests; but if there are no such tickets, then

(B) The entire second-half Twin Trifecta pool for that contest shall be added to any existing carryover monies and retained for the corresponding second-half Twin Superfecta pool of the next performance.

(vi) If a winning first-half Twin Superfecta ticket is not presented for cashing and exchange prior to the second-half Twin Superfecta contest, the ticket holder may still collect the monetary value associated with the first-half Twin Superfecta pool but forfeits all rights to any distribution of the second-half Twin Trifecta pool.

(vii) Coupled entries and mutuel fields shall be prohibited in Twin Superfecta contests.

(viii) Should a betting interest in the first-half of the Twin Superfecta be scratched, those Twin Superfecta tickets including the scratched betting interest shall be refunded.

(ix) Should a betting interest in the second-half of the Twin Superfecta be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Twin Superfecta contest, the ticket holder forfeits all rights to the second-half Twin Superfecta pool.

(x) If, due to a late scratch, the number of betting interests in the second-half of the Twin Superfecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets shall be entitled to the second-half Twin Superfecta pool for that contest as a single price pool, but not the Twin Superfecta carryover.

(xi) If there is a dead heat or multiple dead heats in either the first- or second-half of the Twin Superfecta, all Twin Superfecta tickets selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, shall be a winner. In the case of a dead heat occurring:

(A) The first-half of the Twin Superfecta, the payoff shall be calculated as a profit split.

(B) The second-half of the Twin Superfecta, the payoff shall be calculated as a single price pool.

(xii) If either of the Twin Superfecta contests are cancelled prior to the first Twin Superfecta contest, or the first Twin Superfecta contest is declared "no contest", the entire Twin Superfecta pool shall be refunded on Twin Superfecta wagers for that contest and the second-half shall be cancelled.

(xiii) If the second-half Twin Superfecta contest is cancelled or declared "no contest", all exchange tickets and outstanding first-half winning Twin Superfecta tickets shall be entitled to the net Twin Superfecta pool for that contest as a single price pool, but not the Twin Superfecta carryover. If there are no such tickets, the net Twin Superfecta pool shall be distributed as described in subsection 3 of the Twin Superfecta rules.

(xiv) The Twin Superfecta carryover may be capped at a designated level approved by the Commission so that if, at the close of any performance, the amount in the Twin Superfecta carryover equals or exceeds the designated cap, the Twin Superfecta carryover will be frozen until it is won or distributed under other provisions of this rule. After the second-half Twin Superfecta carryover is frozen, 100 percent of the net Twin Superfecta pool for each individual contest shall be distributed to winners of the first-half of the Twin Superfecta pool.

(xv) A written request for permission to distribute the Twin Superfecta carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution.

(xvi) Should the Twin Superfecta carryover be designated for distribution on a specified date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Twin Superfecta after completion of the first-half of the Twin Superfecta:

(A) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(D) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(E) As a single price pool to holders of valid exchange tickets.

(F) As a single price pool to holders of outstanding first-half winning tickets.

(xvii) Contrary to subsection (iv) of the Twin Superfecta rules, during a performance designated to distribute the Twin Superfecta carryover, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Twin Superfecta. If there are no wagers correctly selecting the first-, second-, third-, and fourth-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first-, second-, third-place betting interests. If there are no wagers correctly selecting the first-, second-, third-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, the exchange tickets shall be issued for combinations correctly selecting the first-place betting interest only. If there are no wagers selecting the first-place betting interest only in the first-half of the Twin Superfecta, all first-half tickets will become winners and will receive 100 percent of that day's net Twin Superfecta pool and any existing Twin Superfecta carryover as a single price pool.

(xviii) The Twin Superfecta carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

(A) Upon written approval from the Commission as provided in subsection (xv) of the Twin Superfecta rules.

(B) Upon written approval from the Commission when there is a change in the carryover cap or when the Twin Superfecta is discontinued.

(C) On the closing performance of the meet or split meet.

(xix) If, for any reason, the Twin Superfecta carryover must be held over to the corresponding Twin Superfecta pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Twin Superfecta carryover plus accrued interest shall then be added to the second-half Twin Superfecta pool of the following meet on a date and performance so designated by the Commission.

(xx) Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of valid exchange tickets is prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.

(xxi) The Permittee must obtain written approval from the Commission concerning the scheduling of Twin Superfecta contests, the percentages of the net pool added to the first-half pool and second-half pool, and the amount of any cap to be set on the carryover. Any changes to the approved Twin Superfecta format require prior approval from the Commission.

Section 5. Wagering on Historic Horse Racing

(a) Permittees may offer historic horse racing wagers subject to the Association of Racing Commissioners International, Inc.'s (ARCI) model rules. Specifically, the ARCI model rules on "Instant Racing Pools," Version 5.4, ARCI-004-155 Proprietary Wagers, Section A(1)-(7), Instant Racing Pools.

(b) All ARCI model rule provisions cited in this section, including their Appendices, revised and published as of July 1, 2013, not including any later amendments, are incorporated by reference. Copies of the model rules are available for public inspection and copies can be obtained at cost from the Wyoming Pari-Mutuel Commission, 951 Werner Court, Suite 335, Casper, WY 82601. Copies of the model rules also may be obtained electronically on the Wyoming Pari-Mutuel Commission's website located at <http://parimutuel.state.wy.us/>.

CHAPTER 9

RULES FOR PARI-MUTUEL BETTING

Section 1. Mutuels – General Provision.

(a) General

Each ~~association~~Permittee shall conduct wagering in accordance with applicable laws and these rules. Such wagering shall employ a pari-mutuel system approved by the Commission. The totalisator shall be tested ~~prior to and during the meeting~~ as required by the Commission.

(b) Records

(i) The ~~association~~Permittee shall maintain records of all wagering so the Commission may review such records for any contest including the opening line, subsequent odds fluctuation, the amount and at which window wagers were placed on any betting interest and such other information as may be required. Such wagering records shall be retained by each ~~association~~Permittee and safeguarded for a period of time specified by the Commission. The Commission may require that certain ~~of these~~ records be made available to the wagering public at the completion of each contest.

(ii) The ~~association~~Permittee shall provide the Commission with a list of the licensed individuals afforded access to pari-mutuel records and equipment at the wagering facility.

(c) Pari-Mutuel Tickets

A pari-mutuel ticket is evidence of a contribution to the pari-mutuel pool operated by the ~~association~~Permittee and is evidence of the obligation of the ~~association~~Permittee to pay to the holder thereof such portion of the distributable amount of the pari-mutuel pool as is represented by such valid pari-mutuel ticket. The ~~association~~Permittee shall cash all valid winning tickets when such are presented for payment during the course of the meeting where sold, and for one full year immediately following the date of purchase.

(i) To be deemed a valid pari-mutuel ticket, such ticket shall have been issued by a pari-mutuel ticket matching operated by the ~~association~~Permittee and recorded as a ticket entitled to a share of the pari-mutuel pool, and contain imprinted information as to:

(A) The name of the ~~association~~Permittee operating the meeting.

- (B) A unique identifying number or code.
- (C) Identification of the terminal at which the ticket was issued.
- (D) A designation of the performance for which the wagering transaction was issued.
- (E) The contest number for which the pool is conducted.
- (F) The type or types of wagers represented.
- (G) The number or numbers representing the betting interest for which the wager is recorded.
- (H) The amount or amounts of the contributions to the pari-mutuel pool or pools for which the ticket is evidence.

(ii) No pari-mutuel ticket recorded or reported as previously paid, cancelled, or non-existent shall be deemed a valid pari-mutuel ticket by the associationPermittee. The associationPermittee may withhold payment and refuse to cash any pari-mutuel ticket deemed not valid, except as provided in rule (d) of these general provisions.

(d) Pari-Mutuel Ticket Sales

(i) Pari-mutuel tickets shall not be sold by anyone other than an associationPermittee licensed to conduct pari-mutuel wagering.

(ii) No pari-mutuel tickets may be sold on a contestlive pari-mutuel event for which wagering has already been closed and no associationPermittee shall be responsible for ticket sales entered into but not completed by issuance of a ticket before the totalisator is closed for wagering on such contest.

(iii) Claims pertaining to a mistake on an issued or unissued ticket must be made by the bettor prior to leaving the seller's window.

(iv) Payment on winning pari-mutuel wagers shall be made on the basis of the order of finish as purposely posted and declared "official". Any subsequent change in the order of finish or award of purse money as may result from a subsequent ruling by the stewards or Commission shall in no way affect the pari-mutuel payoff. If an error in the posted order of finish or payoff figures is discovered, the official order of finish or payoff prices may be corrected and an announcement concerning the change shall be made to the public.

(v) The associationPermittee shall not satisfy claims on lost, mutilated, or altered pari-mutuel tickets without authorization of the Commission.

(vi) The associationPermittee shall have no obligation to enter a wager into a betting pool if unable to do so due to equipment failure.

(vii) A pari-mutuel ticket shall not be sold to a licensed jockey.

(e) Advance Performance Wagering

No associationPermittee shall permit wagering to begin more than one hour before scheduled post time of the first contest of a performance unless it has first obtained the authorization of the Commission.

(f) Claims for Payment from Pari-Mutuel Pool

At a designated location, a written, verified claim for payment from a pari-mutuel pool shall be accepted by the associationPermittee in any case where the associationPermittee has withheld payment or has refused to cash a pari-mutuel wager. The claim shall be made on such form as approved by the Commission, and the claimant shall make such claim under penalty of perjury. The original of such claim shall be forwarded to the Commission within 48 hours.

(i) In the case of a claim made for payment of a mutilated pari-mutuel ticket which does not contain the total imprinted elements required in section c, (i) of these general provisions, the associationPermittee shall make a recommendation to accompany the claim forwarded to the Commission as to whether or not the mutilated ticket has sufficient elements to be positively identified as a winning ticket.

(ii) In the case of a claim made for payment on a pari-mutuel wager, the Commission shall adjudicate the claim and may order payment thereon from the pari-mutuel pool or by the associationPermittee, or may deny the claim, or may make such other order as it may deem proper.

(g) Payment for Errors

If an error occurs in the payment amounts for pari-mutuel wagers which are cashed or entitled to be cashed; and as a result of such error the pari-mutuel pool involved in the error is not correctly distributed among winning ticket holders, the following shall apply:

(i) Verification is required to show that the amount of the commission, the amount in breakage, and the amount of payoffs is equal to the total gross pool. If the amount of the pool is more than the amount used to calculate the payoff, the underpayment shall be added to the corresponding pool

of the next contest. If underpayments are discovered after the close of the meeting, the underpayment shall be held in an interest-bearing account approved by the Commission until being added, together with accrued interest, to the corresponding pool of the next meet.

(ii) Any claim not filed with the associationPermittee within 30 days, inclusive of the date on which the underpayment was publicly announced, shall be deemed waived; and the associationPermittee shall have no further liability therefore.

(iii) In the event the error results in an overpayment to winning wagers, the associationPermittee shall be responsible for such payment.

(h) Betting Explanation

A summary explanation of pari-mutuel wagering and each type of betting pool offered shall be published in the program for every wagering performance. The rules of racing relative to each type of pari-mutuel pool offered must be prominently displayed on associationPermittee grounds available upon request through associationPermittee representatives.

(i) Display of Betting Information

(i) Approximate odds for Win pool betting shall be posted on display devices within view of the wagering public and updated at intervals of not more than 90 seconds.

(ii) The probable payoff or amounts wagered, in total and on each betting interest, for other pools may be displayed to the wagering public at intervals and in a manner approved by the Commission.

(iii) Official results and payoffs must be displayed upon each contest being declared official.

(j) Cancelled Contests

If a contest is cancelled or declared "no contest", refunds shall be granted on valid wagers in accordance with these rules.

(k) Refunds

(i) Notwithstanding other provisions of these rules, refunds of the entire pool shall be made on:

(A) Win pools, Exacta pools, and first-half Double pools offered in contests in which the number of betting interest has been reduced to fewer than two (2).

(B) Place pools, Quinella pools, Trifecta pools, first-half Quinella Double pools, first-half Twin Quinella pools, first-half Twin Trifecta pools, and first-half Tri-Superfecta pools offered in contests in which the number of betting interest has been reduced to fewer than three (3).

(C) Show pools, Superfecta pools, and first-half Twin Superfecta pools offered in contest in which the number of betting interests has been reduced to fewer than four (4).

(ii) Authorized refunds shall be paid upon presentation and surrender of the affected pari-mutuel ticket.

(iii) If a jockey weighs in short of weight by more than two (2) pounds and his mount is disqualified in consequence of short weight, all monies wagered on the horse shall be deducted from the pool and be refunded.

(A) In case of a coupled entry, if one of the jockeys riding the entry weighs in short of weight by more than two (2) pounds and his mount is disqualified in consequence of short weight, no refund shall be made unless the other portion of the entry is also disqualified for short weight in which case all money wagered on the entry disqualified shall be deducted from the pool and be refunded. This rule applies with equal effect to the field.

(iv) If a race is cancelled by the Stewards after wagering begins on that race, all money wagered on that race shall be refunded.

(v) If a horse is left at the post at "off-time" there shall be no refund, but if one horse or more is prevented from leaving the post at "off-time" because of being locked in the gate the Starter shall immediately notify the Stewards who shall immediately notify the manager of the pari-mutuel department and a refund shall be made on the horses affected. The Starter shall be the sole judge of what horses are prevented from starting in a race through failure of the gates to open.

(vi) In all cases when a horse has been excused by the Stewards after wagering has started but before "off-time" all money wagered on the horse excused shall be deducted from the pool and refunded.

(vii) If no horse finishes in race, all money wagered on that race shall be refunded.

(viii) If no roper gets a time in a section, all money wagered shall be carried over into the respective pools of the next section. If there are no more sections to be contested in the event, all money shall be refunded.

(l) Coupled Entries and Mutuel Fields

(i) Contestants coupled in wagering as a coupled entry or mutuel field shall be considered part of a single betting interest for the purpose of price calculations and distribution of pools. Should any contestant in coupled entry or mutuel field be officially withdrawn or scratched, the remaining contestants in that coupled entry or mutuel field shall remain valid betting interests and no refunds will be granted. If all contestants within a coupled entry or mutuel field are scratched, then tickets on such betting interests shall be refunded, notwithstanding other provisions of these rules.

(ii) For the purpose of price calculations only, coupled entries and mutuel fields shall be calculated as a single finisher, using the finishing position of the leading contestant in that coupled entry or mutuel field to determine order of placing. This rule shall apply to all circumstances, including situations involving a dead heat, except as otherwise provided by these rules.

(m) Pools Dependent Upon Betting Interests

Unless the Commission otherwise provides, at the time the pools are opened for wagering, the ~~association~~Permittee:

(i) May offer win, place, and show wagering on all contests with six (6) or more betting interests.

(ii) May be allowed to prohibit show wagering on any contest with five (5) or fewer betting interests scheduled to start.

(iii) May be allowed to prohibit place wagering on any contest with four (4) or fewer betting interests scheduled to start.

(iv) May be allowed to prohibit Quinella wagering on any contests with three (3) or fewer betting interests scheduled to start.

(v) May be allowed to prohibit Quinella Double wagering on any contests with three (3) or fewer betting interests scheduled to start.

(vi) May be allowed to prohibit Exacta wagering on any contest with three (3) or fewer betting interests scheduled to start.

(vii) Shall prohibit Trifecta wagering on any contest with ~~seven(7)~~three (3) or fewer betting interests scheduled to start.

(viii) Shall prohibit Superfecta wagering on any contest with ~~seven (7)~~four (4) or fewer betting interests scheduled to start.

(ix) May be allowed to prohibit Twin Quinella wagering on any contests with three (3) or fewer betting interests scheduled to start.

(x) Shall prohibit Twin Trifecta wagering on any contests with seven (7) or fewer betting interests scheduled to start.

(xi) Shall prohibit Tri-Superfecta wagering on any contests with of seven (7) or fewer betting interests scheduled to start.

(xii) Shall prohibit Twin Superfecta wagering on any contests with seven (7) or fewer betting interests scheduled to start.

(n) Prior Approval Required for Betting Pools

(i) An ~~association~~Permittee that desires to offer new forms of wagering must apply in writing to the Commission and receive written approval prior to implementing the new betting pool.

(ii) The ~~association~~Permittee may suspend, for a specific performance, previously-approved forms of wagering with the prior approval of the Commission. Any carryover shall be held until the suspended form of wagering is reinstated. An ~~association~~Permittee may request approval of a form of wagering or separate wagering pool for specific performances or events.

(o) Closing of Wagering in a Contest

(i) A Commission representative shall close wagering for each contest after which time no pari-mutuel tickets shall be sold for that contest.

(ii) The ~~association~~Permittee shall maintain, in good order, a system approved by the Commission for closing wagering.

(p) Complaints Pertaining to Pari-Mutuel Operations

(i) When a patron makes a complaint regarding the pari-mutuel department to an ~~association~~Permittee, the ~~association~~Permittee shall immediately issue a complaint report, setting out:

(A) The name of the complainant;

(B) The nature of the complaint;

(C) The name of the persons, if any against whom the complaint was made;

(D) The date of the complaint;

(E) The action taken or proposed to be taken, if any, by the associationPermittee.

(ii) The associationPermittee shall submit every complaint report to the Commission within 48 hours after the complaint was made.

(q) Licensed Employees

All licensees shall report any known irregularities or wrong doings by any persons involving pari-mutuel wagering immediately to the Commission and cooperate in subsequent investigations.

(r) Unrestricted Access

The associationPermittee shall permit the Commission unrestricted access at all times to its facilities and equipment and to all books, ledgers, accounts, documents and records of the associationPermittee that relate to pari-mutuel wagering.

(s) Emergency Situations

In the event of an emergency in connection with the pari-mutuel department not covered in these rules, the pari-mutuel manager representing the associationPermittee shall report the problem to the stewards and the associationPermittee and the stewards shall render a full report to the Commission within 48 hours.

Section 2. **Advance Deposit Wagering.**

(a) Definitions

(i) ACCOUNT, an advance deposit wagering account used to record credits, debits, deposits and withdrawals.

(ii) ACCOUNT HOLDER, a natural person that has established an account.

(iii) ACCOUNT NUMBER, a unique identification number designated by the Permittee, betting system, or the Wyoming hub.

(iv) ADVANCE DEPOSIT WAGERING, a form of pari-mutuel wagering in which an account holder, residing within or outside Wyoming, establishes an account and then authorizes a Commission approved Permittee, Betting System or WY Hub, by telephone or other electronic media, to place wagers on racing on the account holder's behalf. Only the Permittee, Betting System, or Wyoming Hub that holds the account can make an advance deposit wager for the account holder. Wagering instructions that concern funds held in an account shall be deemed issued within the enclosure of the Permittee.

(v) APPLICANT, any entity including, but not limited to, corporations, partnerships, limited liability companies, limited partnerships, or individuals that file an application to conduct advance deposit wagering.

(vi) APPLICATION, the application that must be Commission-approved prior to a Permittee, betting system or WY Hub being permitted to conduct pari-mutuel wagering.

(vii) BETTING SYSTEM, a business conducted for Wyoming that facilitates pari-mutuel wagering on races it offers in its wagering menu.

(viii) COMMISSION, the Wyoming Pari-mutuel Commission.

(ix) CONFIDENTIAL INFORMATION:

(A) The amount of money credited to, debited from, or present in any particular account holder's Account.

(B) The amount of money wagered by a particular account holder on any races or series of races.

(C) The account number and secure means of personal identification of an account holder.

(D) The identities of particular entries on which the account holder is wagering or has wagered.

(E) Unless otherwise authorized by the account holder, the name, address, and other information in possession of the Permittee, betting system or Wyoming Hub that would identify the account holder to anyone other than the Commission, Permittee, betting system or Wyoming Hub.

(F) Credit and debit card information provided by account holder.

(x) CREDITS, all positive inflow of money to an account.

(xi) DEBITS, all negative outflow of money when placing a wager and other transaction related charges from an account or for the purchase of racing related merchandise and services.

(xii) DEPOSIT, a credit of money to an account from an account holder.

(xiii) PERMITTEE, any person, entity or corporation granted a permit by the Commission to conduct pari-mutuel events within the State of Wyoming.

(xiv) MEANS OF PERSONAL IDENTIFICATION, the unique number, code or other secure technology designated by an account holder to assure that only the account holder has access to his or her account.

(xv) NATURAL PERSON, any person at least 18 years of age.

(xvi) OTHER ELECTRONIC MEDIA, any electronic communication device or combination of devices including, but not limited to, personal computers, the Internet, private networks, interactive television and wireless communication technologies.

(xvii) PROPER IDENTIFICATION, a form of identification, accepted in the normal course of business, that establishes the person making a transaction is the account holder.

(xviii) WITHDRAWAL, a payment from an account by the Permittee, betting system, or Wyoming Hub to the account holder.

(xvix) WITHDRAWAL SLIP, the form provided by the Permittee, betting system, or Wyoming Hub to an account holder to withdraw funds.

(b) Duties of Advance Deposit Wagering Permittee

(i) An applicant shall complete an application for permit to conduct advance deposit Wagering, which is available at the Commission's administrative office. The application must be filed no later than 60 days in advance of the scheduled start date of operation.

(ii) Applicants shall provide financial information that demonstrates the financial resources to operate advance deposit wagering and provide a detailed budget that shows anticipated revenue, expenditures and cash flows by month, projected for the term of the permit.

(iii) The Commission may conduct investigations, inspections or request additional information from the applicant as it deems appropriate in determining whether to approve the permit.

(iv) Prior to any account being established or wagering being conducted, the application submitted to the Commission must be approved and a permit issued to the applicant. The permit shall be authorized annually by the Commission.

(v) Subsequent to the issuance of a permit to conduct advance deposit wagering under this article, changes or amendments to information or operating procedures contained in an application will be permitted only by order of the Commission or by Commission approval of a request for changes or amendments submitted in writing by the applicant.

(vi) Applicants shall establish security access policies as set forth:

(A) The applicant shall utilize personal identification numbers (PINs) or other technologies to assure that only the account holder has access to the advance deposit wagering account.

(B) The applicant shall allow the commission access to its premises to visit, investigate, and place expert accountants and other persons it deems necessary for the purpose of ensuring that its rules and regulations concerning credit authorization, account access, and other security provisions are strictly complied with.

(vii) Applicants that accept wagers shall provide a full accounting and verification of the source of the wagers, and a detailed wagering information file that includes, but is not limited to, dollar amount wagered, pool on which the wager was placed, race number and racing venue, zone, breed, zip code of the account holder, time wagering stopped, and time of the wager in the form of a daily mutuel data download to the Commissions designated database.

(viii) The Commission, or its designee, shall be given access for review and audit of all records. The applicant shall, at their location during hours of operation, make such information available. The Commission may require the applicant to annually submit audited financial statements.

(ix) The Commission shall prohibit advance deposit wagering advertising that it determines to be deceptive to the public. The Commission shall also require, by regulation, that every form of advertising contain a statement that minors are not allowed to open or have access to advance deposit wagering accounts.

(x) Contact information for a recognized problem-gambling support organization shall be made available to all account holders.

(c) Operation of Advance Deposit Wagering

(i) The Permittee may suspend or close an account. Any account with no activity for at least six months may be closed. When an account is closed the Permittee shall mail any funds, less processing fees, within five business days to the account holder at the address of record.

(ii) Funds shall be in an interest bearing account.

(iii) Funds in an account shall not bear interest to the account holder.

(iv) All wagering conversations, transactions or other wagering communications through the advance deposit wagering system, verbal or electronic, shall be recorded by means of electronic media, and the tapes or other records of such communications shall be kept by the Permittee for 180 days. These tapes and other records shall be made available to the Commission upon request or order by the Executive Director.

(v) The total amount of all account wagers shall be included in the respective pools for each race and shall be combined into the Permittee's pools or directly into the corresponding pools of the host track.

(vi) Accounts are for personal use only and the account holder is responsible to maintain the secrecy of the account number and means of personal identification. The account holder must immediately notify the Permittee of any breach of the account's security.

(vii) Upon request of the account holder, the Permittee shall provide a statement detailing account activity for the immediate 30 days prior to the request. Unless the Permittee receives written notice disputing the statement within 14 days of the date the statement is forwarded, it shall be deemed to be correct.

(viii) Unless otherwise noted on the account application, the address provided on the application to establish the account is deemed the address of record for mailing checks, statements of account, account withdrawals, notices, or other correspondence. It is the responsibility of the account holder to notify the Permittee of any address change.

(ix) No employee or agent of the Permittee shall divulge any confidential information related to an advance deposit wagering account, the placing of any wager or any confidential information related to the operation of the advance deposit wagering system without the consent of the account holder, except to the account holder as required by this article, the Commission, and as otherwise required by state or federal law.

(x) Account holders shall designate if they want to use a credit card to make deposits to their account. Changes to that designation shall require 24 hours' notice to the Permittee.

(d) Requirements to Establish an Advance Deposit Wagering Account

(i) Accounts shall be established in person, by mail, telephone or other electronic media before any wagering shall be conducted. An account shall only be established in the name of a natural person and is non-transferable.

(ii) Any individual prohibited from wagering under Wyoming Pari-mutuel Commission Rules shall be prohibited from establishing an account or placing a wager.

(iii) The information required to establish an account shall include:

(A) Account holder's full legal name.

(B) Principal residence address.

(C) Mailing Address, e-mail address, when available.

(D) Telephone number.

(E) Social Security number.

(F) Identification or certification to prove the account holder is at least 18 years of age.

(G) Completed W-9 form.

(H) Whether the account holder wants to use a credit card to make deposits to their account.

(I) Signature of applicant.

(iv) The Permittee shall employ electronic verification with respect to each account holder's name, principal residence address, date of birth and Social Security number at the time of account establishment by a Commission-approved national independent reference company or another independent technology approved by the Commission which meets or exceeds the reliability, security, accuracy, privacy and timeliness provided by individual reference service companies.

(v) The Permittee may refuse to establish an account if it is found that any of the information supplied is untrue or incomplete.

(vi) When an account is established the Permittee shall designate an account number for each account. The number can be changed provided the account holder is informed prior to any change.

(vii) The account holder shall designate a means of personal identification to use to access their account.

(viii) The Permittee shall inform the account holder of the assigned account number and provide a copy of its advance deposit wagering procedures, terms and conditions as well as any information that pertains to the operation of the account.

(ix) Each Permittee shall, at all times, comply with Internal Revenue Service (IRS) requirements for reporting and withholding proceeds from advance deposit wagers by account holders and shall send to account holders subject to IRS reporting or withholding a Form W2-G summarizing the information for tax purposes following a winning wager being deposited into an account. Upon written request, the Permittee shall provide account holders with summarized tax information on advance deposit wagering activities.

(e) Deposits to an Advance Deposit Wagering Account

(i) Deposits to an Account shall be made in the following form:

(A) Cash deposits made directly.

(B) Personal checks, cashier's checks and money orders made directly or mailed.

(C) Debits to an account holder's credit card or debit card, upon direct instructions of the account holder, providing the use of such card has been pre-approved by the Permittee and designated by the account holder at least 24 hours in advance. The account holder may be liable for any charges imposed by the transmitting or receiving Permittee and the charges may be deducted from the account holder's account.

(D) Debits by electronic fund transfer (EFT) from a monetary account controlled by an account holder. The account holder may be liable for any charges imposed by the transmitting or receiving Permittee and the charges may be deducted from the account holder's account.

(E) Cashier's checks, money orders and EFTs shall be given immediate credit upon presentation. Credit cards or debit cards shall be given immediate credit upon authorization from the issuer.

(F) Permittees shall disclose their policy regarding the acceptance of personal checks to the account holder.

(f) Placing an Advance Deposit Wager

(i) Debits to an account shall be made in the following form:

(A) The Permittee shall debit the amount wagered by an account holder.

(B) Wagers shall not be accepted in an amount in excess of an account balance.

(C) The Permittee may debit the account for service or other transaction-related charges.

(D) The Permittee may debit the account for purchases of racing related merchandise and services requested by an account holder.

(g) Withdrawals from an Advance Deposit Wagering Account

(i) Withdrawals shall be completed within five business days after the Permittee receives a request from an account holder by mail, by telephone, or other electronic media accompanied by the valid account number and a means of personal identification or, if by mail, a signed completed withdrawal slip.

(ii) If sufficient funds are available, the Permittee shall send a check payable to the account holder in the amount of the requested withdrawal to the address of record.

(iii) If sufficient funds are not available, the Permittee shall, within five business days of receipt, provide notification to the account holder of insufficient funds and send a check, payable to the account holder, in the amount of the funds available, to the address of record.

(iv) An EFT may be used in lieu of a check at the discretion of the account holder and the Permittee, subject to the same conditions set forth in Chapter. 9 Sec. 2 (e).

(v) Account Holders may make withdrawals in person with such identification as required by the Permittee, the valid account number, and a means of personal identification.

(h) Credit for Winning Wagers and Scratched Entries

(i) The Permittee, betting system, or Wyoming Hub shall post credits for winnings from advance deposit wagers and any credit for wagers on a scratched entry to the account after the race is declared official.

(i) Proceeds from a Deceased Account Holder

(i) If an account holder is deceased, the Permittee, betting system, or WY Hub shall release the funds in the account to the decedent's legal

representative upon receipt of a copy of a probate court authorization or other documents as required by applicable Wyoming or other state laws.

Section 3. **Interstate Common Pool Wagering.**

(a) General

(i) All contracts governing participation in interstate common pools shall be submitted to the Commission for approval.

(ii) Individual wagering transactions are made at the point of sale in the state where placed. Pari-mutuel pools are combined for computing odds and calculating payoffs and breakage, but will be held separate for auditing and all other purposes.

(iii) Any surcharges or withholdings in addition to the takeout shall only be applied in the jurisdiction otherwise imposing such surcharges or withholdings.

(iv) In determining whether to approve an interstate common pool which does not include the host track, the Commission shall consider and may approve use of a bet type which is not utilized at the host track, application of a takeout rate not in effect at the host track, or other factors which are presented to the Commission.

(v) The content and format of the visual display of racing and wagering information at facilities in other jurisdictions where wagering is permitted in the interstate common pool need not be identical to the similar information permitted or required to be displayed under these rules.

(vi) In cases where host track state wagering rules differ from guest state wagering rules, host track state rules shall apply.

(b) Guest State Participation in Interstate Common Pools

(i) With the prior approval of the Commission, pari-mutuel wagering pools may be combined with corresponding wagering pools in the host state, or with corresponding pools established by one or more other jurisdictions.

(ii) The Commission may permit adjustment of the takeout from the pari-mutuel pool so that the takeout rate in this jurisdiction is identical to that at the host track, or identical to that of other jurisdictions participating in a merged pool.

(iii) Where takeout rates in the merged pool are not identical, the net price calculation shall be the method by which the differing takeout rates are applied.

(iv) Rules of racing as established for the contest in the host state shall apply to the merged pool.

(v) The Commission shall approve agreements made between the associationPermittee and other participants in interstate common pools governing the distribution of breakage between the jurisdictions.

(vi) If, for any reason, it becomes impossible to successfully merge the bets placed into the interstate common pool, the associationPermittee shall make payoffs in accordance with payoff prices that would have been in effect if prices for the pool of bets were calculated without regard to wagers placed elsewhere; except that, with permission of the Commission, the associationPermittee may alternatively determine to either pay winning tickets at the payoff prices at the host track, or declare such accepted bets void and make refunds in accordance with the applicable rules.

(c) Host State Participation in Merged Pools

(i) With the prior approval of the Commission, an associationPermittee licensed to conduct pari-mutuel wagering may determine that one or more of its contest be utilized for pari-mutuel wagering at guest facilities in other states, and may also determine that pari-mutuel pools in guest state be combined with corresponding wagering pools established by it as the host track or comparable wagering pools established by two or more states.

(ii) Where takeout rates in the merged pool are not identical, the net price calculation shall be the method by which the differing takeout rates are applied.

(iii) The Commission shall approve agreements made between the associationPermittee and other participants in interstate common pools governing the distribution of breakage between the jurisdictions.

(iv) Any contract for interstate common pools entered into by the associationPermittee shall contain a provision to the effect that if, for any reason, it becomes impossible to successfully merge the bets placed in another state into the interstate common pool formed by the associationPermittee, or if, for any reason, the Commission's or the associationPermittee's representative determines that attempting to effect transfer of pool data from the guest state may endanger the associationPermittee's wagering pool, the associationPermittee shall have no liability for any measures taken which may result in the guest's wagers not being accepted into the pool.

(d) Takeout Rates in Interstate Common Pools

(i) With the prior approval of the Commission, an ~~association~~Permittee wishing to participate in an interstate common pool may change its takeout rate (within the limits permitted by state law) so as to achieve a common takeout rate with all other participants in the interstate common pool.

(ii) An ~~association~~Permittee wishing to participate in an interstate common pool may request that the Commission approve a methodology whereby host and guest states with different takeout rates for corresponding pari-mutuel pools may effectively and equitably combine wagers from the different states into an interstate common pool.

Section 4. **Calculation of Payoffs and Distribution of Pools – Live Events & Live Simulcast Events.**

(a) General

(i) All permitted pari-mutuel wagering pools shall be separately and independently calculated and distributed. Takeout shall be deducted from each gross pool as stipulated by law. The remainder of the monies in the pool shall constitute the net pool for distribution as payoff on the winning wagers.

(ii) For each wagering pool, the amount wagered on the winning betting interest or betting combinations is deducted from the net pool to determine the profit; the profit is then divided by the amount wagered on the winning betting interest or combinations, such quotient being the profit per dollar.

(iii) Either the standard or net price calculation procedure may be used to calculate single commission pools, while the net price calculation procedure must be used to calculate multi-commission pools.

(A) Standard price calculation procedure:

SINGLE PRICE POOL (WIN POOL)

gross pool	= sum of wagers on all betting interest - refunds
net pool	= gross pool x (1 - percent takeout)
profit	= net pool - gross amount bet on winner
profit per dollar	= profit/gross amount bet on winner
\$1 unbroken price	= profit per dollar + \$1

PRICE SPLIT (PLACE POOL)

Profit is net pool less gross amount bet on all place finisher. Finishers split profit 1/2 and 1/2 (place profit), then divide by gross amount bet on each place finisher for two unique prices.

PROFIT SPLIT (SHOW POOL)

Profit is net pool less gross amount bet on all show finishers. Finishers split profit 1/3 and 1/3 and 1/3 (show profit), then divide by gross amount bet on each show finisher for three unique prices.

(B) Net price calculation procedure:

SINGLE PRICE POOL (WIN POOL)

gross pool	= sum of wagers on all betting interest - refunds
* for each source:	
net pool	= gross pool x (1 - percent takeout)
net bet on winner	= gross amount bet on winner x (1 - percent takeout)
total net pool	= sum of all sources net pools
total net bet on winner	= sum of all sources net bet on winner
total profit	= total net pool - total net bet on winner
profit per dollar	= total profit/total net bet on winner
\$1 unbroken base price	= profit per dollar + \$1

* for each source:

unbroken \$1 price = \$1 unbroken base price x (1 - percent takeout)

PROFIT SPLIT (PLACE POOL)

Total profit is the total net pool less the total net amount bet on all place finishers. Finishers split total profit 1/2 and 1/2 (place profit), then divide by total net amount bet on each place finisher for two unique unbroken base prices.

PROFIT SPLIT (SHOW POOL)

Total profit is the total net pool less the total net amount bet on all show finishers. Finishers split total profit 1/3 and 1/3 and 1/3 (show profit), then divide by total net amount bet on each show finisher for three unique unbroken base prices.

(iv) If a profit split results in only one covered winning betting interest or combinations it shall be calculated the same as a single price pool.

(v) Minimum payoffs and the method used for calculating breakage shall be established by the Commission.

(vi) The individual pools outlined in these rules may be given alternative names by each associationPermittee, provided prior approval is obtained from the Commission.

(b) Win Pools

(i) The amount wagered on the betting interest which finishes first is deducted from the net pool, the balance remaining betting the profit; the profit is divided by the amount wagered on the betting interest finishing first, such quotient being the profit per dollar wagered to Win on that betting interest.

(ii) The net Win pool shall be distributed as a single price pool to winning wagers in the following precedence, based upon the official order of finish:

(A) To those whose selection finished first; but if there are no such wagers, then

(B) To those whose selection finished second; but if there are no such wagers, then

(C) To those whose selection finished third; but if there are no such wagers, then

(D) The entire pool shall be refunded on Win wagers for that contest.

(iii) If there is a dead heat for first involving:

(A) Contestants representing the same betting interest, the Win pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interests, the Win pool shall be distributed as a profit split.

WIN POOL

Sum of Wagers on All Betting Interests =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool: Sum of Wagers on All Betting Interest – Refunds =	\$192,913.00
Percent Takeout =	18%
Net Pool: Gross Pool x (1 – Percent Takeout)	\$158,188.66

Gross Amount Bet on Winner =	\$23,872.00
Profit:	
Net Pool – Gross Amount Bet on Winner =	\$134,316.66
Profit Per Dollar: Profit/Gross Amount Bet on Winner =	\$5.6265357
\$1 Unbroken Price: Profit Per Dollar + \$1 =	\$6.6265357

(c) Place Pools

(i) The amounts wagered to Place on the first two betting interests to finish are deducted from the net pool, the balance remaining being the profit; the profit is divided into two equal portions, one being assigned to each winning betting interest and divided by the amount wagered to Place on that betting interest, the resulting quotient is the profit per dollar wagered to Place on that betting interest.

(ii) The net Place pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) If contestants of a coupled entry or mutuel field finished in the first two places, as a single price pool to those who selected the coupled entry or mutuel field; otherwise

(B) As a profit split to those whose selection is included within the first two finishers; but if there are not such wagers on one of those two finishers; then

(C) As a single price pool to those who selected the one covered betting interest included within the first two finishers; but if there are no such wagers, then

(D) As a single price pool to those who selected the third-place finisher, but if there are no such wagers, then

(E) The entire pool shall be refunded on Place wagers for that contest.

(iii) If there is a dead heat for first involving:

(A) Contestants representing the same betting interest, the Place pool shall be distributed as a single price pool.

(B) Contestants representing two or more betting interests, the Place pool shall be distributed as a profit split.

(iv) If there is a dead heat for second involving:

(A) Contestants representing the same betting interest, the Place pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interest, the Place pool is divided with one-half (1/2) of the profit distributed to Place wagers on the betting interest finish first and the remainder is distributed equally amongst Place wagers on those betting interest involved in the dead heat for second.

PLACE POOL

Sum of Wagers on All Betting Interest =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool: Sum of Wagers on All Betting Interest - Refunds =	\$192,913.00
Percent Takeout =	18%
Net Pool: Gross Pool x (1 - Percent Takeout)	\$158,188.66
Gross Amount Bet on 1st place finisher =	\$23,872.00
Gross Amount Bet on 2nd place finisher =	\$12,500.00
Profit: Net Pool - Gross Amount Bet on 1st Place Finisher	
Net Pool - Gross Amount Bet on 2nd Place Finisher =	\$121,816.66
Place Profit: Profit / 2 =	\$60,908.33
Profit Per Dollar for 1st place: Place Profit/Gross Amount Bet on 1st place finisher =	\$2.5514548
\$1 Unbroken Price for 1st place: Profit Per Dollar for 1st place + \$1 =	\$3.5514548
Profit per Dollar for 2nd place:	

Place Profit/Gross Amount Bet on 2nd place finisher = \$4.8726665

\$1 Unbroken Price for 2nd place:

Profit Per Dollar for 2nd place + \$1 = \$5.8726664

(d) Show Pools

(i) The amounts wagered to Show on the first three betting interests to finish are deducted from the net pool, the balance remaining being the profit; the profit is divided into three equal portions, one being assigned to each winning betting interest and divided by the amount wagered to Show on that betting interest, the resulting quotient being the profit per dollar wagered to Show on that betting interest.

(ii) The net Show pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) If contestants of a coupled entry or mutuel field finished in the first three places, as a single price pool to those who selected the coupled entry or mutuel field; otherwise

(B) If contestants of a coupled entry or mutuel field finished as two of the first three finishers, the profit is divided with two-thirds (2/3) distributed to those who selected the couple entry or mutuel field and one-third (1/3) distributed to those who selected the other betting interest included within the first three finishers; otherwise

(C) As a profit split to those whose selection is included within the first three finishers; but if there are no such wagers on one of those three finishers, then

(D) As a profit split to those who selected one of the two covered betting interest included within the first three finishers; but if there are no such wagers on two of those three finishers, then

(E) As a single price pool to those who selected the one covered betting interest included within the first three finishers; but if there are no such wagers, then

(F) As a single price pool to those who selected the fourth-place finisher; but if there are no such wagers, then

(G) The entire pool shall be refunded on Show wagers for that contest.

(iii) If there is a dead heat for first involving:

(A) Two contestants representing the same betting interest, the profit is divided with two-thirds (2/3) distributed to those who selected the first-place finishers and one-third (1/3) distributed to those who selected the betting interest finishing third.

(B) Three contestants representing a single betting interest, the Show pool shall be distributed as a single price pool.

(C) Contestants representing two or more betting interest, the Show pool shall be distributed as a profit split.

(iv) If there is a dead heat for second involving:

(A) Contestants representing the same betting interest, the profit is divided with one-third (1/3) distributed to those who selected the betting interest finishing first and two-thirds (2/3) distributed to those who selected the second-place finishers.

(B) Contestants representing two betting interest, the Show pool shall be distributed as a profit split.

(C) Contestants representing three betting interest, the Show pool is divided with one-third (1/3) of the profit distributed to Show wagers on the betting interest finishing first and the remainder is distributed equally amongst Show wagers on those betting interests involved in the dead heat for second.

(v) If there is a dead heat for third involving:

(A) Contestants representing the same betting interest, the Show pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interest, the Show pool is divided with two-thirds (2/3) of the profit distributed to Show wagers on the betting interests finishing first and second and the remainder is distributed equally amongst Show wagers on those betting interests involved in the dead heat for third.

SHOW POOL

Sum of Wagers on All Betting Interests = \$194,230.00

Refunds = \$1,317.00

Gross Pool: Sum of Wagers on All Betting Interest -

Refunds =	\$192,913.00
Percent Takeout =	18%
Net Pool: Gross Pool x (1 - Percent Takeout)	\$158,188.66
Gross Amount Bet on 1st place finisher =	\$23,872.00
Gross Amount Bet on 2nd place finisher =	\$12,500.00
Gross Amount Bet on 3rd place finisher =	\$4,408.00
Profit:	
Net Pool - Gross Amount Bet on 1st Place Finisher	
Net Pool - Gross Amount Bet on 2nd Place Finisher	
Net Pool - Gross Amount Bet on 3rd Place Finisher =	\$117,408.66
Show Profit: Profit / 3 =	\$39,136.22
Profit Per Dollar for 1st place:	
Show Profit/Gross Amount Bet on 1st place finisher =	\$1.6394194
\$1 Unbroken Price for 1st place:	
Profit Per Dollar for 1st place + \$1 =	\$2.6394194
Profit per Dollar for 2nd place:	
Show Profit/Gross Amount Bet on 2nd place finisher =	\$3.1308876
\$1 Unbroken Price for 2nd place:	
Profit Per Dollar for 2nd place + \$1 =	\$4.1308976
Profit Per Dollar for 3rd place:	
Show Profit/Gross Amount Bet on 3rd Place finisher =	\$8.8784528
\$1 Unbroken Price for 3rd place:	
Profit Per Dollar for 3rd place + \$1 =	\$9.8784528

SHOW POOL

Single Takeout Rate & Single Betting Source

Sum of Wagers on All Betting Interests =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool:	
Sum of Wagers on All Betting Interest - Refunds =	\$192,913.00

Percent Takeout =	18%
Total Net Pool: Gross Pool x (1 - Percent Takeout) =	\$158,188.66
Gross Amount Bet on 1st place finisher =	\$23,872.00
Net Amount Bet on 1st place finisher =	\$19,575.04
Gross Amount Bet on 2nd place finisher =	\$12,500.00
Net Amount Bet on 2nd place finisher =	\$10,250.00
Gross Amount Bet on 3rd place finisher =	\$4,408.00
Net Amount Bet on 3rd place finisher =	\$3,614.56
Total Net Bet on Winners:	
Net Amount Bet on 1st place finisher +	
Net Amount Bet on 2nd place finisher +	
Net Amount Bet on 3rd place finisher =	\$33,439.60
Total Profit:	
Total Net Pool - Total Net Bet on Winner =	\$124,749.06
Show Profit: Total Profit /3 =	\$41,583.02
Profit Per Dollar for 1st place:	
Show Profit/Net Amount Bet on 1st place finisher =	\$2.1242879
\$1 Unbroken Base Price for 1st place:	
Profit Per Dollar for 1st place + \$1 =	\$3.1242879
\$1 Unbroken Price for 1st place:	
\$1 Unbroken base Price for 1st place x (1 - percent takeout)	\$2.5619161
Profit Per Dollar for 2nd place:	
Show Profit/Net Amount Bet on 2nd place finisher =	\$4.0568800
\$1 Unbroken Base Price 2nd place:	
Profit Per Dollar for 2nd place + \$1 =	\$5.0568800
\$1 Unbroken Price for 2nd place:	
\$1 Unbroken Base Price for 2nd place x (1 - percent takeout)	\$4.1466416
=	
Profit Per Dollar for 3rd place:	
Show Profit/Net Amount bet on 3rd place finisher =	\$11.504310
\$1 Unbroken Base Price for 3rd place:	
Profit Per Dollar for 3rd place + \$1 =	\$12.504310
Unbroken Price for 3rd place:	
\$1 Unbroken Base Price for 3rd place x (1 - percent takeout) =	\$10.253534

(e) Double Pools

(i) The Double requires section of the first-place finisher in each of two specified contests.

(ii) The net Double pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) As a single price pool to those whose selection finished first in each of the two contest; but if there are no such wagers, then

(B) As a profit split to those who selected the first-place finisher in either of the two contests; but if there are no such wagers, then

(C) As a single price pool to those who selected the one covered first-place finisher in either contest; but if there are no such wagers, then

(D) As a single price pool to those whose selection finished second in each of the two contests but if there are no such wagers, then

(E) The entire pool shall be refunded on Double wagers for those contests.

(iii) If there is a dead heat for first in either of the two contests involving:

(A) Contestants representing the same betting interest, the Double pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interest, the Double pool shall be distributed as a profit split if there is more than one covered winning combination.

(iv) Should a betting interest in the first-half of the Double be scratched prior to the first Double contest being declared official, all money wagered on combinations including the scratched betting interest shall be deducted from the Double pool and refunded.

(v) Should a betting interest in the second-half of the Double be scratched prior to the close of wagering on the first Double contest, all money wagered on combinations including the scratched betting interest shall be deducted from the Double pool and refunded.

(vi) Should a betting interest in the second-half of the Double be scratched after the close of wagering on the first Double contest, all wagers combining the winner of the first contest with the scratched betting interest in the second contest shall be allocated a consolation payoff. In calculating the consolation payoff the net Double pool shall be divided by the total amount

wagered on the winner of the first contest and an unbroken consolation price obtained. The broken consolation price is multiplied by the dollar value of wagers on the winner of the first contest combined with the scratched betting interest to obtain the consolation payoff. Breakage is not utilized in this calculation. The consolation payoff is deducted from the net Double pool before calculation and distribution of the winning Double payoff. Dead heats including separate betting interests in the first contest shall result in a consolation payoff calculated as a profit split.

(vii) If either of the Double contests are cancelled or declared "no contest" after the conclusion of the first Double contest, the net Double pool shall be distributed as a single price pool to wagers selecting the winner of the first Double contest. In the event of a dead heat involving separate betting interests, the net Double pool shall be distributed as a profit split.

DOUBLE POOL

Sum of Wagers on All Betting Interests =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool:	
Sum of wagers on All Betting Interests - Refunds =	\$192,913.00
Percent Takeout =	18%
Net Pool: Gross Pool x (1 - Percent Takeout) =	\$158,188.66
Gross Amount Bet on Winning Combination =	\$23,872.00
Profit:	
Net Pool - Gross Amount Bet on Winning Combination =	\$134,316.66
Profit Per Dollar: Profit/Gross Amount Bet on Winning Combination =	\$5.6265357
\$1 Unbroken Price:	
Profit Per Dollar + \$1 =	6.6265357

CONSOLATION DOUBLE POOL

Sum of Wagers on All Betting Interests =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool: Sum of Wagers on All Betting Interest - Refunds =	\$192,913.00

Percent Takeout =	18%
Net Pool: Gross Pool x (1 - Percent Takeout) =	\$158,188.66
Consolation Pool: Sum Total Amount Bet on Winner of the first contest with all second contest betting interest =	\$43,321.00
\$1 Consolation Unbroken Consolation Price: Net Pool/ Consolation Pool =	\$3.6515468
\$1 Consolation Broken Price =	\$3.65
Amount Bet on winner of the first contest with scratched betting interests:	\$1,234.00
Consolation Liability: \$1 Consolation Broken Price x (Amount bet on the winner of the first contest with scratched betting interests) =	\$4,504.10
Adjusted Net Pool: Net Pool - Consolation Liability =	\$153,684.56
Gross Amount Bet on the Winning Combination =	\$23,872.00
Profit: Adjusted Net Pool - Gross Amount Bet on the Winning Combination =	\$129,812.56
Profit Per Dollar: Profit/Gross Amount Bet on the Winning Combination =	\$5.4378586

(f) Pick Three Pools

(i) The Pick Three requires selection of the first-place finisher in each of three specified contests.

(ii) The net Pick Three pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) As a single price pool to those whose selection finished first in each of the three contests; but if there are no such wagers, then

(B) As a single price pool to those who selected the first-place finisher in any two of the three contests; but if there are no such wagers, then

(C) As a single price pool to those who selected the first-place finisher in any one of the three contests; but if there are no such wagers, then

(D) The entire pool shall be refunded on Pick Three wagers for those contests.

(iii) If there is a dead heat for first in any of the three contests involving:

(A) Contestants representing the same betting interest, the Pick Three pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interests, the Pick Three pool shall be distributed as a single price pool with each winning wager receiving an equal share of the profit.

(iv) Should a betting interest in any of the three Pick Three contests be scratched, the actual favorite, as evidenced by total amounts wagered in the Win pool at the close of wagering on that contest, shall be substituted for the scratched betting interest for all purposes, including pool calculations. In the event that the Win pool total for two or more favorites is identical, the substitute selection shall be the betting interest with the lowest program number. The totalisator shall produce reports showing each of the wagering combinations with substituted betting interests which became winners as a result of the substitution, in addition to the normal winning combination.

(v) If all three Pick Three contests are cancelled or declared "no contest", the entire pool shall be refunded on Pick Three wagers for those contests.

(vi) If one or two of the Pick Three contests are cancelled or declared "no contest", the Pick Three pool will remain valid and shall be distributed in accordance with subsection 2 of this rule.

(g) Pick (n) Pools

(i) The Pick (n) requires selection of the first-place finisher in each of a designated number of contests. The associationPermittee must obtain written approval from the Commission concerning the scheduling of Pick (n) contest, the designation of one of the methods prescribed in Part (ii), and the amount of any cap to be set on the carryover. Any changes to the approved Pick (n) format require prior approval from the Commission.

(ii) The Pick (n) pool, shall be apportioned under one of the following methods:

(A) Method 1, Pick (n) with Carryover, No Minor Pool:

The net Pick (n) pool and carryover, if any, shall be distributed as a single price pool to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. If there are no such wagers, then a designated percentage of the net pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests; and the remainder shall be added to the carryover.

(B) Method 2, Pick (n) with Minor Pool and Carryover:

The major share of the net Pick (n) pool and the carryover, if any, shall be distributed to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first-place finisher of Pick (n) contests, the minor share of the net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests; and the major share shall be added to the carryover.

(C) Method 3, Pick (n) with No Minor Pool and No Carryover: The net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests, based upon the official order of finish. If there are no winning wagers, the pool is refunded.

(D) Method 4, Pick (n) with Minor Pool and No Carryover:

The major share of the net Pick (n) pool shall be distributed to those who selected the first place finisher in the greatest number of Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If the greatest number of the first-place finishers selected is one (1), the major and minor shares are combined for distribution as a single price pool. If there are no winning wagers, the pool is refunded.

(E) Method 5, Pick (n) with Minor Pool and No Carryover:

The major share of net Pick (n) pool shall be distributed to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contest, based upon the official order of finish. If there are no wagers selecting the first-place finisher in all Pick (n) contests, the entire net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests. If there are no winning wagers, the pool is refunded.

(iii) If there is a dead heat for first in any of the Pick (n) contests involving:

(A) Contestants representing the same betting interest, the Pick (n) pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interests, the Pick (n) pool shall be distributed as a single price pool with each winning wager receiving an equal share of the profit.

(iv) Should a betting interest in any of the Pick (n) contests be scratched, the actual favorite, as evidenced by total amounts wagered in the Win pool at the close of wagering on that contest, shall be substituted for the scratched betting interest for all purposes, including pool calculations. In the event that the Win pool total for two or more favorites is identical, the substitute selection shall be the betting interest with the lowest program number. The totalisator shall produce reports showing each of the wagering combinations with substituted betting interests which became winners as a result of the substitution, in addition to the normal winning combination.

(v) The Pick (n) pool shall be cancelled and all Pick (n) wagers for the individual performance shall be refunded if:

(A) At least two contests included as part of a Pick 3 are cancelled or declared "no contest".

(B) At least three contests included as part of a Pick 4, Pick 5 or Pick 6 are cancelled or declared "no contest".

(C) At least four contests included as part of a Pick 7, Pick 8 or Pick 9 are cancelled or declared "no contest".

(D) At least five contests included as part of a Pick 10 are cancelled or declared "no contest".

(E) At least five contests included as part of a pick 10 are cancelled or declared "no contest".

(vi) If at least one contest included as part of a Pick (n) is cancelled or declared "no contest", but not more than the number specified in subsection (E) of this rule, the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances.

(vii) The Pick (n) carryover may be capped at a designated level approved by the Commission so that if, at the close of a performance, the amount in the Pick (n) carryover equals or exceeds the designated cap, the Pick (n) carryover will be frozen until it is won or distributed under other provisions of this rule. After the Pick (n) carryover is frozen, 100 percent of the net pool, part of which ordinarily would be added to the Pick (n) carryover, shall be distributed to those whose selection finished first in the greatest number of Pick (n) contests for that performance.

(viii) A written request for permission to distribute the Pick (n) carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution.

(ix) Should the Pick (n) carryover be designated for distribution on a specified date and performance in which there are no wagers selecting the first-place finisher in each of the Pick (n) contests, the entire pool shall be distributed as a single prize pool to those whose selection finished first in the greatest number of Pick (n) contests. The Pick (n) carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

(A) Upon written approval from the Commission as provided in subsection viii of this rule.

(B) On the closing performance of the meet or split meet.

(x) If, for any reason, the Pick (n) carryover must be held over to the corresponding Pick (n) pool of subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Pick (n) carryover plus accrued interest shall then be added to the net Pick (n) pool of the following meet on a date and performance so designated by the Commission.

(xi) With the written approval of the Commission, the ~~association~~Permittee may contribute to the Pick (n) carryover a sum of money up to the amount of any designated cap.

(xii) Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of live tickets remaining is strictly prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.

(xiii) The ~~association~~Permittee may suspend, for a specific performance, previously-approved Pick (n) wagering with the prior approval of the Commission. Any carryover shall be held until the suspended Pick (n)

wagering is reinstated. An ~~association~~ Permittee may request approval of a Pick (n) wager or separate wagering pool for specific performances or events.

PICK & POOL

Multiple Takeout Rates & Multiple Betting Sources

(Net Price Calculation)

Percent Takeout	Gross Pool	Gross Amt. Bet on Win	Net Pool	Net Amt. Bet on Win
Source 1:				
16%	\$190,000.00	\$44.00	\$159,600.00	\$36.96
Source 2:				
18.5%	\$10,000.00	\$18.00	\$8,150.00	\$14.67
Source 3:				
21%	\$525,730.00	\$124.00	\$415,326.70	\$97.96
TOTALS:				
	\$725,730.00	\$186.00	\$583,076.70	\$149.59

Total Profit:

Total Net Pool - Total Net Bet on the Winning Combination = \$582,927.11

Profit Per Dollar:

Total Profit/Total Net Bet on the Winning Combination = \$3,896.8321

\$1 Unbroken Base Price: Profit Per Dollar + \$1 = \$3,897.8321

\$1 Unbroken Price for Source 1: \$1 Unbroken Base Price x (1 - Percent Takeout) = \$3,274.1789

\$1 Unbroken Price for Source 2: \$1 Unbroken Base Price x (1 - Percent Takeout) = \$3,176.7331

\$1 Unbroken Price for Source 3: \$1 Unbroken Base Price x (1 - Percent Takeout) = \$3,079.2873

(h) Quinella Pools

(i) The Quinella requires selection of the first two finishers, irrespective of order, for a single contest.

(ii) The net Quinella pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) If contestants of a coupled entry or mutuel field finish as the first two finishers, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise

(B) As a single price pool to those whose combination finished as the first two betting interests; but if there are no such wagers, then

(C) As a profit split to those whose combination included either the first- or second-place finisher; but if there are no such wagers on one of those two finishers, then

(D) As a single price pool to those whose combination included the one covered betting interest included within the first two finishers; but if there are no such wagers, then

(E) The entire pool shall be refunded on Quinella wagers for that contest.

(iii) If there is a dead heat for first involving:

(A) Contestants representing the same betting interest, the Quinella pool shall be distributed to those selecting the couple entry or mutuel field combined with the next separate betting interest in the official order of finish.

(B) Contestants representing two betting interests, the Quinella pool shall be distributed as if no dead heat occurred.

(C) Contestants representing three or more betting interest, the Quinella pool shall be distributed as a profit split.

(iv) If there is a dead heat for second involving contestants representing the same betting interest, the Quinella pool shall be distributed as if no dead heat occurred.

(v) If there is a dead heat for second involving contestants representing two or more betting interests, the Quinella pool shall be distributed to wagers in the following precedence, based upon the official order of finish:

(A) As a profit split to those combining the winner with any of the betting interest involved in the dead heat for second; but if there is only one covered combination, then

(B) As a single price pool to those combining the winner with the one covered betting interest involved in the dead heat for second; but if there are no such wagers, then

(C) As a profit split to those combining the betting interests involved in the dead heat for second; but if there are no such wagers, then

(D) As a profit split to those whose combination included the winner and any other betting interest and wagers selecting any of the betting interest involved in the dead heat for second; but if there are no such wagers, then

(E) The entire pool shall be refunded on Quinella wagers for that contest.

(i) Quinella Double Pools

(i) The Quinella Double requires selection of the first two finishers, irrespective of order, in each of two specified contests.

(ii) The net Quinella Double pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) If a coupled entry or mutuel field finishes as the first two contestants in either contest, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish for that contest, as well as the first two finishers in the alternate Quinella Double contest; otherwise

(B) As a single pool to those who selected the first two finishers in each of the two Quinella Double contests; but if there are no such wagers, then

(C) As a profit split to those who selected the first two finishers in either of the two Quinella Double contests; but if there are no such wagers on one of those contests, then

(D) As a single price pool to those who selected the first two finishers in the one covered Quinella Double contest; but if there were no such wagers, then

(E) The entire pool shall be refunded on Quinella Double wagers for those contests.

(iii) If there is a dead heat for first in either of the two Quinella Double contests involving:

(A) Contestants representing the same betting interest, the Quinella Double pool shall be distributed to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish for that contest.

(B) Contestants representing two betting interests, the Quinella Double pool shall be distributed as if no dead heat occurred.

(C) Contestants representing three or more betting interests, the Quinella Double pool shall be distributed as a profit split.

(iv) If there is a dead heat for second either of the Quinella Double contest involving contestants representing the same betting interests, the Quinella Double pool shall be distributed as profit split.

(v) Should a betting interest in the first-half of the Quinella Double be scratched prior to the first Quinella Double contest being declared official, all money wagered on combinations including the scratched betting interest shall be deducted from the Quinella Double pool and refunded.

(vi) Should a betting interest in the second-half of the Quinella Double be scratched prior to the close of wagering on the first Quinella Double contest, all money wagered on combinations including the scratched betting interest shall be deducted from the Quinella Double pool and refunded.

(vii) Should a betting interest in the second-half of the Quinella Double be scratched after the close of wagering on the first Quinella Double contest, all wagers combining the winning combination in the first contest with a combination including the scratched betting interest in the second contest shall be allocated a consolation payoff. In calculating the consolation payoff the net Quinella Double pool shall be divided by the total amount wagered on the winning combination in the first contest and an unbroken consolation price obtained. The unbroken consolation price is multiplied by the dollar value of wagers on the winning combination in the first contest combined with a combination including the scratched betting interest in the second contest to obtain the consolation payoff. Breakage is not utilized in this calculation. The consolation payoff is deducted from the net Quinella Double pool before calculation and distribution of the winning Quinella Double payoff. In the event of a dead heat involving separate betting interests, the net Quinella Double pool shall be distributed as a profit split.

(viii) If either of the Quinella Double contests is cancelled prior to the first Quinella Double contest, or the first Quinella Double contest is declared

"no contest", the entire Quinella Double pool shall be refunded on quinella Double wagers for those contests.

(ix) If the second Quinella Double contest is cancelled or declared "no contest" after the conclusion of the first Quinella Double contest, the net Quinella Double pool shall be distributed as a single price pool to wagers selecting the winning combination in the first Quinella Double contest. If there are no wagers selecting the winning combination in the first Quinella Double contest, the entire Quinella Double pool shall be refunded on Quinella Double wagers for those contests.

(j) Exacta Pools

(i) The Exacta requires selection of the first two finishers, in their exact order, for a single contest.

(ii) The net Exacta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) If contestants of a coupled entry or mutuel field finish as the first two finishers, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise

(B) As a single price pool to those whose combination finished in correct sequence as the first two betting interest; but if there are no such wagers, then

(C) As a profit split to those whose combination included either the first-place betting interest to finish first or the second-place betting interest to finish second; but if there are no such wagers on one of those two finishers, then

(D) As a single price pool to those whose combination included the one covered betting interest to finish first or second in the correct sequence; but if there are no such wagers, then

(E) The entire pool shall be refunded on Exacta wagers for that contest.

(iii) If there is a dead heat for first involving:

(A) Contestants representing the same betting interest, the Exacta pool shall be distributed as single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish.

(B) Contestants representing two or more betting interests, the Exacta pool shall be distributed as a profit split.

(iv) If there is a dead heat for second involving contestants representing the same betting interest, the Exacta pool shall be distributed as if no dead heat occurred.

(v) If there is a dead heat for second involving contestants representing two or more betting interests, the Exacta pool shall be distributed to ticket holders in the following precedence, based upon the official order of finish:

(A) As a profit split to those combining the first-place betting interest with any of the betting interest involved in the dead heat for second; but if there is only one covered combination, then

(B) As a single price pool to those combining the first-place betting interest with the one covered betting interest involved in the dead heat for second; but if there are no such wagers, then

(C) As a profit split to those wagers correctly selecting the winner for first-place and those wagers selecting any of the dead-heated betting interests for second-place; but if there are no such wagers, then

(D) The entire pool shall be refunded on Exacta wagers for that contest.

(k) Trifecta Pools

(i) The Trifecta requires selection of the first three finishers, in their exact order, for a single contest.

(ii) The net Trifecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(D) The entire pool shall be refunded on Trifecta wagers for that contest.

(iii) If less than three betting interests finish and the contest is declared official, payoffs will be made based upon the order of finish of those betting interests completing the contest. The balance of any selection beyond the number of betting interests completing the contest shall be ignored.

(iv) If there is a dead heat for first involving:

(A) Contestants representing three or more betting interests, all of the wagering combinations selecting three betting interests which correspond with any of the betting interests involved in the dead heat shall share in a profit split.

(B) Contestants representing two betting interests, both of the wagering combinations selecting the two dead-heated betting interests, irrespective of order, along with the third-place betting interest shall share in a profit split.

(v) If there is a dead heat for second, all of the combinations correctly selecting the winner combined with any of the betting interests involved in the dead heat for second shall share in a profit split.

(vi) If there is a dead heat for third, all wagering combinations correctly selecting the first two finishers, in correct sequence, along with any of the betting interests involved in the dead heat for third shall share in a profit split.

(l) Superfecta Pools

(i) The Superfecta requires selection of the first four finishers, in their exact order, for a single contest.

(ii) The net Superfecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(D) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(E) The entire pool shall be refunded on Superfecta wagers for that contest.

(iii) If less than four betting interests finish and the contest is declared official, payoffs will be made based upon the order of finish of those betting interests completing the contest. The balance of any selection beyond the number of betting interests completing the contest shall be ignored.

(iv) If there is a dead heat for first involving:

(A) Contestants representing four or more betting interests, all of the wagering combinations selecting four betting interests which correspond with any of the betting interests involved in the dead heat shall share in a profit split.

(B) Contestants representing three betting interests, all of the wagering combinations selecting the three dead-heated betting interests, irrespective of order, along with the fourth-place betting interest shall share in a profit split.

(C) Contestants representing two betting interests, both of the wagering combinations selecting the two dead-heated betting interests, irrespective of order, along with the third-place and fourth-place betting interests shall share in a profit split.

(v) If there is a dead heat for second involving:

(A) Contestants representing three or more betting interests, all of the wagering combinations correctly selecting the winner combined with any of the three betting interests involved in the dead heat for second shall share in a profit split.

(B) Contestants representing two betting interests, all of the wagering combinations correctly selecting the winner, the two dead-heated betting interests, irrespective of order, and the fourth-place betting interest shall share in a profit split.

(vi) If there is a dead heat for third, all wagering combinations correctly selecting the first two finishers, in correct sequence, along with any two

of the betting interests involved in the dead heat for third shall share in a profit split.

(vii) If there is a dead heat for fourth, all wagering combinations correctly selecting the first three finishers, in correct sequence, along with any of the betting interests involved in the dead heat for fourth shall share in a profit split.

(viii) Coupled entries and mutuel fields shall be prohibited in Superfecta contests.

(m) Twin Quinella Pools

(i) The Twin Quinella requires selection of the first two finishers, irrespective of order, in each of two designated contests. Each winning ticket for the first Twin Quinella contest must be exchanged for a free ticket on the second Twin Quinella contest in order to remain eligible for the second-half Twin Quinella pool. Such tickets may be exchanged only at attended ticket windows prior to the second Twin Quinella contest. There will be no monetary reward for winning the first Twin Quinella contest. Both of the designated Twin Quinella contests shall be included in only one Twin Quinella pool.

(ii) In the first Twin Quinella contest only, winning wagers shall be determined using the following precedence, based upon the official order of finish for the first Twin Quinella contest:

(A) If a coupled entry or mutuel field finishes as the first two finishers, those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish shall be winners; otherwise,

(B) Those whose combination finished as the first two betting interests shall be winners; but if there are no such wagers, then

(C) Those whose combination included either the first- or second-place finisher shall be winners; but if there are no such wager on one of those two finishers, then

(D) The entire pool shall be refunded on Twin Quinella wagers for that contest.

(iii) In the first Twin Quinella contest only, if there is a dead heat for first involving:

(A) Contestants representing the same betting interest, those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish shall be winners.

(B) Contestants representing two betting interests, the winning Twin Quinella wagers shall be determined as if no dead heat occurred.

(C) Contestants representing three or more betting interests, those whose combination included any two of the betting interests finishing in the dead heat shall be winners.

(iv) In the first Twin Quinella contest only, if there is a dead heat for second involving:

(A) Contestants representing the same betting interest, those who selected the first-place finisher combined with the coupled entry or mutuel field in second-place shall be winners.

(B) Contestants representing two or more betting interests, those who combined the first-place finisher with any of the betting interest involved in the dead for second shall be winners.

(v) In the second Twin Quinella contest only, the entire net Twin Quinella pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Quinella contest:

(A) If a coupled entry or mutuel field finishes as the first two finishers, as a single price pool to those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise

(B) As a single price pool to those whose combination finished as the first two betting interests; but if there are no such wagers, then

(C) As a profit split to those whose combination included either the first- or second-place finisher; but if there are no such wagers on one of those two finishers, then

(D) As a single price pool to those whose combination included the one covered betting interest included within the first two finishers; but if there are no such wagers, then

(E) In accordance with subsection (ii) of the Twin Quinella rules.

(vi) In the second Twin Quinella contest only, if there is a dead heat for first involving:

(A) Contestants representing the same betting interest, the net Twin Quinella pool shall be distributed to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish.

(B) Contestants representing two betting interests, the net Twin Quinella pool shall be distributed as if no dead heat occurred.

(C) Contestants representing three or more betting interests, the net Twin Quinella pool shall be distributed as profit split to those whose combination included any two of the betting interests finishing in the dead heat.

(vii) In the second Twin Quinella contest only, if there is a dead heat for second involving:

(A) Contestants representing the same betting interest, the net Twin Quinella pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interests, the net Twin Quinella pool shall be distributed as a profit split to those who combined the first-place finisher with any of the betting interests involved in the dead heat for second.

(viii) If a winning ticket for the first-half of the Twin Quinella is not presented for exchange prior to the close of betting on the second-half Twin Quinella contest, the ticket holder forfeits all rights to any distribution of the Twin Quinella pool resulting from the outcome of the second contest.

(ix) Should a betting interest in the first-half of the Twin Quinella be scratched, those Twin Quinella wagers including the scratched betting interest shall be refunded.

(x) Should a betting interest in the second-half of the Twin Quinella be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Twin Quinella contest, the ticket holder forfeits all rights to the Twin Quinella pool.

(xi) If either of the Twin Quinella contests is cancelled prior to the first Twin Quinella contest, or the first Twin Quinella contest is declared "no

contest", the entire Twin Quinella pool shall be refunded on Twin Quinella wagers for that contest.

(xii) If the second-half Twin Quinella contest is cancelled or declared "no contest" after the conclusion of the first Twin Quinella contest, the net Twin Quinella pool shall be distributed as a single price pool to wagers selecting the winning combination in the first Twin Quinella contest and all valid exchange tickets. If there are no such wagers, the net Twin Quinella pool shall be distributed as described in subsection (ii) of the Twin Quinella rules.

(n) Twin Trifecta Pools

(i) The Twin Trifecta requires selection of the first three finishers, in their exact order, in each of two designated contests. Each winning ticket for the first Twin Trifecta contest must be exchanged for a free ticket on the second Twin Trifecta contest in order to remain eligible for the second-half Twin Trifecta pool. Such tickets may be exchanged only at attended ticket windows prior to the second Twin Trifecta contest. Winning first-half Twin Trifecta wagers will receive both an exchange and a monetary payoff. Both of the designated Twin Trifecta contests shall be included in only one Twin Trifecta pool.

(ii) After wagering closes for the first-half of the Twin Trifecta and commissions have been deducted from the pool, the net pool shall then be divided into separate pools: the first-half Twin Trifecta pool and the second-half Twin Trifecta pool.

(iii) In the first Twin Trifecta contest only, winning wagers shall be determined using the following precedence, based upon the official order of finish for the first Twin Trifecta contest:

(A) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(D) The entire Twin Trifecta pool shall be refunded on Twin Trifecta wagers for that contests and the second-half shall be cancelled.

(iv) If no first-half Twin Trifecta ticket selects the first three finishers of that contest in exact order, winning ticket holders shall not receive any exchange tickets for the second-half Twin Trifecta pool. In such case, the second-half Twin Trifecta pool shall be retained and added to any existing Twin Trifecta carryover pool.

(v) Winning tickets from the first-half of the Twin Trifecta shall be exchanged for tickets selecting the first three finishers of the second-half of the Twin Trifecta. The second-half Twin Trifecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Trifecta contest:

(A) As a single price pool, including any existing carryover monies, to those whose combination finished in correct sequence as the first three betting interests; but if there are no such tickets, then

(B) The entire second-half Twin Trifecta pool for that contest shall be added to any existing carryover monies and retained for the corresponding second-half Twin Trifecta pool of the next consecutive performance.

(vi) If a winning first-half Twin Trifecta ticket is not presented for cashing and exchange prior to the second-half Twin Trifecta contest, the ticket holder may still collect the monetary value associated with the first-half Twin Trifecta pool but forfeits all rights to any distribution of the second-half Twin Trifecta pool.

(vii) Should a betting interest in the first-half of the Twin Trifecta be scratched, those Twin Trifecta wagers including the scratched betting interest shall be refunded.

(viii) Should a betting interest in the second-half of the Twin Trifecta be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Twin Trifecta contest, the ticket holder forfeits all rights to the second-half Twin Trifecta pool.

(ix) If, due to a late scratch, the number of betting interests in the second-half of the Twin Trifecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets shall be entitled to the second-half Twin Trifecta pool for the contest as a single price pool, but not the Twin Trifecta carryover.

(xi) If there is a dead heat or multiple dead heats in either the first- or second-half of the Twin Trifecta, all Twin Trifecta wagers selecting the

correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, shall be a winner. In the case of a dead heat occurring in:

(A) The first-half of the Twin Trifecta, the payoff shall be calculated as a profit split.

(B) The second-half of the Twin Trifecta, the payoff shall be calculated as a single price pool.

(xii) If either of the Twin Trifecta contests are cancelled prior to the first Twin Trifecta contest is declared "no contest", the entire Twin Trifecta pool shall be refunded on Twin Trifecta wagers for that contest and the second-half shall be cancelled.

(xiii) If the second-half Twin Trifecta contest is cancelled or declared "no contest", all exchange tickets and outstanding first-half winning Twin Trifecta tickets shall be entitled to the net Twin Trifecta pool for that contest as a single price pool, but not Twin Trifecta carryover. If there are no such tickets, the net Twin Trifecta pool shall be distributed as described in subsection (iii) of the Twin Trifecta rules.

(xiv) The Twin Trifecta carryover may be capped at a designated level approval by the Commission so that if, at the close of any performance, the amount in the Twin Trifecta carryover equals or exceeds the designated cap, the Twin Trifecta carryover will be frozen until it is won or distributed under other provisions of this rule. After the Twin Trifecta carryover is frozen, 100 percent of the net Twin Trifecta pool for each individual contest shall be distributed to winners of the first-half of the Twin Trifecta pool.

(xv) A written request for permission to distribute the Twin Trifecta carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived and the intended date and performance for the distribution.

(xvi) Should the Twin Trifecta carryover be designated for distribution on a specified date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Twin Trifecta after completion of the first-half of the Twin Trifecta:

(A) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(D) As a single price pool to holders of valid exchange tickets.

(E) As a single price pool to holders of outstanding first-half winning tickets.

(xvii) Contrary to subsection (iv) of the Twin Trifecta rules, during a performance designated to distribute the Twin Trifecta carryover, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Twin Trifecta. If there are no wagers correctly selecting the first-, second-, and third-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first-place betting interest only. If there are no wagers selecting the first-place betting interest only in the first-half of the Twin Trifecta, all first-half tickets will become winners and will receive 100 percent of that day's net Twin Trifecta pool and any existing Twin Trifecta carryover as a single price pool.

(xviii) The Twin Trifecta carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

(A) Upon written approval from the Commission as provided in subsection (xv) of the Twin Trifecta rules.

(B) Upon written approval from the Commission when there is a change in the carryover cap or when the Twin Trifecta is discontinued.

(C) On the closing performance of the meet or split meet.

(xix) If, for any reason, the Twin Trifecta carryover must be held over to the corresponding Twin Trifecta pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Twin Trifecta carryover plus accrued interest shall then be added to the

second-half Twin Trifecta pool of the following meet on a date and performance so designated by the Commission.

(xx) Providing information to any person regarding covered combinations amounts wagered on specific combinations or number of tickets sold is prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.

(xxi) The ~~association~~Permittee must obtain written approval from the Commission concerning the scheduling of Twin Trifecta contests, the percentages of the net pool added to the first-half pool and second-half pool, and the amount of any cap to be set on the carryover. Any changes to the approved Twin Trifecta format require prior approval from the Commission.

(o) Tri-Superfecta Pools

(i) The Tri-Superfecta requires selection of the first three finishers, in their exact order, in the first of two designated contests and the first four finishers, in exact order, in the second of the two designated contests. Each winning ticket for the first Tri-Superfecta contest must be exchanged for a free ticket on the second Tri-Superfecta contest in order to remain eligible for the second-half Tri-Superfecta pool. Such tickets may be exchanged only at attended ticket windows prior to the second Tri-Superfecta contest. Winning first-half Tri-Superfecta tickets will receive both an exchange and a monetary payoff. Both of the designated Tri-Superfecta contests shall be included in only one Tri-Superfecta pool.

(ii) After wagering closes for the first-half of the Tri-Superfecta and commissions have been deducted from the pool, the net pool shall then be divided into two separate pools: the first-half Tri-Superfecta pool and the second-half Tri-Superfecta pool.

(iii) In the first Tri-Superfecta contest only, winning tickets shall be determined using the following precedence, based upon the official order of finish for the first Tri-Superfecta contest:

(A) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(D) The entire Tri-Superfecta pool shall be refunded on Tri-Superfecta wagers for that contest and the second-half shall be cancelled.

(iv) If no first-half Tri-Superfecta ticket selects the first three finishers of that contest in exact order, winning ticket holders shall not receive any exchange tickets for the second-half Tri-Superfecta pool. In such case, the second-half Tri-Superfecta pool shall be retained and added to any existing Tri-Superfecta carryover pool.

(v) Winning tickets from the first-half of the Tri-Superfecta shall be exchanged for tickets selecting the first four finishers of the second-half of the Tri-Superfecta. The second-half Tri-Superfecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Tri-Superfecta contest:

(A) As a single price pool, including any existing carryover monies, to those whose combination finished in correct sequence as the first four betting interests; but if there are no such tickets, then

(B) The entire second-half Tri-Superfecta pool for that contest shall be added to any existing carryover monies and retained for the corresponding second-half Tri-Superfecta pool of the next performance.

(vi) If a winning first-half Tri-Superfecta ticket is not presented for cashing and exchange prior to the second-half Tri-Superfecta contest, the ticket holder may still collect the monetary value associated with the first-half Tri-Superfecta pool but forfeits all rights to any distribution of the second-half Tri-Superfecta pool.

(vii) Coupled entries and mutuel fields shall be prohibited in Tri-Superfecta contests.

(viii) Should a betting interest in the first-half of the Tri-Superfecta be scratched, those Tri-Superfecta tickets including the scratched betting interest shall be refunded.

(ix) Should a betting interest in the second-half of the Tri-Superfecta be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Tri-Superfecta contest, the ticket holder forfeits all rights to the second-half Tri-Superfecta pool.

(x) If, due to a late scratch, the number of betting interests in the second-half of the Tri-Superfecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets shall be entitled to the second-half Tri-Superfecta pool for that contest as a single price pool, but not the Tri-Superfecta carryover.

(xi) If there is a dead heat or multiple dead heats in either the first- or second-half of the Tri-Superfecta, all Tri-Superfecta tickets selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, shall be a winner. In the case of a dead heat occurring in:

(A) The first-half of the Tri-Superfecta, the payoff shall be calculated as a profit split.

(B) The second-half of the Tri-Superfecta, the payoff shall be calculated as a single price pool.

(xii) If either of the Tri-Superfecta contests are cancelled prior to the first Tri-Superfecta contest, or the first Tri-Superfecta contest is declared "no contest", the entire Tri-Superfecta pool shall be refunded on Tri-Superfecta wagers for that contest and the second-half shall be cancelled.

(xiii) If the second-half Tri-Superfecta contest is cancelled or declared "no contest", all exchange tickets and outstanding first-half winning Tri-Superfecta tickets shall be entitled to the net Tri-Superfecta pool for that contest as a single price pool, but not the Tri-Superfecta carryover. If there are no such tickets, the net Tri-Superfecta pool shall be distributed as described in subsection (iii) of the Tri-Superfecta rules.

(xiv) The Tri-Superfecta carryover may be capped at a designated level approved by the Commission so that if, at the close of any performance, the amount in the Tri-Superfecta carryover equals or exceeds the designated cap, the Tri-Superfecta carryover will be frozen until it is won or distributed under other provisions of this rule. After the second-half Tri-Superfecta carryover is frozen, 100 percent of the net Tri-Superfecta pool for each individual contest shall be distributed to winners of the first-half of the Tri-Superfecta pool.

(xv) A written request for permission to distribute the Tri-Superfecta as a carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution.

(xvi) Should the Tri-Superfecta carryover be designated for distribution on a specified date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Tri-Superfecta after completion of the first-half of the Tri-Superfecta:

(A) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(D) As a single price pool to holders of valid exchange tickets.

(E) As a single price pool to holders of outstanding first-half winning tickets.

(xvii) Contrary to subsection (iv) of the Tri-Superfecta rules, during a performance designated to distribute Tri-Superfecta carryover, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Tri-Superfecta. If there are no wagers correctly selecting the first-, second-, and third-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first-place betting interest only. If there are no such wagers selecting the first-place betting interest only in the first-half of the Tri-Superfecta, all first-half tickets will become winners and will receive 100 percent of that day's net Tri-Superfecta pool and any existing Tri-Superfecta carryover as a single price pool.

(xviii) The Tri-Superfecta carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

(A) Upon written approval from the Commission as provided in subsection (xv) of the Tri-Superfecta rules.

(B) Upon written approval from the Commission when there is a change in the carryover cap or when the Tri-Superfecta is discontinued.

(C) On the closing performance of the meet or split meet.

(xix) If, for any reason, the Tri-Superfecta carryover must be held over to the corresponding Tri-Superfecta pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Tri-Superfecta carryover plus accrued interest shall then be added to the second-half Tri-Superfecta pool of the following meet on a date and performance so designated by the Commission.

(xx) Providing information to any person regarding covered combinations, amounts wagered on specific combinations or number of tickets sold is prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.

(xxi) The associationPermittee must obtain written approval from the Commission concerning the scheduling of Tri-Superfecta contests, the percentages of the net pool added to the first-half pool and second-half pool, and amount of any cap to be set on the carryover. Any changes to the approved Tri-Superfecta format require approval from the Commission.

(p) Twin Superfecta Pools

(i) The Twin Superfecta requires selection of the first four finishers, in their exact order, in each of two designated contests. Each winning ticket for the first Twin Superfecta contest must be exchanged for a free ticket on the second Twin Superfecta contest in order to remain eligible for the second-half Twin Superfecta pool. Such tickets may be exchanged only at attended tickets windows prior to the second Twin Superfecta contest. Winning first-half Twin Superfecta tickets will receive both an exchange and a monetary payoff. Both of the designated Twin Superfecta contests shall be included in only one Twin Superfecta pool.

(ii) After wagering closes for the first-half of the Twin Superfecta and commissions have been deducted from the pool, the net pool shall then be divided into two separate pools: the first-half Twin Superfecta pool and the second-half Twin Superfecta pool.

(iii) In the first Twin Superfecta contest only, winning wagers shall be determined using the following precedence, based upon the official order of finish for the first Twin Superfecta contest:

(A) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(D) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(E) The entire Twin Superfecta pool shall be refunded on Twin Superfecta wagers for that contest and the second-half shall be cancelled.

(iv) If no first-half Twin Superfecta ticket selects the first four finishers of that contest in exact order, winning ticket holders shall not receive any exchange tickets for the second-half Twin Superfecta pool. In such case, the second-half Twin Superfecta pool shall be retained and added to any existing Twin Superfecta carryover pool.

(v) Winning tickets from the first-half of the Twin Superfecta shall be exchanged for tickets selecting the first four finishers of the second-half of the Twin Superfecta. The second-half Twin Superfecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Superfecta contest:

(A) As a single price pool, including any existing carryover monies, to those whose combination finished in correct sequence as the first four betting interests; but if there are no such tickets, then

(B) The entire second-half Twin Trifecta pool for that contest shall be added to any existing carryover monies and retained for the corresponding second-half Twin Superfecta pool of the next performance.

(vi) If a winning first-half Twin Superfecta ticket is not presented for cashing and exchange prior to the second-half Twin Superfecta contest, the ticket holder may still collect the monetary value associated with the first-half Twin Superfecta pool but forfeits all rights to any distribution of the second-half Twin Trifecta pool.

(vii) Coupled entries and mutuel fields shall be prohibited in Twin Superfecta contests.

(viii) Should a betting interest in the first-half of the Twin Superfecta be scratched, those Twin Superfecta tickets including the scratched betting interest shall be refunded.

(ix) Should a betting interest in the second-half of the Twin Superfecta be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Twin Superfecta contest, the ticket holder forfeits all rights to the second-half Twin Superfecta pool.

(x) If, due to a late scratch, the number of betting interests in the second-half of the Twin Superfecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets shall be entitled to the second-half Twin Superfecta pool for that contest as a single price pool, but not the Twin Superfecta carryover.

(xi) If there is a dead heat or multiple dead heats in either the first- or second-half of the Twin Superfecta, all Twin Superfecta tickets selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, shall be a winner. In the case of a dead heat occurring:

(A) The first-half of the Twin Superfecta, the payoff shall be calculated as a profit split.

(B) The second-half of the Twin Superfecta, the payoff shall be calculated as a single price pool.

(xii) If either of the Twin Superfecta contests are cancelled prior to the first Twin Superfecta contest, or the first Twin Superfecta contest is declared "no contest", the entire Twin Superfecta pool shall be refunded on Twin Superfecta wagers for that contest and the second-half shall be cancelled.

(xiii) If the second-half Twin Superfecta contest is cancelled or declared "no contest", all exchange tickets and outstanding first-half winning Twin Superfecta tickets shall be entitled to the net Twin Superfecta pool for that contest as a single price pool, but not the Twin Superfecta carryover. If there are no such tickets, the net Twin Superfecta pool shall be distributed as described in subsection 3 of the Twin Superfecta rules.

(xiv) The Twin Superfecta carryover may be capped at a designated level approved by the Commission so that if, at the close of any

performance, the amount in the Twin Superfecta carryover equals or exceeds the designated cap, the Twin Superfecta carryover will be frozen until it is won or distributed under other provisions of this rule. After the second-half Twin Superfecta carryover is frozen, 100 percent of the net Twin Superfecta pool for each individual contest shall be distributed to winners of the first-half of the Twin Superfecta pool.

(xv) A written request for permission to distribute the Twin Superfecta carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution.

(xvi) Should the Twin Superfecta carryover be designated for distribution on a specified date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Twin Superfecta after completion of the first-half of the Twin Superfecta:

(A) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(D) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(E) As a single price pool to holders of valid exchange tickets.

(F) As a single price pool to holders of outstanding first-half winning tickets.

(xvii) Contrary to subsection (iv) of the Twin Superfecta rules, during a performance designated to distribute the Twin Superfecta carryover, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Twin Superfecta. If there are no wagers correctly selecting the first-, second-, third-, and fourth-place finishers, in their exact order, then exchange tickets shall

be issued for combinations correctly selecting the first-, second-, third-place betting interests. If there are no wagers correctly selecting the first-, second-, third-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, the exchange tickets shall be issued for combinations correctly selecting the first-place betting interest only. If there are no wagers selecting the first-place betting interest only in the first-half of the Twin Superfecta, all first-half tickets will become winners and will receive 100 percent of that day's net Twin Superfecta pool and any existing Twin Superfecta carryover as a single price pool.

(xviii) The Twin Superfecta carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

(A) Upon written approval from the Commission as provided in subsection (xv) of the Twin Superfecta rules.

(B) Upon written approval from the Commission when there is a change in the carryover cap or when the Twin Superfecta is discontinued.

(C) On the closing performance of the meet or split meet.

(xix) If, for any reason, the Twin Superfecta carryover must be held over to the corresponding Twin Superfecta pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Twin Superfecta carryover plus accrued interest shall then be added to the second-half Twin Superfecta pool of the following meet on a date and performance so designated by the Commission.

(xx) Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of valid exchange tickets is prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.

(xxi) The ~~association~~Permittee must obtain written approval from the Commission concerning the scheduling of Twin Superfecta contests, the percentages of the net pool added to the first-half pool and second-half pool, and the amount of any cap to be set on the carryover. Any changes to the approved Twin Superfecta format require prior approval from the Commission.

Section 5. Wagering on Historic Horse Racing

(a) Permittees may offer historic horse racing wagers subject to the Association of Racing Commissioners International, Inc.'s (ARCI) model rules. Specifically, the ARCI model rules on "Instant Racing Pools," Version 5.4, ARCI-004-155 Proprietary Wagers, Section A(1)-(7), Instant Racing Pools.

(b) All ARCI model rule provisions cited in this section, including their Appendices, revised and published as of July 1, 2013, not including any later amendments, are incorporated by reference. Copies of the model rules are available for public inspection and copies can be obtained at cost from the Wyoming Pari-Mutuel Commission, 951 Werner Court, Suite 335, Casper, WY 82601. Copies of the model rules also may be obtained electronically on the Wyoming Pari-Mutuel Commission's website located at <http://parimutuel.state.wy.us/>.

Referenced in Chapter 9, Section 5. Wagering on Historic Racing.

Association of Racing Commissioners International, Inc.'s (ARCI) referenced model rules Version 5.4, ARCI-004-155, Section A(1)-(7)

ARCI-004-155 Proprietary Wagers

A. Instant Racing Pools

(1) Instant Racing #1 (Electronic 1-2-3 “Classic”)

- (a) Notice: This pool type may be subject to proprietary claims.
- (b) Summary: Electronic 1-2-3 requires selection of the first three finishers for a single contest selected from a historical library of previously run contests that are replayed from a central video server according to the following procedures:
 - (A) The contest from the historical library is selected at random before the player enters any selection.
 - (B) The player may examine one or more charts including past performance information showing the relative merits of the contestants as they actually were on the day of the contest.
 - (C) After the player's selections are registered, the identity of the contest is revealed, a video segment of the contest finish is shown, and the actual official results are displayed.
 - (D) A player wins by matching some or all of the first three finishers in one of six different ways. Any winnings may be collected instantly.
 - (E) A player must risk a second unit bet in the wager to qualify for the highest value pool (3 Exact Order).
- (c) Wager Amount:
 - (A) The Association shall designate acceptable values for wagering units. The player may enter only one or two unit bets per play.
- (d) Pool Split: After the applicable takeout has been deducted from the wager, the remaining amount shall be apportioned among several separate pools which have been carried over from previous contests played by all players:
 - (A) The remaining amount (after takeout) of the first unit bet shall be apportioned among six pools, including one pool for each of five ways to win, and to the first Seed Pool when it is below its designated cap amount.

- (B) The remaining amount (after takeout) of the second unit bet, if wagered, shall be apportioned to the highest value pool (3 Exact Order), and to the second Seed Pool when it is below its designated cap amount.
- (e) Ways to Win: Wagers may qualify to win in up to six different ways, including:
 - (A) 3 Exact Order: The player's selections correctly match the first three finishers in exact order, only for players who risked two unit bets in the wager.
 - (B) 3 Any Order: The player's selections correctly match the first three finishers in any order.
 - (C) Top 2 Exact Order: The player's top two selections correctly match the first two finishers in exact order.
 - (D) 3 to get Top 2: Any of the player's three selections correctly match the first two finishers in any order.
 - (E) Top Pick Wins Contest: The player's top selection correctly matches the first (winning) finisher.
 - (F) Any 2 of 3: The player's selections correctly match any two of the first three finishers in any order.
- (f) Payment Calculation:
 - (A) The winning price shall be the entire amount in the pool for which the wager qualifies, less the price round-off (breakage).
 - (B) When the first unit bet qualifies to win more than one of its five ways to win, only the largest single pool amount qualified for shall be paid.
 - (C) When the second unit bet qualifies to win the 3 Exact Order pool, the winning price shall be added to the winning price from the first unit bet.
 - (D) Each way to win has a minimum payoff amount for winning wagers, which shall be posted.
 - (E) In the case of a minus pool, the minimum payoff amount shall not be less than the amount of one unit bet wagered.
 - (F) If two players qualify to win the same pool within a short time, the first winner shall be paid the current pool and the second shall be paid the new pool, which begins with the minimum payoff amount.
- (g) Dead Heat: A contest that has a dead heat for first, second, or third shall not be used for Electronic 1-2-3 wagering.
- (h) Coupled Entries, Mutuel Fields:
 - (A) A contest involving coupled entries or mutuel fields shall not be used for Electronic 1-2-3 wagering if there are two or more betting interests live for a single contestant number.

- (B) The one remaining live betting interest of a coupled entry or mutuel field shall be represented by its contestant number without a letter. For example, contestant number “1” represents either contestant “1” or “1A”.
- (i) Seed Pool: To cover the cases when one of the minimum payoff amounts is paid, the Seed Pool is accumulated from a designated percent of wagers.
 - (A) Each time a pool’s minimum payoff amount is paid in excess of the actual amount available in the pool, the shortfall shall be deducted from the Seed Pool.
 - (B) After a pool is paid, the actual amount of the pool may be seeded from the Seed Pool to a specified initial amount less than or equal to its minimum payoff amount.
 - (C) While the Seed Pool is below a designated cap amount, the allotments to the other pools are each decreased and the difference shall be allotted to the Seed Pool.
 - (D) The Seed Pool of the first unit bet shall be kept separate from that of the second unit bet.
- (j) Commission Approval:
 - (A) The takeout rate may not exceed a maximum rate approved by the Commission. Subject to that restriction, the takeout rate shall be set by the Association and reported to the Commission.
 - (B) The method of apportioning wagers to each of the pools shall be approved by the Commission.
 - (C) The method of seeding pools shall be approved by the Commission.
 - (D) Should the Electronic 1-2-3 pools be designated for termination or mandatory distribution on a specific date, a method approved by the Commission shall be used.
 - (E) The method of picking the random numbers used for selecting contests and past performance charts shall be certified by an independent authority that is approved by the Commission.
 - (F) The designated values of wagering units shall be approved by the Commission.
- (2) Instant Racing #1.1 (Electronic 1-2-3 with Pick-N)**
 - (a) Notice: This pool type may be subject to proprietary claims.
 - (b) Summary: Electronic 1-2-3 requires selection of the first three finishers for a single contest selected from a historical library of previously run contests that are replayed from a central video server according to the following procedures:
 - (A) The contest from the historical library is selected at random before the player enters any selection.

- (B) The player may examine one or more charts including past performance information showing the relative merits of the contestants as they actually were on the day of the contest.
 - (C) After the player's selections are registered, the identity of the contest is revealed, a video segment of the contest finish is shown, and the actual official results are displayed.
 - (D) A player wins by matching some or all of the first three finishers in one of several different ways. Any winnings may be collected instantly.
 - (E) A player must risk a second unit bet in the wager to qualify for the highest value pool(s) (for example the Pick N, matching the first finisher in N consecutive contests).
- (c) Wager Amount:
- (A) The Association shall designate acceptable values for wagering units.
 - (B) The player may enter only one or two unit bets per play.
- (d) Pool Split: After the applicable takeout has been deducted from the wager, the remaining amount shall be apportioned among several separate pools which have been carried over from previous contests played by all players:
- (A) The remaining amount (after takeout) of the first unit bet shall be apportioned among the first unit bet pools, including one pool for each of several ways to win, and to the first Seed Pool when it is below its designated cap amount.
 - (B) The remaining amount (after takeout) of the second unit bet, if wagered, shall be apportioned among the second unit bet pools (for example the Pick N), and to the second Seed Pool when it is below its designated cap amount.
- (e) Ways to Win for the First Unit Bet: For players who risked either one or two unit bets in each wager, some or all of the following ways to win, or other similar ways, may be declared:
- (A) 3 Exact Order: The player's selections correctly match the first three finishers in exact order.
 - (B) 3 Any Order: The player's selections correctly match the first three finishers in any order.
 - (C) Top 2 Exact Order: The player's top two selections correctly match the first two finishers in exact order.
 - (D) 3 to get Top 2: Any of the player's three selections correctly match the first two finishers in any order.
 - (E) Top Pick Wins Contest: The player's top selection correctly matches the first (winning) finisher.

- (F) Any 2 of 3: The player's selections correctly match any two of the first three finishers in any order.
- (f) Ways to Win for the Second Unit Bet: For players who risked two unit bets in each wager, some or all of the following ways to win, or other similar ways, may be declared:
 - (A) Pick N: The player has won the Top Pick Wins Contest pool in N consecutive plays, with two unit bets in each wager. After winning the Pick N pool, the player must start over accumulating wins to be eligible for either the Pick N pool or the Pick N-1 pool again.
 - (B) Pick N-1: The player has won the Top Pick Wins Contest pool in N-1 consecutive plays, with two unit bets in each wager.
 - (C) Pick N Consolation: The player has won the Top Pick Wins Contest pool in N-1 consecutive plays and then has lost in the next, with two unit bets in each wager. The Pick N Consolation shall be declared as a way to win only when the Pick N-1 pool has not been.
- (g) Payment Calculation:
 - (A) For each way to win except the Pick N Consolation, the winning price shall be the entire amount in the pool for which the wager qualifies, less the price round-off (breakage).
 - (B) For the Pick N Consolation, the winning price shall be a designated percentage of the amount in the Pick N pool, less the price round-off (breakage). The remaining amount of the Pick N pool carries forward for the next Pick N winner.
 - (C) When the first unit bet qualifies to win more than one of its ways to win, only the largest single pool amount qualified for shall be paid.
 - (D) When the second unit bet qualifies to win more than one of its ways to win, only the largest single pool amount qualified for shall be paid.
 - (E) When the second unit bet qualifies to win, its winning price shall be added to the winning price from the first unit bet.
 - (F) Each way to win has a minimum payoff amount for winning wagers, which shall be posted.
 - (G) In the case of a minus pool, the minimum payoff amount shall not be less than the amount of one unit bet wagered.
 - (H) If two players qualify to win the same pool within a short time, the first winner shall be paid the current pool and the second shall be paid the new pool, which begins with the minimum payoff amount.
- (h) Dead Heat: A contest that has a dead heat for first, second, or third shall not be used for Electronic 1-2-3 wagering.

- (i) Coupled Entries, Mutuel Fields:
 - (A) A contest involving coupled entries or mutuel fields shall not be used for Electronic 1-2-3 wagering if there are two or more betting interests live for a single contestant number.
 - (B) The one remaining live betting interest of a coupled entry or mutuel field shall be represented by its contestant number without a letter. For example, contestant number "1" represents either contestant "1" or "1A".
- (j) Seed Pool: To cover the cases when one of the minimum payoff amounts is paid, the Seed Pool is accumulated from a designated percent of wagers.
 - (A) Each time a pool's minimum payoff amount is paid in excess of the actual amount available in the pool, the shortfall shall be deducted from the Seed Pool.
 - (B) After a pool is paid, the actual amount of the pool may be seeded from the Seed Pool to a specified initial amount less than or equal to its minimum payoff amount.
 - (C) While the Seed Pool is below a designated cap amount, the allotments to the other pools are each decreased and the difference shall be allotted to the Seed Pool.
 - (D) The Seed Pool of the first unit bet shall be kept separate from that of the second unit bet.
- (k) Commission Approval:
 - (A) The takeout rate may not exceed a maximum rate approved by the Commission. Subject to that restriction, the takeout rate shall be set by the Association and reported to the Commission.
 - (B) The method of apportioning wagers to each of the pools shall be approved by the Commission.
 - (C) The method of seeding pools shall be approved by the Commission.
 - (D) The number of pools and specific "Ways to Win", declared from time to time by the Association from the list set forth above or other similar ways, shall be approved by the Commission.
 - (E) When a Pick N Consolation has been declared as a way to win, the percentage of the Pick N pool to be paid as a consolation shall be approved by the Commission.
 - (F) Should the Electronic 1-2-3 pools be designated for termination or mandatory distribution on a specific date, a method approved by the Commission shall be used.

(G) The method of picking the random numbers used for selecting contests and past performance charts shall be certified by an independent authority that is approved by the Commission.

(H) The designated values of wagering units shall be approved by the Commission.

(3) Instant Racing #2 (Across The Board)

(a) Notice: This pool type may be subject to proprietary claims.

(b) Summary: Across the Board requires selection of the first three finishers for a single contest selected from a historical library of previously run contests that are replayed from a central video server according to the following procedures:

(A) The contest from the historical library is selected at random before the player enters any selection.

(B) The player may examine one or more charts including past performance information showing the relative merits of the contestants as they actually were on the day of the contest.

(C) Contestant numbers are placed on a card with nine boxes arranged in a square of three columns and three rows. The player chooses three selections that are placed in the boxes according to one of the methods described below. See Methods of Filling Cards.

(D) The first column, on the left side, contains the contestant numbers selected to finish first (win); the second column contains the contestant numbers selected to finish first or second (place); the third column contains the contestant numbers selected to finish first, second or third (show).

(E) After the player's selections are registered, the identity of the contest is revealed, a video segment of the contest finish is shown, and the actual official results are displayed.

(F) A player wins by matching some or all of the boxes on a card with the first, second, and third finishers in any of several different ways. See Ways to Win. Any winnings may be collected instantly.

(G) A player may purchase from one to four card bets per wager, at one unit bet per card.

(H) A player who purchases three cards in one wager participates in the 3-Card-Bonus pool (if available), and player who purchases four cards in one wager also participates in the 4-Card-Bonus pool (if available).

(c) Methods of Filling Cards: One of the following methods shall be used to place contestant numbers in the nine boxes of each card:

(A) Method 1: Selections from the list of all valid contestant numbers shall be placed at random into the nine boxes on each card, in a manner such that the

player's first selection shall appear at least once in the first (win) column, the second shall appear at least once in the second (place) column, the third shall appear at least once in the third (show) column, and least a specified number (N) of the nine shall be selected from among player's three contestant number selections.

- (B) Method 2: The player's three contestant number selections shall be placed in order in the diagonal "\" of each card, with the first player selection in the upper left box, the second in the middle box, and the third in the lower right box. The remaining six boxes of each card shall be filled at random from the list of all valid contestant numbers, in a manner such that at least a specified number (N) of the six shall be selected from among player's three contestant number selections.
 - (C) Method 3: The player's three contestant number selections shall be placed in random order in the diagonal "\" of each card, which includes the upper left box, the middle box, and the lower right box. The remaining six boxes of each card shall be filled at random from list of all valid contestant numbers, in a manner such that at least a specified number (N) of the six shall be selected from among player's three contestant number selections.
 - (D) Method 4: Selections from the list of all valid contestant numbers shall be placed at random into the nine boxes on each card, in a manner such that at least a specified number (N) of the boxes shall be selected from among player's three contestant number selections, and each of the player's three selections shall appear at least once on the card.
 - (E) Free Box: For any of the above methods, the Association may elect to include in a card one or more Free Boxes that match any finishing number.
- (d) Wager Amount:
- (A) The Association shall designate acceptable values for wagering units.
 - (B) The player may enter only one to four unit bets per play.
 - (C) One unit bet purchases a wager on the first card; two unit bets purchase a wager on each of the first two cards; three unit bets purchase a wager on each of the first three cards; four unit bets purchase a wager on each of the four cards.
- (e) Pool Split: After the applicable takeout has been deducted from the wager, the remaining amount shall be apportioned among several separate pools which have been carried over from previous contests played by all players:
- (A) The remaining amount (after takeout) of the bet amount shall be apportioned among a pool for each of several ways to win, and to the Seed Pool when it is below its designated cap amount.

- (f) The takeout rate and allocation to each pool may be different for each of the bet amounts from one to four units.
- (g) Ways to Win: A wager may qualify to win in any of several different ways on any of the cards in the wager:
 - (A) One or more of the ways to win may be designated as the 3-Card Bonus pool, only for those players who purchase three or four cards in one wager.
 - (B) One or more of the ways to win may be designated as the 4-Card Bonus pool, only for those players who purchase four cards in one wager.
 - (C) Some of the ways to win may be restricted to specific cards (for instance, a way to win could apply only on the first card regardless of the wager amount.)
 - (D) Some or all of the following ways to win may be included, or other ways to win may be declared:
 - (i) Big "X": (Only for players who wager on all four cards at once.) With the four cards arranged in a square where cards one and two are above, and cards three and four are directly below, the selections in cards one and four match correctly in the boxes that form each card's Diagonal "\/" from upper left to lower right to form a long diagonal through both cards, and the selections in cards two and three match correctly in the boxes that form each card's Diagonal "/" from upper right to lower left to form a long diagonal through both cards.
 - (ii) Big "Z": (Only for players who wager on all four cards at once.) With the four cards arranged in a square where cards one and two are above, and cards three and four are directly below, the letter "Z" is formed as follows: The selections in cards one and two match correctly in the boxes that form each card's Top Row to form a long line along the top of both cards; The selections in cards two and three match correctly in the boxes that form each card's Diagonal "/" from upper right to lower left to form a long diagonal through both cards; The selections in cards three and four match correctly in the boxes that form each card's Bottom Row to form a long line along the bottom of both cards.
 - (iii) N Boxes on All Cards: (Only for players who wager on all four cards at once. Players who wager on just three cards may also be included, if specified.) The sum of the boxes that match correctly on any of the cards must number N or more. The value N shall be a specific number.
 - (iv) Cover-All: The selections on a card match correctly in all of the nine boxes.
 - (v) 8 Boxes: Eight or more selections on a card match correctly.
 - (vi) 7 Boxes: Seven or more selections on a card match correctly.

- (vii) “X”: The selections on a card match correctly in the four corner boxes and the middle box, which are the top and bottom boxes of the first (win) and third (show) columns, and the middle box of the second (place) column.
 - (viii) Plus “+”: The selections on a card match correctly in the middle boxes of the first (win) and third (show) columns, and in all three boxes of the second (place) column.
 - (ix) Diagonal “\”: The selections match correctly in the boxes that form a card’s diagonal from upper left to lower right.
 - (x) Diagonal “/”: The selections match correctly in the boxes that form a card’s diagonal from upper right to lower left.
 - (xi) Top Row: The selections match correctly in the boxes that form a card’s first row.
 - (xii) Middle Row: The selections match correctly in the boxes that form a card’s second row.
 - (xiii) Bottom Row: The selections match correctly in the boxes that form a card’s third row.
 - (xiv) First Column: The selections match correctly in the boxes that form a card’s first column, on the left side, which are contestant numbers finishing the contest first (“win”).
 - (xv) Second Column: The selections match correctly in the boxes that form a card’s second column, which are contestant numbers finishing the contest first or second (“place”).
 - (xvi) Third Column: The selections match correctly in the boxes that form a card’s third column, which are contestant numbers finishing the contest first or second or third (“show”).
 - (xvii) Four Corners: The selections match correctly in all four corners of a card, which are the top and bottom boxes of the first (win) and third (show) columns.
 - (xviii) Combination: With approval of the Commission, additional ways to win may be declared, for instance by combining others into one. Example: “Any Column or Diagonal” could be a single way to win.
- (g) Payment Calculation:
- (A) The winning price is the entire amount in the pool for which the wager qualifies, less the price round-off (breakage).
 - (B) A wager that qualifies for a Bonus pool on more than one card shall be deemed to qualify for only one such Bonus pool for the entire wager.

- (C) A wager that qualifies for more than one different Bonus pool shall be deemed to qualify once for only the largest of those Bonus pools.
- (D) When a wager qualifies more than once on a card for a single way to win, it shall be paid the winning price multiplied by the number of times it qualifies.
- (E) When a wager qualifies for more than one way to win on a card (not considering Bonus pools), only the largest single amount qualified for shall be paid.
- (F) When a wager wins on more than one card, the total payment shall be the sum of the payments from each card.
- (G) Each way to win has a minimum payoff amount for winning wagers, which shall be posted.
- (H) In the case of a minus pool, the minimum payoff amount shall not be less than the amount of one unit bet wagered.
- (I) If two players qualify to win the same pool within a short time, the first winner shall be paid the current pool and the second shall be paid the new pool, which begins with the minimum payoff amount.
- (h) Dead Heat: A contest that has a dead heat for first, second, or third shall not be used for Across the Board wagering.
- (i) Coupled Entries, Mutuel Fields:
 - (A) A contest involving coupled entries or mutuel fields shall not be used for Across the Board wagering if there are two or more betting interests live for a single contestant number.
 - (B) The one remaining live betting interest of a coupled entry or mutuel field shall be represented by its contestant number without a letter. For example, contestant number "1" represents either contestant "1" or "1A".
- (j) Seed Pool: To cover the cases when one of the minimum payoff amounts is paid, the Seed Pool is accumulated from a designated percent of wagers.
 - (A) Each time a pool's minimum payoff amount is paid in excess of the actual amount available in the pool, the shortfall shall be deducted from the Seed Pool.
 - (B) After a pool is paid, the actual amount of the pool may be seeded from the Seed Pool to a specified initial amount less than or equal to its minimum payoff amount.
 - (C) While the Seed Pool is below a designated cap amount, the allotments to the other pools are each decreased and the difference shall be allotted to the Seed Pool.
- (k) Commission Approval:

- (A) The takeout rate may not exceed a maximum rate approved by the Commission. Subject to that restriction, the takeout rate shall be set by the Association and reported to the Commission.
- (B) The method of apportioning wagers to each of the pools shall be approved by the Commission.
- (C) The method of seeding pools shall be approved by the Commission.
- (D) The number of pools and specific “Ways to Win”, declared from time to time by the Association from the list set forth above or other similar ways, shall be approved by the Commission.
- (E) The method of filling in cards with contestant numbers or Free Boxes shall be approved by the Commission.
- (F) Should the Across the Board pools be designated for termination or mandatory distribution on a specific date, a method approved by the Commission shall be used.
- (G) The method of picking the random numbers used for selecting contests and past performance charts, and for filling cards, shall be certified by an independent authority that is approved by the Commission.
- (H) The designated values of wagering units shall be approved by the Commission.

(4) Instant Racing #3 (Multi-Line)

- (a) Notice: This pool type may be subject to proprietary claims.
- (b) Summary: Multi-Line requires selection of the first three finishers for a single contest selected from a historical library of previously run contests that are replayed from a central video server according to the following procedures:
 - (A) The contest from the historical library is selected at random before the player enters any selection.
 - (B) The player may examine one or more charts including past performance information showing the relative merits of the contestants as they actually were on the day of the contest.
 - (C) Contestant numbers are placed in a rectangular grid of boxes arranged in a specified number of rows and columns, for example fifteen boxes in three rows and five columns. The player chooses three selections that are placed in the boxes according to one of the methods described below. See Methods of Filling Grid.
 - (D) After the player’s selections are registered, the identity of the contest is revealed, a video segment of the contest finish is shown, and the actual official results are displayed.

- (E) A player wins by matching some or all of the boxes on the grid with the first, second, and third finishers in any of several different ways. See Ways to Win. Any winnings may be collected instantly.
 - (F) When the player matches certain ways to win, a Bonus Wager begins (if available) which could award the player additional winnings.
 - (G) A single wager may include (activate) one or more different Pay Lines. A Pay Line is a specific sequence of boxes consisting of one box from each column of the grid.
 - (H) A single wager costs at least one unit bet per active pay line. If permitted, the player may wager more than one unit bet per pay line.
 - (I) A player who bets on all of the pay lines in one wager participates in the highest value pool, the Maximum Line Jackpot pool (if available).
- (c) Methods of Filling Grid: One of the following methods shall be used to place contestant numbers in the boxes in the grid:
- (A) Method 1: There are three rows in the grid. The player's three contestant number selections shall be placed in random order in a designated column of the grid, for example in the third of five columns. The remaining boxes of the grid shall be filled at random from the list of all valid contestant numbers.
 - (B) Method 2: There are three rows in the grid. The player's three contestant number selections shall be placed in random order such that one is placed in each row of the grid, in random columns. The remaining boxes of the grid shall be filled at random from the list of all valid contestant numbers.
 - (C) Free Box: For any of the above methods, the Association may elect to include one or more Free Boxes that match any finishing number.
 - (D) Multiplier Box: For any of the above methods, the Association may elect to include a Multiplier Box that matches any finishing number, and reveals a multiplier number X such that:
 - i. The multiplier number X shall be selected from a specified range of numbers, for example from one to ten.
 - ii. The Multiplier Box shall appear in an approved random fashion, for example so that the Multiplier Box does not appear part of the time, and low multiplier numbers appear more often than high numbers.
 - iii. When a Multiplier Box is included in a pay line, then any winning price for the pay line shall be multiplied as specified in Payment Calculation, below.

- iv. If a pay line including the Multiplier Box qualifies to play a Bonus Wager, then the pay line shall qualify X times as specified in Bonus Wager, below.
 - (E) Bonus box: For any of the above methods, the Association may elect to include one or more Bonus boxes to provide ways to qualify for the Bonus Wager.
 - (F) Extra symbols: For any of the above methods, the Association may elect to include one or more Extra symbols in the boxes, to provide more ways to win.
- (d) Wager Amount and Pay Lines:
 - (A) The Association shall designate acceptable values for wagering units.
 - (B) The Association shall designate a maximum number of unit bets allowed per active pay line.
 - (C) In each play, the player must enter the same number of unit bets for every active pay line.
 - (D) The player must select active pay lines in a designated sequence from the first numbered pay line up to the maximum numbered pay line.
 - (E) An example of pay lines for three rows and five columns: pay line #1 is the middle row; pay line #2 is the top row; pay line #3 is the bottom row; pay line #4 is a “V” shape starting at the top left box, down to the bottom middle box, up to the top right box; pay line #5 is an inverted “V” shape starting at the bottom left box, up to the top middle box, down to the bottom right box.
- (e) Pool Split: After the applicable takeout has been deducted from the wager, the remaining amount shall be apportioned among several separate pools which have been carried over from previous contests played by all players:
 - (A) The remaining amount (after takeout) of the bet amount shall be apportioned among a pool for each of several ways to win, and to the Seed Pool (depending on its current amount), and to the Bonus Wager pool (if available).
 - (B) The takeout rate and allocation to each pool may be different for each of the bet amounts from one to the maximum number of bet units.
- (f) Ways to Win: A wager may qualify to win in any of several different ways on any of the active pay lines in the wager:
 - (A) One or more of the ways to win may be designated to participate in the Maximum Line Jackpot pool(s), only for those players who select all of the pay lines in one wager.
 - (B) Some or all of the following ways to win may be included, or other ways to win may be declared (examples matching a five column grid):
 - (xix) All Win: All of the selections in the pay line match the first finisher.
 - (xx) All Second: All of the selections in the pay line match the second finisher.

- (xxi) All Place: All of the selections in the pay line match the first or second finisher.
- (xxii) All Third: All of the selections in the pay line match the third finisher.
- (xxiii) All Show: All of the selections in the pay line match the first, second, or third finisher.
- (xxiv) Four in a row Win: The first four or the last four selections in the pay line match the first finisher.
- (xxv) Four in a row Second: The first four or the last four selections in the pay line match the second finisher.
- (xxvi) Four in a row Place: The first four or the last four selections in the pay line match the first or second finisher.
- (xxvii) Four in a row Third: The first four or the last four selections in the pay line match the third finisher.
- (xxviii) Four in a row Show: The first four or the last four selections in the pay line match the first, second, or third finisher.
- (xxix) Three in a row Win: The first three or the last three selections in the pay line match the first finisher.
- (xxx) Three in a row Second: The first three or the last three selections in the pay line match the second finisher.
- (xxxi) Three in a row Place: The first three or the last three selections in the pay line match the first or second finisher.
- (xxxii) Three in a row Third: The first three or the last three selections in the pay line match the third finisher.
- (xxxiii) Three in a row Show: The first three or the last three selections in the pay line match the first, second, or third finisher.
- (xxxiv) Three Scattered Win: Any three selections in the pay line match the first finisher.
- (xxxv) Three Scattered Second: Any three selections in the pay line match the second finisher.
- (xxxvi) Three Scattered Place: Any three selections in the pay line match the first or second finisher.
- (xxxvii) Three Scattered Third: Any three selections in the pay line match the third finisher.
- (xxxviii) Three Scattered Show: Any three selections in the pay line match the first, second, or third finisher.
- (xxxix) Trifecta Box: All three of the player's selections match the first, second, or third finisher, similar to a Trifecta Box bet. When this way to win is

included in the game, the column where the player's selections are placed constitutes a special pay line, included in the wager at no extra cost.

- (g) Payment Calculation:
- (A) The winning price for a pool shall be calculated as follows:
 - (i) The entire amount in the pool after takeout shall be divided by the maximum number of unit bets per pay line, then:
 - (ii) The result shall be rounded down if necessary, for price round-off (breakage), then:
 - (iii) The result shall be raised if necessary to the minimum payoff amount, then:
 - (iv) The result shall be multiplied by the actual number of unit bets wagered per pay line.
 - (B) When a wager qualifies for a Maximum Line Jackpot pool on one or more pay lines, then:
 - (i) The wager shall be deemed to qualify for only one Maximum Line Jackpot pool for the entire wager.
 - (ii) Any other pay line(s) that would have qualified for the Maximum Line Jackpot pool shall instead qualify for ways to win in the same manner as for players who do not bet the maximum amount.
 - (iii) The wager shall not qualify on any pay line to play a Bonus Wager.
 - (C) When a wager qualifies for more than one way to win on a pay line, only the largest single amount qualified for shall be paid.
 - (D) When a Multiplier Box is included anywhere in a pay line, then any winning price for the pay line shall be multiplied by the multiplier number X.
 - (E) When a wager qualifies for the Trifecta Box pool then the winning price shall be multiplied by the number of unit bets in the wager.
 - (F) When a wager wins on more than one pay line, the total payment shall be the sum of the payments from each pay line.
 - (G) Each way to win has a minimum payoff amount for winning wagers, which shall be posted.
 - (H) In the case of a minus pool, the minimum payoff amount shall not be less than the amount of one unit bet wagered.
 - (I) If two players qualify to win the same pool within a short time, the first winner shall be paid the current pool and the second shall be paid the new pool, which begins with the minimum payoff amount.

- (h) Bonus Wager: One or more of the ways to win may be designated so that when the player qualifies for this way to win, a Bonus Wager begins.
 - (A) A Bonus Wager rule shall be designated from one of the Bonus Wager Methods described below, or from a Bonus Wager method with rules declared separately from the base Multi-Line game.
 - (B) Any payment from the Bonus Wager shall be added to the payments from the base Multi-Line game.
 - (C) When more than one pay line qualifies to play the Bonus Wager, the Bonus Wager payoff shall be multiplied by number of qualifying pay lines as specified in the Bonus Wager rules.
 - (D) When more than one unit bet is wagered per pay line, then the pay line shall be deemed to qualify N times in regard to part ((C) above, where N is the number of unit bets per pay line.
 - (E) When a pay line that qualifies to play the Bonus Wager includes a Multiplier Box, then the pay line shall be deemed to qualify X times in regard to part ((C) above, where X is the multiplier number.
 - (F) When the Bonus Wager is complete, play returns to the basic Multi-Line game.
- (i) Dead Heat: A contest that has a dead heat for first, second, or third shall not be used for Multi-Line wagering.
- (j) Coupled Entries, Mutuel Fields:
 - (A) A contest involving coupled entries or mutuel fields shall not be used for Multi-Line wagering if there are two or more betting interests live for a single contestant number.
 - (B) The one remaining live betting interest of a coupled entry or mutuel field shall be represented by its contestant number without a letter. For example, contestant number "1" represents either contestant "1" or "1A".
- (k) Seed Pool: To cover the cases when one of the minimum payoff amounts is paid, the Seed Pool is accumulated from a designated percent of wagers.
 - (A) Each time a pool's minimum payoff amount is paid in excess of the actual amount available in the pool, the shortfall shall be deducted from the Seed Pool.
 - (B) After a pool is paid, the actual amount of the pool may be seeded from the Seed Pool to a specified initial amount less than or equal to its minimum payoff amount.
 - (C) While the Seed Pool is below a designated threshold amount, the allotments to the other pools are each decreased and the difference shall increase the allotment to the Seed Pool.
- (l) Bonus Wager Method 1, "Spot Shot":

- (A) Preface: Spot Shot is a Bonus Wager to be played whenever a specified condition occurs during the play of the base Multi-Line game.
- (B) Summary: Spot Shot distributes the pari-mutuel Bonus Wager pool from the base game. It requires selection of *one* of the first three finishers for a single contest selected from a historical library of previously run contests, according to the following two-phase procedure:
 - (i) The Stake: The first phase requires selection among several symbols shown on the screen to determine the player's Stake in the contest:
 - (I) The player is presented with several symbols (spots) that hide a random arrangement of Multiplier Numbers.
 - (II) The player selects (shoots) one symbol, revealing the hidden Multiplier Number.
 - (III) The Multiplier Number is further multiplied by the number of times the player has qualified in the base game to play this Bonus Wager, giving the number of units in the player's Stake.
 - (ii) The Contest: The second phase requires selection of one of the first three finishers for a single contest:
 - (I) The contest from the historical library is selected at random before the player enters any selection.
 - (II) The player may examine one or more charts including past performance information showing the relative merits of the contestants as they actually were on the day of the contest.
 - (III) After the player's selection is registered, the identity of the contest is revealed, and the actual official results are displayed.
 - (IV) The finishing position of the player's selection shall determine the player's winning status, Win for finishing first, Second for finishing second, Third for finishing third, or Other for any other finish.
- (C) Payment Calculation: The total Bonus Wager payoff shall be computed according to the following procedure:
 - (i) For each possible winning status Win, Second, Third, or Other, a designated percentage of the Bonus Wager pool (after takeout), less the price round-off (breakage), shall be deemed the possible amounts of the Payoff Per Unit Stake.
 - (ii) The Payoff Per Unit Stake corresponding to the player's actual winning status shall be multiplied by the player's Stake, yielding the total Bonus Wager payoff. The remaining amount of the Bonus Wager pool carries

forward for the next Bonus Wager winner, with payoff according to the rules of the Bonus Wager.

- (iii) The total Bonus Wager payoff shall be added to the payments from the base game as specified by the base game rule.
 - (iv) Each possible winning status has a minimum payoff amount for winning wagers, which shall be posted.
 - (v) In the case of a minus pool, the minimum payoff amount shall not be less than the amount of one unit bet wagered.
- (m) Bonus Wager Method 2, "Multi Shot":
- (A) Preface: Multi Shot is a Bonus Wager to be played whenever a specified condition occurs during the play of the base Multi-Line game.
 - (B) Summary: Multi Shot distributes the pari-mutuel Bonus Wager pool from the base game according to the following procedure:
 - (i) The player shall be presented with a screen containing:
 - (ii) Several target symbols that hide a random arrangement of Winning Amounts and Stopper symbols.
 - (iii) The Multiplier that shows number of times the player has qualified in the base game to play this Bonus Wager.
 - (iv) A Bonus Amount that shall begin with a specified percentage of the Bonus Wager pool.
 - (v) The player shall select (shoot) symbols one at a time until a Stopper symbol is revealed.
 - (vi) Each time a Winning Amount is revealed it shall be added to the Bonus Amount.
 - (vii) When a Stopper symbol is revealed this Bonus Wager shall end.
 - (C) Payment Calculation: The total Bonus Wager payoff shall be computed according to the following procedure:
 - (i) When the Bonus Wager starts, the beginning Bonus Amount shall be computed as a designated percentage of the current Bonus Wager pool (after takeout), less the price round-off (breakage).
 - (ii) When each Winning Amount is revealed, it shall be computed as a randomly chosen percentage of the current Bonus Wager pool (after takeout), less the price round-off (breakage).
 - (iii) Each such Winning Amount shall be added to the cumulative sum shown as the Bonus Amount.

- (iv) The total Bonus Wager payoff shall be computed as the product of final sum shown as the Bonus Amount, multiplied by the Multiplier.
 - (v) The total Bonus Wager payoff shall be added to the payments from the base game as specified by the base game rule.
 - (vi) Each calculation of a beginning Bonus Amount or an additional Winning Amount as specified above shall be an independent winning event, computed from the current amount in the Bonus Wager pool at the time that event occurs. The remaining amount of the Bonus Wager pool carries forward for the next such Bonus Wager winning event, for the same or a different player, with payoff according to the rules of the Bonus Wager for that next event.
 - (vii) In the case of a minus pool, the minimum total Bonus Wager payoff amount shall not be less than the amount of one unit bet wagered.
- (n) Bonus Wager Method 3, “Multi Level”:
- (A) Preface: Multi-Level is a Bonus Wager to be played whenever a specified condition occurs during the play of the base Multi-Line game.
 - (B) Summary: Multi-Level distributes the pari-mutuel Bonus Wager pool from the base game. There are two or more levels in the game, each played according to following procedure:
 - (i) Several symbols shall appear on the screen that hide a random arrangement of Winning Amounts and Continue symbols.
 - (ii) The Winning Amounts found in each level shall be larger than the prior level. The final level of the game shall have no Continue symbols.
 - (iii) The player shall select symbols one at a time until a Continue symbol is revealed, or until a maximum number of symbols has been selected, whichever comes first.
 - (iv) If a Continue symbol is revealed, the player shall continue on to the next level of the game.
 - (v) Each time a Winning Amount is revealed it shall be added to the total Bonus Wager payoff.
 - (vi) If the maximum number of symbols has been selected without revealing the Continue symbol, the Bonus Wager shall be over and the sum of all awarded Winning Amounts shall be the total Bonus Wager payoff.
 - (C) Payment Calculation: When the Winning Amount is revealed, it shall be computed according to the following procedure:
 - (i) An amount shall be computed as a randomly chosen percentage of the current Bonus Wager pool (after takeout), then:

- (ii) The result shall be rounded down if necessary, for price round-off (breakage), then:
 - (iii) The result shall be multiplied by the number times the player qualified to play the Bonus Wager as specified in the base game rule, giving the final Winning Amount.
 - (iv) The total Bonus Wager payoff shall be added to the payments from the base game as specified by the base game rule.
 - (v) Each calculation of a Winning Amount as specified above shall be an independent winning event, computed from the current amount in the Bonus Wager pool at the time that event occurs. The remaining amount of the Bonus Wager pool carries forward for the next such Bonus Wager winning event, for the same or a different player, with payoff according to the rules of the Bonus Wager for that next event.
 - (vi) In the case of a minus pool, the minimum total Bonus Wager payoff amount shall not be less than the amount of one unit bet wagered.
- (o) Commission Approval:
- i. The takeout rate may not exceed a maximum rate approved by the Commission. Subject to that restriction, the takeout rate shall be set by the Association and reported to the Commission.
 - ii. The method of apportioning wagers to each of the pools shall be approved by the Commission.
 - iii. The method of seeding pools shall be approved by the Commission.
 - iv. The number of pools and specific ways to win, declared from time to time by the Association from the list set forth above, shall be approved by the Commission.
 - v. The method of filling in the grid with contestant numbers or Free Boxes or Multiplier Boxes or Bonus Boxes or Extra symbols shall be approved by the Commission.
 - vi. The arrangement and number of pay lines in the grid shall be approved by the Commission.
 - vii. The establishment of rules for a Bonus Wager and the method of apportioning wagers to the Bonus Wager pool shall be approved by the Commission.
 - viii. In Bonus Wager Method 1 “Spot Shot”, the method of determining the number of hidden Multiplier Numbers and their values, and the percentages of the Bonus Wager pool used for the Payoff Per Unit Stake, shall be approved by the Commission.
 - ix. In Bonus Wager Method 2 “Multi Shot”, the method of determining the number and arrangement of hidden Winning Amounts and Stoppers, and percentages of

the Bonus Wager pool used for the beginning Bonus Amount and Winning Amounts, shall be approved by the Commission.

- x. In Bonus Wager Method 3 “Multi Level”, the method of determining the number and arrangement of hidden Winning Amounts and Continue symbols; the percentages of the Bonus Wager pool used for the Winning Amounts; the number of levels; the number of symbols per level; and the maximum number of symbols that may be selected in each level, shall be approved by the Commission.
- xi. Should the Multi-Line pools be designated for termination or mandatory distribution on a specific date, a method approved by the Commission shall be used.
- xii. The method of picking the random numbers used for selecting contests and past performance charts, and for filling the grid, shall be certified by an independent authority that is approved by the Commission.
- xiii. The designated values of wagering units and maximum number of unit bets allowed per pay line shall be approved by the Commission.

(5) Instant Racing #3.1 (Bonus Wager "Take or Leave")

- (a) Notice: This pool type may be subject to proprietary claims.
- (b) Preface: Take or Leave is a Bonus Wager to be played whenever a specified condition occurs during the play of one of the other Instant Racing games, referred to here as the base game. An example of a base game is Instant Racing #3 (Multi-Line).
- (c) Summary: Take or Leave is a method of pari-mutuel wagering. The player is offered a payout from a set of constant and variable payouts. The player may take the value offered, or leave that offer in lieu of a subsequent offer. This is repeated for some number of offers, after which the player must take the final offer. All payouts are paid from the pari-mutuel bonus pool.

- (d) Payment Calculation: The total Bonus Wager payoff shall be computed according to the following procedure:
 - (A) Payouts offered to the player will be selected from a set of groups of payouts, where each group will have a set number of constant values and variable payouts.
 - (B) Each payout group will have a variable likelihood of being selected for values to offer the player.
 - (C) Variable values in payout groups are calculated as number of shares of the current bonus pool. Values are calculated in whole units wagered, and any leftover breakage remains in the bonus pool. The number of shares can vary depending on the size of the bonus pool.
 - (D) Players are given a set number of offers one at a time from the payout group assigned to the player upon entering the bonus round. The player may either accept the offer, or leave it and receive a subsequent offer. The player must always accept the final offer.
 - (E) The likelihood of each value in a payout group being offered to a player can either be in a weighted or an even distribution.
 - (F) The total Bonus Wager payoff shall be added to the payments from the base game as specified by the base game rule.
 - (G) Each possible winning status has a minimum payout amount for winning wagers, which shall be posted.
 - (H) In the case of a minus pool, the minimum payout amount shall not be less than the amount of one unit bet wagered.
- (e) Commission Approval:
 - (A) All variables mentioned in Payment Calculation and Summary sections found above will be set by the Association and reported to the Commission.

(6) Instant Racing #4 (Instant Double)

- (a) Notice: This pool type may be subject to proprietary claims.
- (b) Summary: Instant Double is a method of pari-mutuel wagering which requires selection of one or more of the first three finishers for each of two contests selected from a historical library of previously run contests that are replayed from a central video server according to the following procedures:
 - (A) The first contest from the historical library is selected at random before the player enters any selection.
 - (B) The player may examine one or more charts including past performance information showing the relative merits of the contestants as they actually were on the day of the first contest.

- (C) After the player's selections are registered, the identity of the first contest is revealed, a video segment of the contest finish is shown, and the actual official results are displayed.
 - (D) A player qualifies to play the Bonus Wager by matching some or all of the first three finishers of the first contest in one of several different ways. See Ways to Qualify for Bonus Wager.
 - (E) In the Bonus Wager the player's selections are matched with a second contest, the Bonus Contest, selected from the historical library. Any winnings may be collected instantly.
 - (F) The results of the Bonus Wager are displayed as a pattern of symbols for easy identification.
 - (G) Certain patterns of symbols may qualify the player to play an Extended Bonus Wager (if available).
- (c) Wager Amounts:
- (A) The Association shall designate acceptable values for wagering units.
 - (B) For players who purchase the maximum number of unit bets in one wager, the highest value pool shall be augmented by the Maximum Bet Jackpot pool (if available).
 - (C) For a player who qualifies to play an Extended Bonus Wager, the number of unit wagers purchased may affect the type of the Extended Bonus Wager.
- (d) Pool Split: After the applicable takeout has been deducted from the wager, the remaining amount shall be apportioned among several separate pools which have been carried over from previous contests played by all players:
- (A) The remaining amount (after takeout) of the bet amount shall be apportioned among a pool for each of several ways to win, the Maximum Bet Jackpot pool (if available), the Extended Bonus Wager (if available), and to the Seed Pool (depending on its current amount).
 - (B) The takeout rate and allocation to each pool may be different for each of the bet amounts from one to the maximum number of bet units.
- (e) Ways to Qualify for Bonus Wager: Some or all of the following ways to qualify for the Bonus Wager may be included, or other similar ways may be declared with approval of the Commission:
- (A) 3 Exact Order: The player's selections correctly match the first three finishers of the first contest in exact order.
 - (B) 3 Any Order: The player's selections correctly match the first three finishers of the first contest in any order.

- (C) Top 2 Exact Order: The player's top two selections correctly match the first two finishers of the first contest in exact order.
 - (D) Top Pick Wins Contest: The player's top selection correctly matches the first (winning) finisher of the first contest.
 - (E) Any 2 of 3: The player's selections correctly match any two of the first three finishers of the first contest in any order.
 - (F) In the Money: Any one of the player's three selections correctly matches the first, second, or third finisher of the first contest.
- (f) Ways to Win the Bonus Wager: A wager may qualify to win the Bonus Wager using the following procedure:
- (A) The Bonus Contest shall be selected using various designated permutations of the player's selections in a manner approved by the Commission.
 - (B) The association may elect to associate each of the several separate pools with a group of two or more of these ways to win so that either:
 - (i) Each such way to win shall be an equivalent way to win that pool, or:
 - (ii) One such way to win shall be paid a designated multiple of the payment calculated for another way to win.
 - (C) One or more of the ways to win may be designated to participate in the Maximum Bet Jackpot pool, only for those players who purchase the maximum number of unit bets in one wager.
 - (D) Some or all of the following ways to win may be included, or other similar ways may be declared with approval of the Commission:
 - (i) 3 Exact Order: The player's selections correctly match the first three finishers of the bonus contest in exact order.
 - (ii) 3 Any Order: The player's selections correctly match the first three finishers of the bonus contest in any order.
 - (iii) Third-Second-First: The player's selections match the first three finishers of the bonus contest in reverse order.
 - (iv) Top 2 Exact Order: The player's top two selections correctly match the first two finishers of the bonus contest in exact order, and the player's third selection is ignored.
 - (v) Top Pick Wins Contest: The player's top selection correctly matches the first (winning) finisher of the bonus contest, and the player's second and third selections are ignored.
 - (vi) Top 2 Exact Order Only: The player's top two selections correctly match the first two finishers of the bonus contest in exact order, but the player's third selection matches nothing.

- (vii) Top Pick Wins Contest Only: The player's top selection correctly matches the first (winning) finisher of the bonus contest, but the player's second and third selections match nothing.
 - (viii) 3 Any Order Consolation: The player's selections fail to match any other way to win in *the bonus contest*, but do correctly match the first three finishers of *the first contest* in any order.
- (g) Payment Calculation:
- (A) The winning price for a pool shall be calculated as follows:
 - (i) The entire amount in the pool after takeout shall be divided by the maximum number of unit bets, then:
 - (ii) If there are other ways to win this pool that specify a multiple, the result shall be further divided by the largest multiple, then:
 - (iii) The result shall be rounded down if necessary for price round-off (breakage), then:
 - (iv) The result shall be raised if necessary to the minimum payoff amount, then:
 - (v) The result shall be multiplied by the actual number of unit bets wagered, then:
 - (vi) If the way to win this pool specifies a multiple, the result shall be further increased by that multiple.
 - (vii) Only for players who purchase the maximum number of unit bets in one wager, if this way to win has been designated to be paid the Maximum Bet Jackpot pool, then that amount shall be added to the winning price, rounded down if necessary for price round-off (breakage).
 - (B) When a wager qualifies for more than one way to win, only the largest single amount qualified for shall be paid.
 - (C) Each way to win has a minimum payoff amount for winning wagers, which shall be posted.
 - (D) In the case of a minus pool, the minimum payoff amount shall not be less than the amount of one unit bet wagered.
 - (E) If two players qualify to win the same pool within a short time, the first winner shall be paid an amount calculated from the current pool and the second shall be paid an amount calculated from the new pool, which may begin with the minimum payoff amount.
- (h) Dead Heat: A contest that has a dead heat for first, second, or third shall not be used for Instant Double wagering.
- (i) Coupled Entries, Mutuel Fields:

- (A) A contest involving coupled entries or mutuel fields shall not be used for Instant Double wagering if there are two or more betting interests live for a single contestant number.
- (B) The one remaining live betting interest of a coupled entry or mutuel field shall be represented by its contestant number without a letter. For example, contestant number “1” represents either contestant “1” or “1A”.
- (j) Seed Pool: To cover the cases when one of the minimum payoff amounts is paid, the Seed Pool is accumulated from a designated percent of wagers.
 - (A) Each time the application of the minimum payoff results in a payoff amount in excess of the actual amount available in the pool, the shortfall shall be deducted from the Seed Pool.
 - (B) After a pool is paid, the actual amount of the pool may be seeded from the Seed Pool to a specified initial amount.
 - (C) While the Seed Pool is below a designated threshold amount, the allotments to the other pools are each decreased and the difference shall increase the allotment to the Seed Pool.
- (k) Extended Bonus Wager: One or more pattern of symbols may be designated to qualify the player to play an Extended Bonus Wager.
 - (A) An Extended Bonus Wager shall be another type of game with rules declared separately from the base Instant Double game.
 - (B) Any payment from the Extended Bonus Wager shall be added to the payments from the base Instant Double game.
 - (C) When the Extended Bonus Wager is complete, play returns to the base Instant Double game.
- (l) Commission Approval:
 - (A) The takeout rate may not exceed a maximum rate approved by the Commission. Subject to that restriction, the takeout rate shall be set by the Association and reported to the Commission.
 - (B) The method of apportioning wagers to each of the pools shall be approved by the Commission.
 - (C) The method of seeding pools shall be approved by the Commission.
 - (D) The number of pools and specific ways to win, and specific ways to qualify for the Bonus Wager and the Extended Bonus Wager, declared from time to time by the Association from the list set forth above or other similar ways, shall be approved by the Commission.
 - (E) The Bonus Contest selection method shall be approved by the Commission.

- (F) Should the Instant Double pools be designated for termination or mandatory distribution on a specific date, a method approved by the Commission shall be used.
 - (G) The designated values of wagering units shall be approved by the Commission.
- (7) Instant Racing #4.1 (Bonus Wager "Mix and Match")**
- (a) Preface: Mix and Match is a Bonus Wager to be played whenever a specified condition occurs during the play of one of the other Instant Racing games, referred to here as the base game. An example of a base game is Instant Racing #4 (Instant Double) which may use this rule as its Extended Bonus Wager.
 - (b) Summary: Mix and Match is a method of pari-mutuel wagering. All payouts are paid from the pari-mutuel bonus pool. The player may be presented with one or more of the following types of Bonus Wagers, or other similar types of Bonus Wagers may be declared with approval of the Commission.
 - (A) Handicap a Contest: Handicapping information is displayed for a contest. The player selects a contestant number. Possible winning payoffs are shown for finishing as Win, Second, Third, or Other. The running of the contest is depicted. One of the four amounts is paid as the Winning Amount.
 - (B) Pick N: A designated number of possible winning amounts are hidden by spots on the screen. The player selects spots one at a time until *N* amounts have been selected. The sum of the uncovered amounts is paid as the Winning Amount.
 - (C) Pick Till You Miss: A designated number of possible winning amounts and Stop symbols are hidden by spots on the screen. The player selects spots one at a time until a Stop symbol is revealed, or until a designated maximum number of amounts have been selected, whichever comes first. The sum of the uncovered amounts is paid as the Winning Amount.
 - (i) When an additional Bonus Wager is available, Continue symbols may be included as a special type of Stop symbol. If a Continue is revealed, the player proceeds directly to the additional Bonus Wager.
 - (ii) Optionally a Free Pass symbol may be included. If a Free Pass is revealed, the next Stop symbol is waived and the Bonus Wager continues.
 - (D) Match N Amounts: A designated number of possible winning amounts are hidden by spots on the screen. As the player selects each spot its amount is revealed. When the player has uncovered *N* amounts that match, that single Winning Amount is paid. The non-matching amounts are ignored.
 - (E) Match N Symbols: A designated number of possible winning amounts and symbols are hidden by spots on the screen. As the player selects each spot, its symbol and amount is revealed. When the player has uncovered *N* matching

symbols, the sum of their amounts is paid as the Winning Amount. The amounts for non-matching symbols are ignored.

- (F) Match N Ladder: A designated number of symbols are hidden by spots on the screen. The player selects N of the spots attempting to uncover up to N matching target symbols. There are $N+1$ possible Winning Amounts, corresponding to matching from none up to all N of the target symbols.
 - (G) Take it or Leave it: A designated number of possible winning amounts are displayed. There may be more than one group of possible winning amounts, each group having a variable likelihood of being chosen. The amounts in the chosen group are highlighted in a random cycle. The player presses a button and the cycle stops on a random offer. The player can accept the offer and is awarded that Winning Amount, or can reject that offer and continue to play. After a designated maximum number of plays, the last offer is accepted automatically.
 - (H) Take Away: A designated number of possible winning amounts are displayed. The amounts are removed one at a time automatically until only the actual Winning Amount remains.
 - (I) Multiplier Options: With approval of the Commission, any type of Bonus Wager may be preceded or followed by a step that chooses a Multiplier number to increase the final Winning Amount of the Bonus Wager. Also, if the base game specifies that the player can qualify for the Bonus Wager more than once, the Winning Amount is further multiplied by the number of times qualified.
 - (J) Automatic Option: With approval of the Commission, any type of Bonus Wager may be designated to run automatically once the player enters the Bonus Wager.
- (c) Payment Calculation: As each possible Winning Amount is revealed, it shall be computed according to the following procedure:
- (A) Possible Winning Amounts shall be selected from a set number of constant values and variable payouts.
 - (B) Variable payouts shall be computed as follows:
 - (i) As a percentage of the current Bonus Wager pool (after takeout).
 - (ii) The result shall be rounded down if necessary, for price round-off (breakage).
 - (iii) Any leftover breakage remains in the Bonus Wager pool.
 - (C) The result shall be multiplied by the Multiplier (if any) and further by the number of times the player qualified to play the Bonus Wager if specified in the base game rule, giving the final Winning Amount.
 - (D) The total Bonus Wager payoff shall be added to the payments from the base game as specified by the base game rule.

- (E) Each calculation of a Winning Amount as specified above shall be an independent winning event, computed from the current amount in the Bonus Wager pool at the time that event occurs. The remaining amount of the Bonus Wager pool carries forward for the next such Bonus Wager winning event, for the same or a different player, with payoff according to the rules of the Bonus Wager for that next event.
 - (F) In the case of a minus pool, the minimum total Bonus Wager payoff amount shall not be less than the amount of one unit bet wagered.
- (d) Commission Approval:
- (A) All variables mentioned in Payment Calculation and Summary sections found above shall be approved by the Commission.

CHAPTER 10

SIMULCASTING Commission Intent

The Commission finds that the racing, breeding and pari-mutuel wagering industry is an important sector of the agricultural economy of this state, provides substantial revenue for state and local governments, and employees hundreds of state residents. The protection of the betting public is the primary objective of the Commission.

Section 1. **Definitions.**

(a) **ASSOCIATION WHICH ACCEPTS THE WAGER** means the guest association where the bettor contributes his money to the pari-mutuel pool and receives a pari-mutuel ticket.

(b) **AUTHORIZED USER** means a person authorized by the Commission to receive, to decode and to use for legal purposes the simulcast signal of pari-mutuel events.

(c) **COMBINED PARI-MUTUEL POOLS (combined pools)** means the pari-mutuel wagers at one or more guest associations being contributed into the pari-mutuel pools of a host association or the combined pari-mutuel pools of simulcast facilities within the state of Wyoming.

(d) **COMMISSION** means the Wyoming Pari-Mutuel Commission.

(e) **DECODER** means a device and/or means to convert encrypted audio-visual signals and/or data into a form recognizable as the original content of the signals.

(f) **DOWNLINK** means a receiving antenna coupled with an audio-visual signal receiver compatible with and capable of receiving simultaneous audio-visual signals and/or data emanating from a host association, and includes the electronic transfer of received signals from the receiving antenna to TV monitors within the satellite facility.

(g) **ENCLOSURE, ENCLOSURE-PUBLIC**, includes all enclosed areas of the simulcast wagering facility.

(h) **ENCRYPTION (encrypted or encoded)** means the scrambling or other manipulation of the audio-visual signals to mask the original content of the signal and so cause such signals to be indecipherable and unrecognizable to any person receiving such signal.

(i) FLAT TRACK HORSE RACING means a horse race in which horses mounted by a jockey run over a course on which no jumps or other obstacles are placed.

(j) GUEST, GUEST ASSOCIATION or SIMULCAST OPERATOR means a permitted simulcast licensee authorized by the Commission to offer, sell, cash, redeem or exchange pari-mutuel tickets whether electronically generated or otherwise on races being offered live or historic at a host association.

(k) HOST or HOST ASSOCIATION means the racing association conducting a licensed horse racing meeting when it is authorized by the Commission to simulcast its racing program. It may also be considered the sending track which means any track from which simulcast signals originate.

(l) INTERSTATE SIMULCAST WAGERING means wagering conducted by a betting system outside the state of Wyoming on live or historic results of one or more races being run at a Wyoming host association or wagering conducted by a betting system within the state of Wyoming on live or historic results of one or more races being run at a host association outside the state of Wyoming.

(m) INTRASTATE SIMULCAST WAGERING means pari-mutuel wagering at a Wyoming guest association on events live or historic at a Wyoming host association.

(n) OUT-OF-STATE SIMULCAST FACILITY means a track or other facility, located within a jurisdiction other than Wyoming, at which pari-mutuel wagers are placed, accepted or distributed, either in person or electronically, on simulcast races pursuant to proper authorization under the laws of that jurisdiction.

(o) OUT-OF-STATE WAGERING means acceptance of wagers by a host or guest association on the results of live or historic races run at a race meeting outside the state of Wyoming.

(p) SATELLITE FACILITY, intrastate wagering facility, extended wagering facility means the physical premises, structure and equipment utilized by a guest association for the conduct of pari-mutuel wagering on horse racing events being run elsewhere, live or historic.

(q) SATELLITE TRANSPONDER, transponder means leased space segment time of an earth-orbit communication satellite.

(r) SIMULCAST means the sale of pari-mutuel pools electronically transmitted of live or historic horse races on interstate or intrastate pari-mutuel events as prescribed by the commission.

(s) SIMULCAST OPERATOR means a person licensed by the Commission to operate a simulcast wagering system as provided for by these rules.

(t) SIMULCAST SERVICE SUPPLIER means a person engaged in providing service, supplies or equipment necessary to the operation of intrastate, interstate or out-of-state simulcast wagering for use by a host association, guest association, simulcast operator, or authorized user, including but not limited to, pari-mutuel wagering terminals, uplink, downlink, television receivers and related equipment; but does not include persons authorized by the Federal Communications Commission to provide telephone service or space segment time on satellite transponders.

(u) SOURCE MARKET FEE means a license fee, assessed by the Commission pursuant to W.S. § 11-25-105 (m), payable by the out-of-state simulcast facilities that conduct pari-mutuel wagering on simulcast races and that accept wagers from Wyoming residents by telephone or other electronic means at those facilities.

(v) UPLINK means an earth station broadcasting facility, whether mobile or fixed, which is used to transmit audio-visual signals and/or data on FCC-controlled frequencies, and includes any electronic transfer of the audio-visual signals from within the racing enclosure to the location of the transmitter at the uplink.

Section 2. **General.**

(a) The state Pari-Mutuel Commission shall have general jurisdiction over the simulcasting of horse races live or historic within the state, and the Commission may issue rules and regulations in accordance with the provisions of this article as provided for in Wyoming Statutes.

(b) Every permit to hold a Simulcast Event, limited to a maximum time of three (3) years or less, is granted upon the condition that the Permittee shall accept, observe and assist in the enforcement of the Rules. It shall be the duty of each officer, director and every licensee, official and employee to observe and assist in the enforcement of the Rules.

(c) Members of the Commission and its designated representatives shall have the right of full and complete entry to any and all parts of the facilities of the Permittee.

Section 3. **Requirements for Simulcast Facilities.**

(a) Any racing association or corporation authorized to conduct pari-mutuel wagering under this chapter, desiring to display the simulcast of live or historic pari-mutuel events on which pari-mutuel betting shall be permitted in the manner and subject to the conditions provided for under these rules may apply to the Commission for a license. Applications for permits shall be in such form as may be prescribed by the Commission and shall contain such information or other material or evidence as the Commission may require. The fee for such permits shall be two hundred (\$200.00) dollars for each operator per event day payable by the Permittee to the Commission.

(i) The two hundred (\$200.00) dollar per day fee is non refundable.

(b) As a condition of receiving a permit to simulcast for a given year(s), the simulcast permit applicant shall meet the following conditions:

(i) The simulcast permit application shall already be approved for a permit to conduct live pari-mutuel events, for the same calendar year(s).

(ii) The simulcast permit applicant shall apply for and actually conduct a minimum of sixteen (16) live flat track horse racing pari-mutuel event days in the same calendar year for each simulcast year requested, pursuant to a permit to conduct live events.

(iii) The grant or denial of a simulcast permit shall ensure fair and equitable involvement of all affected parties, including consideration of the economic viability of those days to simulcast permit applicants.

(iv) For good cause shown by a simulcast applicant, the Commission may grant a simulcast permit where the minimum number of live flat track days is less than the sixteen (16) days required under Sub-Section (b) above. The permittee shall conduct the approved number of flat track race days.

(v) All simulcast permit applications and associated live event permit applications shall be received in the Commission office no later than the date set by the Commission, but not less than sixty (60) days prior to the first day of proposed simulcast operation, for a given year(s).

(vi) In the case of a permittee granted both a live and simulcast event permit that fails or refuses to actually run the sixteen (16) days of live flat track horse races, or lesser number of days as approved by the Commission, that permittee shall not receive a simulcast permit for the next calendar year. A simulcast permit issued for the year in which the required number of flat track racing days were not conducted, shall have their simulcast permit immediately

suspended for the remainder of the same year. For good cause shown, a permittee may be excused from failing/refusing to run the required days of flat track racing.

(vii) Each Permittee shall make reasonable efforts to negotiate in good faith an agreement with a Horsemen's Association, as defined in W.S. § 11-25-102 (a),(xii). Establishing the allocation of purse money to Live Flat Track Horse Racing not specified in Statutes for the purpose of enhancing Live Flat Track Horse Racing in Wyoming as appropriate.

(A) The agreement shall include provisions allocating a percentage of the takeout to purses on live flat track races run by the Permittee.

(B) The accounts where the purse money is held, along with the safe guards which guarantee those accumulated purses are not used for operational expenses.

(C) Each Permittee shall provide a fully executed copy of the agreement to the commission.

(c) Before the Commission may grant such license, it shall review and approve a plan of operation submitted by an applicant including, but not limited to, the following information:

(i) A feasibility study denoting the revenue earnings expected from the simulcast facility and the costs expected to operate such facility. The feasibility study shall include:

(A) A detailed description of the proposed enclosure and the placement of terminals on which pari-mutuel wagers will be made, including a drawing to scale of the proposed enclosure which describes the site, construction, layout, capacity, number of terminals, and location of surveillance and other security equipment.

(B) The number of live simulcast races to be displayed.

(C) The number of Historic Horse Racing Terminals and types of games.

(D) The types of wagering to be offered.

(E) The level of attendance expected and the area from which such attendance will be drawn.

(F) The level of anticipated wagering activity.

(G) The source and amount of revenues expected from other than pari-mutuel wagering.

(H) The cost of operating the simulcast facility and the identification of costs to be amortized and the method of amortization of such costs.

(I) The probable impact of the proposed operation on revenues to local government.

(ii) The security measures to be employed to protect the facility, to control crowds, to safeguard the transmission of the simulcast signals and to control the transmission of wagering data to effectuate common wagering pools.

(iii) The type of data processing, communication, totalisator and transmission equipment to be utilized.

(A) Pari-Mutuel wagering on live and historic horse races shall be conducted through the use of a totalisator or other similar mechanical equipment approved by the Commission.

(B) The totalisator or other mechanical equipment shall be available for testing under the supervision of the Commission upon request by the Commission to ensure its proper working order.

(iv) The description of the management groups responsible for the operation of the simulcast facility.

(v) The system of accounts to maintain a separate record of revenues collected by the simulcast facility, the distribution of such revenues and the accounting of costs relative to the simulcast operation.

(vi) The location of the facility and a written confirmation from appropriate local officials that the location of such facility and the number of patrons expected to occupy such facility are in compliance with all applicable local ordinances.

(vii) The Permittee shall provide the written contracts between host facility owners and the Permittee if applicable.

(viii) The written agreements and letters of consent between specified parties pursuant to Wyoming Statute.

(d) Final approval of a satellite facility within each county shall come from the county commissioners board and such approval shall be filed with the Commission prior to the conducting of simulcasting in that county.

(e) The Commission shall use the following decisional criteria in the approval or disapproval of an application for simulcast operator.

(i) The operator's general benefit to the state of Wyoming.

(ii) The operator's general benefit to the state of Wyoming's horse racing industry.

(iii) The operator's integrity:

(A) Individual and corporate conduct.

(B) Criminal history.

(C) Betting and gaming industry conduct.

(iv) The operator's credibility:

(A) Accuracy of a feasibility study.

(B) Experience and expertise of the operator in the simulcast industry.

(v) Financial stability.

(f) A Permittee shall not implement any changes or modification of the practices, procedures, or representations upon which the approval was based without the prior written approval of the Commission.

Section 4. **Duty of Association to Offer Extended Wagering.**

(a) Every Wyoming pari-mutuel track simulcasting its racing program shall contract with a simulcast operator (and/or simulcast service suppliers) for the purpose of providing authorized users with its simulcast.

(b) A racing association is responsible for the content of its simulcast and shall use all reasonable effort to present a simulcast which offers the viewers an exemplary depiction of its racing program, a periodic display of wagering information, and continuity programming between horse racing events.

(c) Unless otherwise permitted by the Commission, every simulcast will contain in its video content a digital display of the actual time of day, the name of

the race track from where it emanates the number of the race being displayed and the sequential fractional time of the race as the race is being run.

Section 5. **Licenses for Simulcast Operators.**

(a) Every person acting as a simulcast operator within Wyoming shall procure a license from the Commission and no person shall act in the capacity of a simulcast operator without a valid license. Such license must be renewed and may be denied for any cause justifying suspension or revocation of license for violation of these rules. In order to obtain or renew a permit the applicant or holder:

(i) Submits a financial statement as required by the Commission.

(ii) Posts with the Commission a surety bond in an amount specified by the Commission, but not less than \$100,000 in such form as Wyoming Statutes require to ensure payment of distributable amounts of pari-mutuel pools pursuant to statute, operational costs, salaries, wages, benefits, and related financial obligations.

(A) The surety bond shall be in effect for the duration of the permitted event and a minimum of three (3) months after the last permitted day of operation.

(iii) Demonstrates experience and or adequate knowledge of the conduct of simulcast wagering and/or pari-mutuel wagering operations.

(b) No license as simulcast operator shall be granted to:

(i) Any nonprofit corporation or nonprofit organization entitled to an exemption from any tax imposed by this state.

(ii) A person or entity who has failed, refused or neglected to comply with any rule, regulation, condition of license, or order of the Commission or its stewards reasonably related to its conduct as a simulcast operator, or who has engaged in any activity which is grounds for denial, suspension or revocation of license pursuant to the rules of the Commission or whose general partners, officers, directors, or employees have engaged in any unlawful activity determined to be conduct detrimental to the best interests of horse racing.

(c) There shall be no limitation as to the number of days a licensee may operate except as may otherwise be provided for within these rules or Wyoming Statutes.

Section 6. **Duties of Simulcast Operator.**

(a) A simulcast operator conducts and operates a pari-mutuel wagering system at one or more guest associations on the results of horse races being held or conducted and simulcast from the enclosures of one or more host associations pursuant to its agreement with such guest and host association and with the approval of the Commission.

(b) A simulcast operator shall provide:

(i) Adequate transmitting and/or receiving equipment which shall not interfere with the closed circuit TV system of the host track association for officiating any on-track patron information. All equipment shall be of acceptable broadcast quality.

(ii) Pari-mutuel terminals, pari-mutuel odds display, modems and/or switching units enabling pari-mutuel data transmissions, and data communication between the sending and the receiving associations.

(c) A simulcast operator shall conduct the pari-mutuel wagering at a guest association pursuant to the applicable Commission rules.

(d) The Commission shall appoint at least one steward to supervise all approved simulcast facilities and may require additional stewards as is reasonably necessary for the protection of the public interest.

(e) At the request of the Commission, for a period of one year the simulcast operator shall furnish a copy of simulcast races. Copies shall be in such form and content as requested by the Commission.

(f) The simulcast operator shall initiate tests of its equipment, and data communication to assure proper operation of the system.

(g) The simulcast operator shall, at the request of any representative of the Commission, display a listing of all locations within this state enabled to receive the simulcast; and failure to do so is grounds for immediate summary suspension of license and immediate cessation of simulcasting activities.

(h) The simulcast operator shall maintain such security controls over its uplink and communications system as directed by the Commission.

(i) The simulcast operator shall, in conjunction with the host association or associations for which it operates pari-mutuel wagering, provide the Commission with a certified report of its pari-mutuel operations as directed by the Commission.

(j) Every simulcast operator shall file with the Commission an annual report of its simulcast operations and a financial statement.

(k) The simulcast operator shall not operate a simulcasting facility during the time a live pari-mutuel event is being performed within the state if such facility is within 100 miles of the live event as per W.S. § 11-25-102 (a),(vii),(C). During the time of such live event, the simulcasting operator shall offer simulcasting at each live pari-mutuel event as the Commission may require. (See also Chapter 10, Section 7. (f).)

Section 7. Out-of-State and Interstate Wagering.

(a) When conducting out-of-state and interstate wagering, the following conditions shall also apply:

(i) A racing association, guest association, or simulcast operator may conduct simulcast wagering on the results of one or more races conducted by an out-of-state racing association provided:

(A) The association intending to conduct wagering on an out-of-state race files with the Commission a copy of the agreement with the out-of-state association and any written approvals required by the Commission including Chapter 57, Section 3001, of Title 15 of the United States Code and any other applicable federal laws, and a statement setting forth the date and time it intends to commence accepting wagers on the out-of-state race or races.

(B) The Commission approves the methods by which the out-of-state association intends to transmit the simulcast of its race or races and the restrictions, if any, placed on the use of such simulcast, and the methods to be used to assure a separate voice communication system between its steward and the stewards or placing judges at the track where the race or races are held.

(ii) A racing association may authorize use of its simulcast for interstate wagering by out-of-state betting systems provided:

(A) The association files with the Commission a copy of the agreement with the out-of-state betting system which sets forth the payment to the association for use of its simulcast, and of any agreements required by Chapter 57, including Section 3001, of Title 15 of the United States Code.

(b) All simulcast must comply with Chapter 10 of the administrative Rules of the Pari-Mutuel Commission.

(c) Wagering shall be permitted only on races conducted at approved locations at pari-mutuel tracks governed by state racing commission, racing board or other governmental agency.

(d) If a simulcasting facility has an interruption in its audio/visual signal, the race may be deemed no contest at the discretion of the assigned steward and all wagers at the facility in such instances shall be refunded.

(e) All wagers are made on the official results of the hosting track.

(f) The wagers bet on races which are set up by the simulcast operator at live pari-mutuel event facilities shall contribute to the live pari-mutuel event pool. (See Chapter 10, Section 6. (k).)

Section 8. Out-of-State Simulcast, Account Wagering, and Advance Deposit Wagering Facilities.

(a) Pursuant to W.S. § 11-25-105 (m), The commission may license, regulate and charge a source market fee to persons outside of Wyoming who conduct pari-mutuel wagering on simulcast races and who accept wagers from Wyoming residents at out-of-state simulcast facilities, and shall require out-of-state simulcast facilities licensed under this section to be maintained and operated in accordance with the laws of this state and rules of the commission.

(i) Out-of-State Simulcast Facility applicants shall furnish authorization from their host jurisdiction with each application.

(ii) The annual fee of two hundred and fifty (\$250.00) dollars shall be paid to the Commission for licensing, and is not in lieu of a source market fee.

(iii) Each licensed and authorized pari-mutuel wagering entity located out-of-state, shall utilize a totalisator company, equipment, and software that are approved by the Commission which satisfy the record keeping and reporting requirements of the Commission.

(iv) A source market fee specified by the Commission shall be paid by authorized licensed pari-mutuel wagering entities for the source market fee area on all accounts that have Wyoming as the principal residence address. Source market fees imposed on persons licensed, shall not exceed ten (10%) percent of the gross receipts of all pari-mutuel wagering by Wyoming residents conducted by such person at out-of-state simulcast facilities. The source market fee shall be paid to the Commission and distributed pursuant to W.S. § 11-25-105 (m) (i) (ii) (iii) and (iv).

(A) Source market fees are not imposed on Permitted Events which originate in Wyoming.

(v) The licensed pari-mutuel wagering entity shall make all electronic wagering account information available to the Commission upon request.

Also See Chapter 9, Section 2 Advance Deposit Wagering

Section 9. **Historic Horse Racing**

(a) The only wagering permitted on historic horse racing shall be under the pari-mutuel system of wagering. Historic horse racing is an exotic wager and all systems of wagering other than pari-mutuel shall be prohibited.

(i) Wagering conducted in conformity with W.S. § 11-25-101 through 11-25-113.

(b) Wagering on a historic horse race shall only be conducted by a Permittee approved by the Commission.

(c) Wagering on a historic horse race shall only be permitted in a designated area on the permitted premises of a Permittee licensed to conduct a live horse race meeting, including any satellite facility where live simulcasting is available and approved by the Commission.

(i) All designated areas shall be established in such a way as to control access by the general public and prevent entry by any patron who is under eighteen (18) years of age or is otherwise not permitted to place wagers.

(ii) Each Permittee shall provide terminals that are accessible to handicapped patrons.

(d) A Permittee may conduct wagering on historic races on any days and hours which is in conformity with local municipalities and approved by the Commission. (e) The permittee shall submit an application to the Commission when making a request to offer historic horse racing.

(i) The permittee shall pay an additional fee in the amount of two hundred (\$200.00) dollars for each day offering historic horse racing (non-refundable)

(ii) The permittee shall submit a list for approval:

(A) All wagering types offered by historic horse racing terminals.

(B) The types, number, and denominations of pari-mutuel wagers to be offered.

(C) A detailed description of the rules that apply to the pari-mutuel wager and the method of calculating payouts, including how money will be allocated to seed pools.

(iv) The Commission may require additional information and clarification.

(f) Historic horse racing terminals shall use cash or cash vouchers only.

(g) All wagering on a historic horse race shall incorporate the following elements:

(i) A Permittee shall at all times maintain at least two (2) terminals offering each type of exotic wager on an historic horse race, in each location;

(ii) Once a patron deposits the wagered amount in the terminal offering wagering on a historic horse race, a historic horse race shall be chosen at random;

(iii) Prior to the patron making his or her wager selections, the terminal shall not display any information that would allow the patron to identify the historic race on which he or she is wagering, including the location of the race, the date on which the race was run, the names of the horses in the race, or the names of the jockeys that rode the horses in the race;

(iv) The terminal shall make available true and accurate past performance information on the historic horse race to the patron prior to making his or her wager selections. The information shall be current as of the day the historic horse race was actually run. The information provided to the patron shall be displayed on the terminal in data or graphical form; and

(A) After a patron finalizes his or her wager selections, the terminal shall display a video replay of the race, or a portion thereof, and the official results of the race. The identity of the race shall be revealed to the patron after the patron has placed his or her wager.

(B) Each terminal for wagering shall display odds or pool amounts that the patron will receive for a winning wager on each pari-mutuel pool.

(h) The Commission may require testing of each terminal used for wagering on historic horse races by an independent testing laboratory to ensure its integrity and proper working order.

(i) The independent testing laboratory shall be chosen by the Commission and the expense of the testing shall be paid by the Permittee offering the wagering on historic horse races.

(end)

CHAPTER 10

SIMULCASTING

Commission Intent

The Commission finds that the racing, breeding and pari-mutuel wagering industry is an important sector of the agricultural economy of this state, provides substantial revenue for state and local governments, and employs hundreds of state residents. The protection of the betting public is the primary objective of the Commission.

Section 1. **Definitions.**

(a) ASSOCIATION WHICH ACCEPTS THE WAGER means the guest association where the bettor contributes his money to the pari-mutuel pool and receives a pari-mutuel ticket.

(b) AUTHORIZED USER means a person authorized by the Commission to receive, to decode and to use for legal purposes the simulcast signal of pari-mutuel events.

(c) COMBINED PARI-MUTUEL POOLS (combined pools) means the pari-mutuel wagers at one or more guest associations being contributed into the pari-mutuel pools of a host association or the combined pari-mutuel pools of simulcast facilities within the state of Wyoming.

(d) COMMISSION means the Wyoming Pari-Mutuel Commission.

(e) DECODER means a device and/or means to convert encrypted audio-visual signals and/or data into a form recognizable as the original content of the signals.

(f) DOWNLINK means a receiving antenna coupled with an audio-visual signal receiver compatible with and capable of receiving simultaneous audio-visual signals and/or data emanating from a host association, and includes the electronic transfer of received signals from the receiving antenna to TV monitors within the satellite facility.

(g) ENCLOSURE, ENCLOSURE-PUBLIC, includes all enclosed areas of the simulcast wagering facility.

(h) ENCRYPTION (encrypted or encoded) means the scrambling or other manipulation of the audio-visual signals to mask the original content of the signal and so cause such signals to be indecipherable and unrecognizable to any person receiving such signal.

(i) FLAT TRACK HORSE RACING means a horse race in which horses mounted by a jockey run over a course on which no jumps or other obstacles are placed.

(j) GUEST, GUEST ASSOCIATION or SIMULCAST OPERATOR means ~~an~~ association permitted simulcast licensee authorized by the Commission to offer, sell, cash, redeem or exchange pari-mutuel tickets whether electronically generated or otherwise on races being offered live or ~~run~~ historic at a host association.

(k) HOST or HOST ASSOCIATION means the racing association conducting a licensed horse racing meeting when it is authorized by the Commission to simulcast its racing program. It may also be considered the sending track which means any track from which simulcast signals originate.

(l) INTERSTATE SIMULCAST WAGERING means wagering conducted by a betting system outside the state of Wyoming on live or historic ~~the~~ results of one or more races being run at a Wyoming host association or wagering conducted by a betting system within the state of Wyoming on live or historic ~~the~~ results of one or more races being run at a host association outside the state of Wyoming.

(m) INTRASTATE SIMULCAST WAGERING means pari-mutuel wagering at a Wyoming guest association on ~~Wyoming~~-events live or historic at a Wyoming host association.

(n) OUT-OF-STATE SIMULCAST FACILITY means a track or other facility, located within a jurisdiction other than Wyoming, at which pari-mutuel wagers are placed, accepted or distributed, either in person or electronically, on simulcast races pursuant to proper authorization under the laws of that jurisdiction.

(o) OUT-OF-STATE WAGERING means acceptance of wagers by a host or guest association on the results of live or historic races run at a race meeting outside the state of Wyoming.

(p) SATELLITE FACILITY, intrastate wagering facility, extended wagering facility means the physical premises, structure and equipment utilized by a guest association for the conduct of pari-mutuel wagering on horse racing events being run elsewhere, live or historic.

(q) SATELLITE TRANSPONDER, transponder means leased space segment time of an earth-orbit communication satellite.

(r) SIMULCAST means the sale of pari-mutuel pools electronically transmitted of live or historic horse races on interstate or intrastate pari-mutuel events as prescribed by the commission.~~simultaneous telecast of audio and visual signals of running horse races and other permitted pari-mutuel events conducted for the purposes of pari-mutuel wagering.~~

(s) SIMULCAST OPERATOR means a person licensed by the Commission to operate a simulcast wagering system as provided for by these rules.

(t) SIMULCAST SERVICE SUPPLIER means a person engaged in providing service, supplies or equipment necessary to the operation of intrastate, interstate or out-of-state simulcast wagering for use by a host association, guest association, simulcast operator, or authorized user, including but not limited to, pari-mutuel wagering terminals, uplink, downlink, television receivers and related equipment; but does not include persons authorized by the Federal Communications Commission to provide telephone service or space segment time on satellite transponders.

(u) SOURCE MARKET FEE means a license fee, assessed by the Commission pursuant to W.S. § 11-25-105 (m), payable by the out-of-state simulcast facilities that conduct pari-mutuel wagering on simulcast races and that accept wagers from Wyoming residents by telephone or other electronic means at those facilities.

(v) UPLINK means an earth station broadcasting facility, whether mobile or fixed, which is used to transmit audio-visual signals and/or data on FCC-controlled frequencies, and includes any electronic transfer of the audio-visual signals from within the racing enclosure to the location of the transmitter at the uplink.

Section 2. **General.**

(a) The state Pari-Mutuel Commission shall have general jurisdiction over the simulcasting of horse races live or historic within the state, and the Commission may issue rules and regulations in accordance with the provisions of this article as provided for in Wyoming Statutes.

(b) Every permit to hold a Simulcast Event, limited to a maximum time of three (3) years or less, is granted upon the condition that the Permittee shall accept, observe and assist in the enforcement of the Rules. It shall be the duty of each officer, director and every licensee, official and employee to observe and assist in the enforcement of the Rules.

(c) Members of the Commission and its designated representatives shall have the right of full and complete entry to any and all parts of the facilities of the Permittee.

Section 3. Requirements for Simulcast Facilities.

(a) Any racing association or corporation authorized to conduct pari-mutuel wagering under this chapter, desiring to display the simulcast of live or historic pari-mutuel events on which pari-mutuel betting shall be permitted in the manner and subject to the conditions provided for under these rules may apply to the Commission for a license. Applications for licensespermits shall be in such form as may be prescribed by the Commission and shall contain such information or other material or evidence as the Commission may require. The fee for such licensespermits shall be two hundred (\$200.00) dollars for each operator per race—event day payable by the licenseePermittee to the Commission.

(i) The two hundred (\$200.00) dollar per day fee is non refundable.

(b) As a condition of receiving a permit to simulcast for a given year(s), the simulcast permit applicant shall meet the following conditions:

(i) The simulcast permit application shall already be approved for a permit to conduct live pari-mutuel events, for the same calendar year(s).

(ii) The simulcast permit applicant shall apply for and actually conduct a minimum of sixteen (16) live flat track horse racing pari-mutuel event days in the same calendar year for each simulcast year requested, pursuant to a permit to conduct live events.

(iii) The grant or denial of a simulcast permit shall ensure fair and equitable involvement of all affected parties, including consideration of the economic viability of those days to simulcast permit applicants.

(iv) For good cause shown by a simulcast applicant, the Commission may grant a simulcast permit where the minimum number of live flat track days is less than the sixteen (16) days required under Sub-Section (b) above. The permittee shall conduct the approved number of flat track race days.

(v) All simulcast permit applications and associated live event permit applications shall be received in the Commission office no later than the date set by the Commission, but not less than sixty (60) days prior to the first day of proposed simulcast operation, for a given year(s).

(vi) In the case of a permittee granted both a live and simulcast event permit that fails or refuses to actually run the sixteen (16) days of live flat track horse races, or lesser number of days as approved by the Commission, that permittee shall not receive a simulcast permit for the next calendar year. A simulcast permit issued for the year in which the required number of flat track racing days were not conducted, shall have their simulcast permit immediately suspended for the remainder of the same year. For good cause shown, a permittee may be excused from failing/refusing to run the required days of flat track racing.

(vii) Each Permittee shall make reasonable efforts to negotiate in good faith an agreement with a Horsemen's Association, as defined in W.S. § 11-25-102 (a),(xii). Establishing the allocation of purse money to Live Flat Track Horse Racing not specified in Statutes for the purpose of enhancing Live Flat Track Horse Racing in Wyoming as appropriate.

(A) The agreement shall include provisions allocating a percentage of the takeout to purses on live flat track races run by the Permittee.

(B) The accounts where the purse money is held, along with the safe guards which guarantee those accumulated purses are not used for operational expenses.

(C) Each Permittee shall provide a fully executed copy of the agreement to the commission.

(c) Before the Commission may grant such license, it shall review and approve a plan of operation submitted by an applicant including, but not limited to, the following information:

(i) A feasibility study denoting the revenue earnings expected from the simulcast facility and the costs expected to operate such facility. The feasibility study shall include:

(A) A detailed description of the proposed enclosure and the placement of terminals on which pari-mutuel wagers will be made, including a drawing to scale of the proposed enclosure which describes the site, construction, layout, capacity, number of terminals, and location of surveillance and other security equipment.

~~(A)~~(B) The number of live simulcast races to be displayed.

(C) The number of Historic Horse Racing Terminals and types of games.

- | ~~(B)~~(D) The types of wagering to be offered.
- | ~~(G)~~(E) The level of attendance expected and the area from which such attendance will be drawn.
- | ~~(D)~~(F) The level of anticipated wagering activity.
- | ~~(E)~~(G) The source and amount of revenues expected from other than pari-mutuel wagering.
- | ~~(F)~~(H) The cost of operating the simulcast facility and the identification of costs to be amortized and the method of amortization of such costs.
- | ~~(G)~~(I) The probable impact of the proposed operation on revenues to local government.

(ii) The security measures to be employed to protect the facility, to control crowds, to safeguard the transmission of the simulcast signals and to control the transmission of wagering data to effectuate common wagering pools.

(iii) The type of data processing, communication, totalisator and transmission equipment to be utilized.

(A) Pari-Mutuel wagering on live and historic horse races shall be conducted through the use of a totalisator or other similar mechanical equipment approved by the Commission.

(B) The totalisator or other mechanical equipment shall be available for testing under the supervision of the Commission upon request by the Commission to ensure its proper working order.

(iv) The description of the management groups responsible for the operation of the simulcast facility.

(v) The system of accounts to maintain a separate record of revenues collected by the simulcast facility, the distribution of such revenues and the accounting of costs relative to the simulcast operation.

(vi) The location of the facility and a written confirmation from appropriate local officials that the location of such facility and the number of patrons expected to occupy such facility are in compliance with all applicable local ordinances.

(vii) The Permittee shall provide the written contracts between host facility owners and the Permittee if applicable.

~~(vii)~~(viii) The written agreements and letters of consent between specified parties pursuant to Wyoming Statute.

~~(e)~~(d) Final approval of a satellite facility within each county shall come from the county commissioners board and such approval shall be filed with the Commission prior to the conducting of simulcasting in that county.

~~(d)~~(e) The Commission shall use the following decisional criteria in the approval or disapproval of an application for simulcast operator.

(i) The operator's general benefit to the state of Wyoming.

(ii) The operator's general benefit to the state of Wyoming's horse racing industry.

(iii) The operator's integrity:

(A) Individual and corporate conduct.

(B) Criminal history.

(C) Betting and gaming industry conduct.

(iv) The operator's credibility:

(A) Accuracy of a feasibility study.

(B) Experience and expertise of the operator in the simulcast industry.

(v) Financial stability.

(f) A Permittee shall not implement any changes or modification of the practices, procedures, or representations upon which the approval was based without the prior written approval of the Commission.

Section 4. **Duty of Association to Offer Extended Wagering.**

(a) Every Wyoming pari-mutuel track simulcasting its racing program shall contract with a simulcast operator (and/or simulcast service suppliers) for the purpose of providing authorized users with its simulcast.

(b) A racing association is responsible for the content of its simulcast and shall use all reasonable effort to present a simulcast which offers the viewers an exemplary depiction of its racing program, a periodic display of wagering information, and continuity programming between horse racing events.

(c) Unless otherwise permitted by the Commission, every simulcast will contain in its video content a digital display of the actual time of day, the name of the race track from where it emanates the number of the race being displayed and the sequential fractional time of the race as the race is being run.

Section 5. **Licenses for Simulcast Operators.**

(a) Every person acting as a simulcast operator within Wyoming shall procure a license from the Commission and no person shall act in the capacity of a simulcast operator without a valid license. Such license must be renewed and may be denied for any cause justifying suspension or revocation of license for violation of these rules. In order to obtain or renew a permit the applicant or holder:

(i) Submits a financial statement as required by the Commission.

(ii) Posts with the Commission a surety bond in an amount specified by the Commission, but not less than \$100,000 in such form as Wyoming Statutes require to ensure payment of distributable amounts of pari-mutuel pools pursuant to statute, operational costs, salaries, wages, benefits, and related financial obligations.

(A) The surety bond shall be in effect for the duration of the permitted event and a minimum of three (3) months after the last permitted day of operation.

(iii) Demonstrates experience and or adequate knowledge of the conduct of simulcast wagering and/or pari-mutuel wagering operations.

(b) No license as simulcast operator shall be granted to:

(i) Any nonprofit corporation or nonprofit organization entitled to an exemption from any tax imposed by this state.

(ii) A person or entity who has failed, refused or neglected to comply with any rule, regulation, condition of license, or order of the Commission or its stewards reasonably related to its conduct as a simulcast operator, or who has engaged in any activity which is grounds for denial, suspension or revocation of license pursuant to the rules of the Commission or whose general partners,

officers, directors, or employees have engaged in any unlawful activity determined to be conduct detrimental to the best interests of horse racing.

(c) There shall be no limitation as to the number of days a licensee may operate except as may otherwise be provided for within these rules or Wyoming Statutes.

Section 6. **Duties of Simulcast Operator.**

(a) A simulcast operator conducts and operates a pari-mutuel wagering system at one or more guest associations on the results of horse races being held or conducted and simulcast from the enclosures of one or more host associations pursuant to its agreement with such guest and host association and with the approval of the Commission.

(b) A simulcast operator shall provide:

(i) Adequate transmitting and/or receiving equipment which shall not interfere with the closed circuit TV system of the host track association for officiating any on-track patron information. All equipment shall be of acceptable broadcast quality.

(ii) Pari-mutuel terminals, pari-mutuel odds display, modems and/or switching units enabling pari-mutuel data transmissions, and data communication between the sending and the receiving associations.

(c) A simulcast operator shall conduct the pari-mutuel wagering at a guest association pursuant to the applicable Commission rules.

(d) The Commission shall appoint at least one steward to supervise all approved simulcast facilities and may require additional stewards as is reasonably necessary for the protection of the public interest.

(e) At the request of the Commission, for a period of one year the simulcast operator shall furnish a copy of simulcast races. Copies shall be in such form and content as requested by the Commission.

(f) The simulcast operator shall initiate tests of its equipment, and data communication to assure proper operation of the system.

(g) The simulcast operator shall, at the request of any representative of the Commission, display a listing of all locations within this state enabled to receive the simulcast; and failure to do so is grounds for immediate summary suspension of license and immediate cessation of simulcasting activities.

(h) The simulcast operator shall maintain such security controls over its uplink and communications system as directed by the Commission.

(i) The simulcast operator shall, in conjunction with the host association or associations for which it operates pari-mutuel wagering, provide the Commission with a certified report of its pari-mutuel operations as directed by the Commission.

(j) Every simulcast operator shall file with the Commission an annual report of its simulcast operations and ~~an~~ **audited** financial statement.

(k) The simulcast operator shall not operate a simulcasting facility during the time a live pari-mutuel event is being performed within the state if such facility is within 100 miles of the live event as per ~~W. yoming S. tatutes §~~ 11-25-102 (a),(vii),(C). During the time of such live event, the simulcasting operator shall offer simulcasting at each live pari-mutuel event as the Commission may require. (See also Chapter 10, Section 7. (f).)

Section 7. Out-of-State and Interstate Wagering.

(a) When conducting out-of-state and interstate wagering, the following conditions shall also apply:

(i) A racing association, guest association, or simulcast operator may conduct simulcast wagering on the results of one or more races conducted by an out-of-state racing association provided:

(A) The association intending to conduct wagering on an out-of-state race files with the Commission a copy of the agreement with the out-of-state association and any written approvals required by the Commission including Chapter 57, Section 3001, of Title 15 of the United States Code and any other applicable federal laws, and a statement setting forth the date and time it intends to commence accepting wagers on the out-of-state race or races.

(B) The Commission approves the methods by which the out-of-state association intends to transmit the simulcast of its race or races and the restrictions, if any, placed on the use of such simulcast, and the methods to be used to assure a separate voice communication system between its steward and the stewards or placing judges at the track where the race or races are held.

(ii) A racing association may authorize use of its simulcast for interstate wagering by out-of-state betting systems provided:

(A) The association files with the Commission a copy of the agreement with the out-of-state betting system which sets forth the payment

to the association for use of its simulcast, and of any agreements required by Chapter 57, including Section 3001, of Title 15 of the United States Code.

(b) All simulcast must comply with Chapter 10 of the administrative Rules of the Pari-Mutuel Commission.

(c) Wagering shall be permitted only on races conducted at approved locations at pari-mutuel tracks governed by state racing commission, racing board or other governmental agency.

(d) If a simulcasting facility has an interruption in its audio/visual signal, the race may be deemed no contest at the discretion of the assigned steward and all wagers at the facility in such instances shall be refunded.

(e) All wagers are made on the official results of the hosting track.

(f) The wagers bet on races which are set up by the simulcast operator at live pari-mutuel event facilities shall contribute to the live pari-mutuel event pool. (See Chapter 10, Section 6. (k).)

Section 8. Out-of-State Simulcast, Account Wagering, and Advance Deposit Wagering Facilities.

(a) Pursuant to W.S. § 11-25-105 (m), The commission may license, regulate and charge a source market fee to persons outside of Wyoming who conduct pari-mutuel wagering on simulcast races and who accept wagers from Wyoming residents at out-of-state simulcast facilities, and shall require out-of-state simulcast facilities licensed under this section to be maintained and operated in accordance with the laws of this state and rules of the commission.

(i) Out-of-State Simulcast Facility applicants shall furnish authorization from their host jurisdiction with each application.

(ii) The annual fee of two hundred and fifty (\$250.00) dollars shall be paid to the Commission for licensing, and is not in lieu of a source market fee.

(iii) Each licensed and authorized pari-mutuel wagering entity located out-of-state, shall utilize a totalisator company, equipment, and software that are approved by the Commission which satisfy the record keeping and reporting requirements of the Commission.

(iv) A source market fee specified by the Commission shall be paid by authorized licensed pari-mutuel wagering entities for the source market fee area on all accounts that have Wyoming as the principal residence address. Source market fees imposed on persons licensed, shall not exceed ten (10%)

percent of the gross receipts of all pari-mutuel wagering by Wyoming residents conducted by such person at out-of-state simulcast facilities. The source market fee shall be paid to the Commission and distributed pursuant to W.S. § 11-25-105 (m) (i) (ii) (iii) and (iv).

(A) Source market fees are not imposed on Permitted Events which originate in Wyoming.

(v) The licensed pari-mutuel wagering entity shall make all electronic wagering account information available to the Commission upon request.

Also See Chapter 9, Section 2 Advance Deposit Wagering

Section 9. Historic Horse Racing

(a) The only wagering permitted on historic horse racing shall be under the pari-mutuel system of wagering. Historic horse racing is an exotic wager and all systems of wagering other than pari-mutuel shall be prohibited.

(i) Wagering conducted in conformity with W.S. § 11-25-101 through 11-25-113.

(b) Wagering on a historic horse race shall only be conducted by a Permittee approved by the Commission.

(c) Wagering on a historic horse race shall only be permitted in a designated area on the permitted premises of a Permittee licensed to conduct a live horse race meeting, including any satellite facility where live simulcasting is available and approved by the Commission.

(i) All designated areas shall be established in such a way as to control access by the general public and prevent entry by any patron who is under eighteen (18) years of age or is otherwise not permitted to place wagers.

(ii) Each Permittee shall provide terminals that are accessible to handicapped patrons.

(d) A Permittee may conduct wagering on historic races on any days and hours which is in conformity with local municipalities and approved by the Commission.

(e) The permittee shall submit an application to the Commission when making a request to offer historic horse racing.

(i) The permittee shall pay an additional fee in the amount of two hundred (\$200.00) dollars for each day offering historic horse racing (non-refundable)

(ii) The permittee shall submit a list for approval:

(A) All wagering types offered by historic horse racing terminals.

(B) The types, number, and denominations of pari-mutuel wagers to be offered.

(C) A detailed description of the rules that apply to the pari-mutuel wager and the method of calculating payouts, including how money will be allocated to seed pools.

(iv) The Commission may require additional information and clarification.

(f) Historic horse racing terminals shall use cash or cash vouchers only.

(g) All wagering on a historic horse race shall incorporate the following elements:

(i) A Permittee shall at all times maintain at least two (2) terminals offering each type of exotic wager on an historic horse race, in each location;

(ii) Once a patron deposits the wagered amount in the terminal offering wagering on a historic horse race, a historic horse race shall be chosen at random;

(iii) Prior to the patron making his or her wager selections, the terminal shall not display any information that would allow the patron to identify the historic race on which he or she is wagering, including the location of the race, the date on which the race was run, the names of the horses in the race, or the names of the jockeys that rode the horses in the race;

(iv) The terminal shall make available true and accurate past performance information on the historic horse race to the patron prior to making his or her wager selections. The information shall be current as of the day the historic horse race was actually run. The information provided to the patron shall be displayed on the terminal in data or graphical form; and

(A) After a patron finalizes his or her wager selections, the terminal shall display a video replay of the race, or a portion thereof, and the official results of the race. The identity of the race shall be revealed to the patron after the patron has placed his or her wager.

(B) Each terminal for wagering shall display odds or pool amounts that the patron will receive for a winning wager on each pari-mutuel pool.

(h) The Commission may require testing of each terminal used for wagering on historic horse races by an independent testing laboratory to ensure its integrity and proper working order.

(i) The independent testing laboratory shall be chosen by the Commission and the expense of the testing shall be paid by the Permittee offering the wagering on historic horse races.

(end)